

CSJ # 0901-28-095
District # 01-PAR
Code Chart 64 # 50113
Project: CR 3545 at Mitchell Creek Trib
NBI Structure #01-113-0-AA03-07-003
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
CFDA No.: 20.205
Not Research and Development

STATE OF TEXAS §

COUNTY OF TRAVIS §

**ADVANCE FUNDING AGREEMENT
For Bridge Replacement or Rehabilitation
Off the State System**

THIS Advance Funding Agreement (the Agreement) is made by and between the State of Texas, acting by and through the Texas Department of Transportation, called the "State", and **Hopkins County**, acting by and through its duly authorized officials, called the "Local Government."

WITNESSETH

WHEREAS, Title 23 United States Code Section 144 authorizes federal funds to assist the states in the replacement or rehabilitation of deficient bridges located on public highways, roads, and streets, including those under the jurisdiction of local governments; and

WHEREAS, the Texas Transportation Code Sections 201.103 and 222.052 establish that the State shall plan and make policies for the construction of a comprehensive system of state highways and public roads in cooperation with local governments; and

WHEREAS, the Local Government owns one or more bridges on a public road or street located at **Mitchell Creek Tributary on County Road 3545**, and these bridges are included in the currently approved off-state system federal-aid Highway Bridge Replacement and Rehabilitation Program (HBRRP) as authorized by Texas Transportation Commission Minute Order Number **115550**, dated **August 29, 2019**; and

WHEREAS, the Governing Body of the Local Government has approved entering into this Agreement by resolution or ordinance, which is attached to and made a part of this agreement as Attachment A for the development of the specific programmed replacement or rehabilitation project, called the "Project". The Project is identified in the location map shown as Attachment B, which is attached to and made a part of this agreement.

NOW, THEREFORE, in consideration of the premises and of the mutual covenants and agreements of the parties, to be by them respectively kept and performed as set forth, it is agreed as follows:

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AGREEMENT

1. Period of this Agreement

This Agreement becomes effective when signed by the last party whose signing makes the Agreement fully executed. This Agreement shall remain in effect until terminated as provided in Article 2.

2. Termination of this Agreement

This Agreement shall remain in effect until the Project is completed and accepted by all parties, unless:

- A. The Agreement is terminated in writing with the mutual consent of the parties;
- B. The Agreement is terminated by one party because of a breach, in which case any cost incurred because of the breach shall be paid by the breaching party;
- C. The Local Government elects not to develop the project and the project does not proceed, in which case the Local Government agrees to reimburse the State for one-hundred percent (100%) of its reasonable actual direct and indirect costs incurred for the project; or
- D. The project is inactive for thirty-six (36) months or longer and no expenditures have been charged against federal funds, in which case the State may at its discretion terminate the agreement.

3. Amendments

Amendments to this Agreement may be made due to changes in the character of the work, the terms of the Agreement, or the responsibilities of the parties. Amendments shall be enacted through a mutually agreed upon written amendment executed by all parties to this Agreement.

4. Remedies

This Agreement shall not be considered as specifying the exclusive remedy for any Agreement default, but all remedies existing at law and in equity may be availed of by either party to this Agreement and shall be cumulative.

5. Scope of Work

The scope of work for this Agreement is the replacement or rehabilitation of the bridges identified in the recitals of this Agreement. This replacement or rehabilitation shall be accomplished in the manner described in the plans, specifications, and estimates developed in accordance with this Agreement and which are incorporated in this agreement by reference.

6. Right of Way and Real Property

- A. The Local Government is responsible for the provision and acquisition of all necessary right of way and will not be reimbursed with federal or state funds for the required right of way.
- B. The Local Government authorizes the State, its consultant, contractor, or other designated representative to enter the sites of these bridges and adjacent right of way or relocation right of way to perform surveys, inspections, construction, and other activities necessary to replace or rehabilitate these bridges and approaches.

7. Adjustment of Utilities

The Local Government shall be responsible for the adjustment, removal, or relocation of utility facilities in accordance with applicable state laws, regulations, rules, policies, and procedures, including any cost to the State of a delay resulting from the Local Government's failure to ensure that utility facilities are adjusted, removed, or relocated before the scheduled beginning of construction. The Local Government will not be reimbursed with federal or state funds for the cost of required utility work. The Local Government must obtain advance approval for any variance from established procedures. Before a construction contract is let, the Local Government shall provide, at the State's request, a certification stating that the Local Government has completed the adjustment of all utilities that must be adjusted before construction is completed.

8. Environmental Assessment and Mitigation

Development of the Project must comply with the National Environmental Policy Act and the National Historic Preservation Act of 1966, which require environmental clearance of federal-aid projects.

- A. The State is responsible for the identification and assessment of any environmental problems associated with the development of the Project governed by this Agreement.
- B. Cost participation in environmental assessment and remediation work shall be paid by the parties in the same ratio as construction costs and will be included in the construction costs identified in Attachment D, Estimate of Direct Costs.
- C. The State is responsible for providing any public meetings or public hearings required for development of the environmental assessment.
- D. The State will not begin construction of the Project until identified environmental problems have been remediated, unless provided for otherwise.

9. Compliance with Texas Accessibility Standards and ADA

All parties to this Agreement shall ensure that the plans for and the construction of the Project subject to this Agreement are in compliance with the Texas Accessibility Standards (TAS) issued by the Texas Department of Licensing and Regulation, under the Architectural Barriers Act, Article 9102, Texas Civil Statutes. The TAS establishes minimum accessibility requirements to be consistent with minimum accessibility requirements of the Americans with Disabilities Act (P.L. 101-336) (ADA).

10. Architectural and Engineering Services

The State is responsible for performance of any required architectural or preliminary engineering work. The Local Government may review and comment on the work as required to accomplish the public purposes of the Local Government. The State will cooperate fully with the Local Government in accomplishing these local public purposes to the degree permitted by state and federal law. The Local Government review shall not unduly delay the development of the Project.

11. Construction Responsibilities

- A. The State shall advertise for construction bids, issue bid proposals, receive and tabulate the bids, and award and administer the contract for construction of the Project. Administration of the contract includes the responsibility for construction engineering and for issuance of any change orders, supplemental agreements, amendments, or additional work orders that may become necessary subsequent to the award of the construction contract. In order to ensure federal funding eligibility, projects must be authorized by the State prior to advertising for construction.
- B. Upon completion of the Project, the State will issue a "Notification of Completion" acknowledging the Project's construction completion.

12. Project Maintenance

After the Project has been completed, the Local Government shall accept full ownership, and operate and maintain the facilities authorized by this Agreement for the benefit of and at no charge of toll to the public. This covenant shall survive the completion of construction under this Agreement.

13. Local Project Sources and Uses of Funds

- A. A Project Cost Estimate is provided in Attachment D, Estimate of Direct Costs.
- B. Attachment D provides a source of funds estimate as well as the estimated direct preliminary engineering, construction engineering, and construction costs for the Project in total and by the Local Government.

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- C. The required Local Government participation is based solely upon the State's estimate of the eligible work at the time this Agreement is executed and will not be adjusted during construction except as needed to include any Project cost item or portion of a cost item ineligible for state or federal participation. In addition to its share of estimated direct engineering and construction costs, the Local Government is responsible for the direct cost of any project cost item or portion of a cost item that is not eligible for federal participation under the federal HBRRP. The Local Government is also responsible for any cost resulting from changes made at the request of the Local Government. The State and the Federal Government will not reimburse the Local Government for any work performed before federal spending authority is formally obligated to the Project by the Federal Highway Administration. After federal funds have been obligated, the State will send to the Local Government a copy of the formal documentation showing the obligation of funds including federal award information.
- D. If the Local Government will perform any work under this contract for which reimbursement will be provided by or through the State, the Local Government must complete training before federal spending authority is obligated. Training is complete when at least one individual who is working actively and directly on the Project successfully completes and receives a certificate for the course entitled *Local Government Project Procedures Qualification for the Texas Department of Transportation*. The Local Government shall provide the certificate of qualification to the State. The individual who receives the training certificate may be an employee of the Local Government or an employee of a firm that has been contracted by the Local Government to perform oversight of the Project. The State in its discretion may deny reimbursement if the Local Government has not designated a qualified individual to oversee the Project.
- E. After execution of this Agreement, but thirty (30) days prior to the performance of any work by the State, the Local Government shall remit to the State the amount specified in Attachment D for the Local Government's contribution for preliminary engineering. The Local Government will pay, at a minimum, its funding share for this estimated cost of preliminary engineering.
- F. Forty-five (45) days prior to the date set for receipt of the construction bids, the Local Government shall remit its remaining financial share for the State's estimated construction oversight and construction costs and any other costs owed.
- G. If, at the completion or termination of the Project, the State determines that additional funding is required by the Local Government, the State shall notify the Local Government in writing. The Local Government shall make payment to the State within thirty (30) days from receipt of the State's written notification.

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- H. Whenever funds are paid by the Local Government to the State under this Agreement, the Local Government shall remit a check or warrant made payable to the "Texas Department of Transportation". The check or warrant shall be deposited by the State and managed by the State. The funds may only be applied to the State Project.
- I. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due to the Local Government, the State, or the Federal Government will be promptly paid by the owing party.
- J. The State will not pay interest on any funds provided by the Local Government.
- K. The Local Government funding participation responsibilities include Project direct costs only, except when the Project is terminated before completion at the request of the Local Government as addressed in the Termination provision of this Agreement.
- L. The amounts shown on Attachment D are estimates only. If actual costs exceed the estimates, this shall be considered a fixed price agreement, and no additional funding shall be required of the Local Government except to the extent that the additional costs result from changes made at the request of the Local Government or to the extent that the additional costs are not eligible for federal participation under the federal HBRRP. If actual costs are less than the estimates, Local Government participation shall be recalculated based on actual costs. If the recalculation results in a reduction in participation by the Local Government, the State shall pay the difference to the Local Government upon completion of the Project.
- M. Under the provisions of Texas Transportation Code Section 222.053 certain counties qualify as Economically Disadvantaged Counties (EDC) in comparison to other counties in the state as below average per capita property value, below average per capita income, and above average unemployment, for certain years. If applicable, in consideration of such EDC status that may be applicable for the Project, the required local match fund participation has been adjusted to _____ percent (___%).
- N. The State will not execute the contract for the construction of a Project until the required funding has been made available by the Local Government in accordance with this Agreement.
- O. The state auditor may conduct an audit or investigation of any entity receiving funds from the State directly under this contract or indirectly through a subcontract under this contract. Acceptance of funds directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. An entity that is the subject of an audit or investigation must provide the state

auditor with access to any information the state auditor considers relevant to the investigation or audit.

- P. The Local Government is authorized to submit requests for reimbursement by submitting the original of an itemized invoice in a form and containing all items required by the State no more frequently than monthly, and no later than ninety (90) days after costs are incurred. If the Local Government submits invoices more than ninety (90) days after the costs are incurred, and if federal funding is reduced as a result, the State shall have no responsibility to reimburse the Local Government for those costs.

14. Performance by Local Government of Equivalent-Match Projects (EMP) in Return for Waiver of Local Match Participation Funding on Participation-Waived Projects (PWP)

- A. **Applicability.** If a request for waiver has been received and approved by the State's District Engineer, then the required ten percent matching fund participation or percent as adjusted for EDC consideration, as shown in Attachment D, Estimate of Direct Costs, but excluding ineligible costs under the bridge program, is waived. This waiver is based on the commitment of the Local Government to spend an equivalent amount of funds for structural or safety improvement on "other" bridge structures and other conditions as specified in 43 TAC Section 15.55(d). If a waiver has been granted, the Project shall be defined to be a PWP and the work on the "other" bridge structures that will be improved by the Local Government shall be defined to be the EMPs. Attachment C to this Agreement shows a list of EMPs under this Agreement.
- B. **Project Cost Estimate for PWP.** Attachment D to this Agreement shows the estimated direct preliminary engineering, construction engineering, and construction costs for the PWP in total and local match fund participation being waived or partially waived.
- C. **Credit Against EMP Work.** Any local match fund participation that has already been paid, or which the Local Government is agreeable to paying to the State, will be credited against EMP work to be performed by the Local Government. If applicable, this credit will be reflected in Attachment D to this Agreement.
- D. **Responsibilities of the Local Government on EMPs.**
 - 1. The Local Government shall be responsible for all engineering and construction, related costs, and compliance with all applicable state and federal environmental regulations and permitting requirements.
 - 2. The structural or safety improvement work on the EMPs shall be performed subsequent to the final execution of this Agreement but within three (3) calendar years after the earliest contract award of the related PWPs.

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3. Written documentation, suitable for audit, of the structural or safety improvement work completed on the EMPs shall be kept on file by the Local Government for four (4) years after completion of work or claims, lawsuits, or audits related to those items, whichever is longer. A notice of completion of work on the EMPs shall be delivered to the State's District Engineer no later than thirty (30) calendar days after work is completed on the EMPs.
 4. Failure by the Local Government to adequately complete the EMPs within the stated three-year period shall result in the Local Government being excluded from receiving such waivers for a minimum of five (5) years.
- E. Funding of Ineligible or Additional Work Not Waived.** Regardless of any waiver of eligible program costs, the Local Government shall pay the State one-hundred percent (100%) of the cost of any PWP item or portion of a cost item that is not eligible for federal or state participation, and one-hundred percent (100%) of the costs resulting from additional work on the PWP performed solely at the request of the Local Government. If the ineligible or additional work is preliminary engineering, the payment shall be made at least thirty (30) days prior to the beginning of preliminary engineering work on the PWP. If the ineligible or additional work is for construction or construction engineering, the payment shall be made at least forty-five (45) days prior to the date set for receipt of bids for construction of the PWP.

15. Notices

All notices to either party shall be delivered personally or sent by certified or U.S. mail, postage prepaid, addressed to that party at the following address:

State: Director, Bridge Division
Texas Department of Transportation
125 E. 11th Street
Austin, Texas 78701

Local Government: Hopkins County Judge
118 Church Street
Sulphur Springs, Texas 75482

All notices shall be deemed given on the date delivered in person or deposited in the mail, unless otherwise provided by this agreement. Either party may change the above address by sending written notice of the change to the other party. Either party may request in writing that notices shall be delivered personally or by certified U.S. mail, and that request shall be carried out by the other party.

16. Legal Construction

In case one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or unenforceability shall not affect any other provisions and this Agreement shall be construed as if it did not contain the invalid, illegal, or unenforceable provision.

17. Responsibilities of the Parties

The parties to this Agreement agree that no party is an agent, servant, or employee of the other party and each party agrees it is responsible for its individual acts and deeds as well as the acts and deeds of its contractors, employees, representatives, and agents.

18. Ownership of Documents

Upon completion or termination of this Agreement, all documents prepared by the State shall remain the property of the State. All data prepared under this Agreement shall be made available to the State without restriction or limitation on their further use. All documents produced or approved or otherwise created by the Local Government shall be transmitted to the State in the form of photocopy reproduction on a monthly basis as required by the State. The originals shall remain the property of the Local Government. At the request of the State, the Local Government shall submit any information required by the State in the format directed by the State.

19. Compliance with Laws

The parties shall comply with all federal, state, and local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any courts or administrative bodies or tribunals in any manner affecting the performance of this Agreement. When required, the Local Government shall furnish the State with satisfactory proof of this compliance.

20. Sole Agreement

This Agreement constitutes the sole and only agreement between the parties and supersedes any prior understandings or written or oral agreements respecting the subject matter of this Agreement.

21. Office of Management and Budget (OMB) Cost Principles

In order to be reimbursed with federal funds, the parties shall comply with the Cost Principles established in 2 CFR 200 that specify that all reimbursed costs are allowable, reasonable, and allocable to the Project.

22. Procurement and Property Management Standards

The parties shall adhere to the procurement standards established in Title 49 CFR §18.36 and with the property management standard established in Title 49 CFR §18.32.

23. Inspection of Books and Records

The parties to the Agreement shall maintain all books, documents, papers, accounting records, and other documentation relating to costs incurred under this Agreement and shall make such materials available to the State, the Local Government, and, if federally funded, the Federal Highway Administration (FHWA) and the U.S. Office of the Inspector General, or their duly authorized representatives, for review and inspection at its office during the contract period and for four (4) years from the date of completion of work defined under this contract or until any impending litigation or claims are resolved. Additionally, the State, the Local Government, the FHWA, and their duly authorized representatives shall have access to all the governmental records that are directly applicable to this Agreement for the purpose of making audits, examinations, excerpts, and transcriptions.

24. Civil Rights Compliance

- A. Compliance with Regulations:** The Local Government will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation (USDOT), the Federal Highway Administration (FHWA), as they may be amended from time to time, which are herein incorporated by reference and made part of this agreement.
- B. Nondiscrimination:** The Local Government, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Local Government will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
- C. Solicitations for Subcontracts, Including Procurement of Materials and Equipment:** In all solicitations either by competitive bidding or negotiation made by the Local Government for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier will be notified by the Local Government of the Local Government's obligations under this contract and the Acts and Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.
- D. Information and Reports:** The Local Government will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant

thereto, and will permit access to its books, records, accounts, other sources of information, and facilities as may be determined by the State or the FHWA to be pertinent to ascertain compliance with such Acts, Regulations or directives. Where any information required of the Local Government is in the exclusive possession of another who fails or refuses to furnish this information, the Local Government will so certify to the State or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

- E. Sanctions for Noncompliance: In the event of the Local Government's noncompliance with the Nondiscrimination provisions of this contract, the State will impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
 - 1. withholding of payments to the Local Government under the contract until the Local Government complies and/or
 - 2. cancelling, terminating, or suspending of the contract, in whole or in part.
- F. Incorporation of Provisions: The Local Government will include the provisions of paragraphs (A) through (F) in every subcontract, including procurement of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The Local Government will take such action with respect to any subcontract or procurement as the State or the FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Local Government becomes involved in, or is threatened with, litigation with a subcontractor or supplier because of such direction, the Local Government may request the State to enter into such litigation to protect the interests of the State. In addition, the Local Government may request the United States to enter into such litigation to protect the interests of the United States.

25. Disadvantaged Business Enterprise (DBE) Program Requirements

- A. The parties shall comply with the Disadvantaged Business Enterprise Program requirements established in 49 CFR Part 26.
- B. The Local Government shall adopt, in its totality, the State's federally approved DBE program.
- C. The Local Government shall set an appropriate DBE goal consistent with the State's DBE guidelines and in consideration of the local market, project size, and nature of the goods or services to be acquired. The Local Government shall have final decision-making authority regarding the DBE goal and shall be responsible for documenting its actions.
- D. The Local Government shall follow all other parts of the State's DBE program referenced in TxDOT Form 2395, Memorandum of Understanding Regarding the Adoption of the Texas Department of Transportation's Federally-Approved

Disadvantaged Business Enterprise by Entity and attachments found at web address

http://ftp.dot.state.tx.us/pub/txdot-info/bop/dbe/mou/mou_attachments.pdf.

- E. The Local Government shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any U.S. Department of Transportation (DOT)-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Local Government shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non-discrimination in award and administration of DOT-assisted contracts. The State's DBE program, as required by 49 CFR Part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Local Government of its failure to carry out its approved program, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).
- F. Each contract the Local Government signs with a contractor (and each subcontract the prime contractor signs with a sub-contractor) must include the following assurance: *The contractor, sub-recipient, or sub-contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this agreement, which may result in the termination of this agreement or such other remedy as the recipient deems appropriate.*

26. Debarment Certifications

The parties are prohibited from making any award at any tier to any party that is debarred or suspended or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549, "Debarment and Suspension." By executing this agreement, the Local Government certifies that it is not currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549 and further certifies that it will not do business with any party that is currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549. The parties to this contract shall require any party to a subcontract or purchase order awarded under this contract to certify its eligibility to receive Federal funds and, when requested by the State, to furnish a copy of the certification.

27. Lobbying Certification

In executing this Agreement, each signatory certifies to the best of that signatory's knowledge and belief, that:

- A. No federal appropriated funds have been paid or will be paid by or on behalf of the parties to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with federal contracts, grants, loans, or cooperative agreements, the signatory for the Local Government shall complete and submit the Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- C. The parties shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and all sub-recipients shall certify and disclose accordingly. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Title 31 U.S.C. §1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

28. Federal Funding Accountability and Transparency Act Requirements

- A. Any recipient of funds under this agreement agrees to comply with the Federal Funding Accountability and Transparency Act (FFATA) and implementing regulations at 2 CFR Part 170, including Appendix A. This agreement is subject to the following award terms: <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22705.pdf> and <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22706.pdf>.
- B. The Local Government agrees that it shall:
 - 1. Obtain and provide to the State, a Central Contracting Registry (CCR) number (Federal Acquisition Regulation, Part 4, Sub-part 4.1100) if this award provides for more than \$25,000 in Federal funding. The CCR number may be obtained by visiting the CCR web-site whose address is: <https://www.sam.gov/portal/public/SAM/>;

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2. Obtain and provide to the State a Data Universal Numbering System (DUNS) number, a unique nine-character number that allows the federal government to track the distribution of federal money. The DUNS number may be requested free of charge for all businesses and entities required to do so by visiting the Dun & Bradstreet (D&B) on-line registration website <http://fedgov.dnb.com/webform>; and
3. Report the total compensation and names of its top five (5) executives to the State if:
 - i. More than 80% of annual gross revenues are from the Federal government, and those revenues are greater than \$25,000,000; and
 - ii. The compensation information is not already available through reporting to the U.S. Securities and Exchange Commission.

29. Successors and Assigns

The State and the Local Government each binds itself, its successors, executors, assigns, and administrators to the other party to this Agreement and to the successors, executors, assigns, and administrators of such other party in respect to all covenants of this Agreement.

30. Local Government Restrictions

In the case that the Local Government has an existing, future, or proposed local ordinance, commissioners court order, rule, policy, or other directive that is more restrictive than the state or federal regulations that results in an increase cost to the State for the project, the local government is responsible for all increased costs associated with the ordinance, order, policy, directive, or change.

31. Single Audit Report

- A. The parties shall comply with the requirements of the Single Audit Act of 1984, P.L. 98-502, ensuring that the single audit report includes the coverage stipulated in 2 CFR 200.
- B. If threshold expenditures of \$750,000 or more are met during the fiscal year, the Local Government must submit a Single Audit Report and Management Letter (if applicable) to TxDOT's Compliance Division, 125 East 11th Street, Austin, TX 78701 or contact TxDOT's Compliance Division at singleaudits@txdot.gov.
- C. If expenditures are less than the threshold during the Local Government's fiscal year, the Local Government must submit a statement to TxDOT's Compliance Division as follows: "We did not meet the \$_____ expenditure threshold and therefore, are not required to have a single audit performed for FY_____."
- D. For each year the project remains open for federal funding expenditures, the Local Government will be responsible for filing a report or statement as described

above. The required annual filing shall extend throughout the life of the agreement, unless otherwise amended or the project has been formally closed out and no charges have been incurred within the current fiscal year.

32. Pertinent Non-Discrimination Authorities

During the performance of this contract, the Local Government, for itself, its assignees, and successors in interest agree to comply with the following nondiscrimination statutes and authorities; including but not limited to:

- A. Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- B. The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects).
- C. Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), as amended, (prohibits discrimination on the basis of sex).
- D. Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.) as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27.
- E. The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age).
- F. Airport and Airway Improvement Act of 1982, (49 U.S.C. Chapter 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex).
- G. The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not).
- H. Titles II and III of the Americans with Disabilities Act, which prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38.
- I. The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex).
- J. Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures

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nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations.

- K. Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, the parties must take reasonable steps to ensure that LEP persons have meaningful access to the programs (70 Fed. Reg. at 74087 to 74100).
- L. Title IX of the Education Amendments of 1972, as amended, which prohibits the parties from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq.).

33. Signatory Warranty

Each signatory warrants that the signatory has necessary authority to execute this agreement on behalf of the entity represented.

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Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT


Signature

Robert Newsom
Typed or Printed Name

Hopkins County Judge
Title

9-21-2020
Date

THE STATE OF TEXAS

Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

Date

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ATTACHMENT A RESOLUTION OR ORDINANCE OF LOCAL GOVERNMENT

HOPKINS COUNTY COMMISSIONERS COURT

RESOLUTION No. _____

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, hereinafter referred to as the Local Government, owns a bridge located at Big Creek on CR 1152, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-42-001; and

WHEREAS, the Local Government owns a bridge located at Crooks Creek on CR 4714, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-84-001; and

WHEREAS, the Local Government owns a bridge located at North Caney Creek Tributary on CR 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, the Local Government owns a bridge located at Mitchell Creek Tributary on CR 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, the Local Government owns a bridge located at Turkey Creek on CR 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, the Local Government owns a bridge located at Mitcham Branch on CR 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-098, 0901-28-093, 0901-28-092, 0901-28-095, 0901-28-097, and 0901-28-103, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such as a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

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WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge projects is:

CSJ	NBI	Local Participation
0901-28-098	01-113-0-AA05-42-001	CR 1152/ \$36,966
0901-28-093	01-113-0-AA05-84-001	CR 4714/ \$26,352
0901-28-092	01-113-0-AA04-93-001	CR 4767/ \$23,790
0901-28-095	01-113-0-AA03-07-001	CR 3545/ \$21,106
0901-28-097	01-113-0-AA03-58-001	CR 1138/ \$39,528
0901-28-103	01-113-0-AA03-56-001	CR 1137/ \$22,814
TOTAL		\$170,556

\$170,556 (dollars), hereinafter referred to as the "participation-waived projects (PWP)", such participation requirement the Local Government proposes be waived; and

WHEREAS, Rains County, by its Resolution dated May 14, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP project to Hopkins County to be used towards EMP work needed to complete their PWPs; and

WHEREAS, Hunt County, by its Resolution dated August 25, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP projects to Hopkins County to be used towards EMP work needed to complete their PWPs;

THEREFORE, BE IT RESOLVED that the Local Government perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hopkins CR 4719 near FM 2653	Yes	Replace culvert	\$ 3,320.51
Hopkins CR 4707 near CR 4702	Yes	Replace culvert	\$ 3,058.10
Hopkins CR 4574 near CR 4581	Yes	Replace culvert	\$ 2,428.12
Hopkins CR 1100 near FM 3389	Yes	Install new culvert	\$ 3,228.06
Hopkins CR 1173 near CR 1174	Yes	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 01190AA3160001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 01117AA0514001)	Yes	Install sheet piling at east abutment & backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$61,425.00
TOTAL			\$315,464.54

CSJ # 0901-28-095
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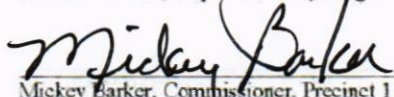
BE IT FURTHER RESOLVED:

1. That the Hopkins County Judge is hereby authorized and directed to execute Advance Funding Agreements with the State of Texas, acting by and through the Texas Department of Transportation, to replace off-system bridges on CR 1152 at Big Creek, CR 4714 at Crooks Creek, CR 4767 at North Caney Creek Tributary, CR 3545 at Mitchell Creek Tributary, CR 1138 at Turkey Creek, and CR 1137 at Mitcham Branch in Hopkins County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 21 day of September, 2020.


Robert Newsom, Hopkins County Judge


Mickey Barker, Commissioner, Precinct 1


Greg Anglin, Commissioner, Precinct 2

ABSENT
Wade Bartley, Commissioner, Precinct 3


Joe Price, Commissioner, Precinct 4

CSJ # 0901-28-095
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RAINS COUNTY COMMISSIONERS COURT

RESOLUTION No. 4-2020

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Rains County, hereinafter referred to as the Local Government, owns a bridge located on CR 1495 at Woodbury Creek, National Bridge Inventory (NBI) Structure Number 01-190-0-AA02-58-001; and

WHEREAS, a project to remedy the bridge is included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Number 0901-30-018; and

WHEREAS, the usual fund participation ratio for projects on said program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge project is \$44,408 (dollars), hereinafter referred to as the "participation-waived (PWP) project", such participation requirement the Local Government proposes be waived;

THEREFORE, BE IT RESOLVED that Rains County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWP not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Rains CR 3160 at Magee Branch (01-190-AA31-60-001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
		TOTAL	\$94,662.13

CSJ # 0901-28-095
 District # 01-PAR
 Code Chart 64 # 50113
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 Not Research and Development

BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is in excess, \$50,254.13, is being made available to Hopkins County for use as their local match fund participation for the PWP's authorized in the Counties noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	ESTIMATED COST
01-113-0-AA05-42-001	0901-28-098	\$14,394.00
01-113-0-AA05-84-001	0901-28-093	\$26,352.00
01-113-0-AA04-93-001	0901-28-092	\$ 9,508.13
TOTAL		\$50,254.13

BE IT FURTHER RESOLVED:

1. That the Rains County Judge is hereby authorized and directed to execute an Advance Funding Agreement with the State of Texas, acting by and through the Texas Department of Transportation, to replace an off-system bridge on CR 1495 at Woodbury Creek in Rains County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 14 day of May, 2020.

Wayne Wolfe
 Wayne Wolfe, Rains County Judge

Patsy Marshall
 Patsy Marshall, Commissioner, Precinct 1

Mike Willis
 Mike Willis, Commissioner Precinct 2

Michael Godwin
 Michael Godwin, Commissioner, Precinct 3

Joe Humphrey
 Joe Humphrey, Commissioner, Precinct 4



CSJ # 0901-28-095
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CFDA No.: 20.205
Not Research and Development

HUNT COUNTY COMMISSIONERS COURT

RESOLUTION No. #16,342

FILED FOR RECORD
at 6:15 o'clock
SEP 08 2020
JENNIFER LINDENWEIG
County Clerk, Hunt County, TX

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at North Caney Creek Tributary on County Road 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitchell Creek Tributary on County Road 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Turkey Creek on County Road 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitcham Branch on County Road 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1320, National Bridge Inventory (NBI) Structure Number 01-075-0-AA03-30-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1020, National Bridge Inventory (NBI) Structure Number 01-075-0-AA04-20-002; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-092, 0901-28-095, 0901-28-097, 0901-28-103, 0901-32-104, and 0901-32-106, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

CSJ # 0901-28-095
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WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such as a project of structural improvement work being referred to as an equivalent-match project (EMP); and

WHEREAS, the estimated local match fund participation requirements on the approved federal off-system bridge projects are:

CSJ	NBI	Local Participation
0901-28-092	01-113-0-AA04-93-001	CR 4767 / \$14,282.00 (\$9,508 prev. funded)
0901-28-095	01-113-0-AA03-07-003	CR 3545 / \$21,106.00
0901-28-097	01-113-0-AA03-58-001	CR 1138 / \$39,528.00
0901-28-103	01-113-0-AA03-56-001	CR 1137 / \$22,814.00
0901-32-104	01-075-0-AA03-30-001	CR 1320 / \$57,096.00
0901-32-106	01-075-0-AA04-20-002	CR 1020 / \$44,700.66 (\$25,083 remaining)
TOTAL		\$199,526.66

\$199,526.66 (dollars), hereinafter referred to as the participation-waived projects (PWP), such participation requirement the Local Governments propose to be waived;

THEREFORE, BE IT RESOLVED that Hunt County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hunt CR 3110 at Timber Creek (01-117-AA05-14-001)	Yes	Install sheet piling at East abutment & backfill	\$ 13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$ 76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$ 48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$ 61,425.00
TOTAL			\$199,526.66

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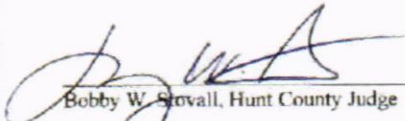
BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is \$199,526.66 is being made available to the Hopkins County and Fannin County for use as their local match fund participation for the PWP's authorized as noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	LOCAL PARTICIPATION
01-113-0-AA04-93-001	0901-28-092	\$14,282.00
01-113-0-AA03-07-003	0901-28-095	\$21,106.00
01-113-0-AA03-58-001	0901-28-097	\$39,528.00
01-113-0-AA03-56-001	0901-28-103	\$22,814.00
01-075-0-AA03-30-001	0901-32-104	\$57,096.00
01-075-0-AA04-20-002	0901-32-106	\$44,700.66
TOTAL		\$199,526.66

BE IT FURTHER RESOLVED:

1. That the Hunt County Commissioners Court pledges the aforementioned equivalent-match projects against the aforementioned participation-waived projects.
2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF; we the undersigned do hereby affix our signatures. Signed this the 8 day of September, 2020.


 Bobby W. Stovall, Hunt County Judge


 Eric Evans, Commissioner, Precinct 1

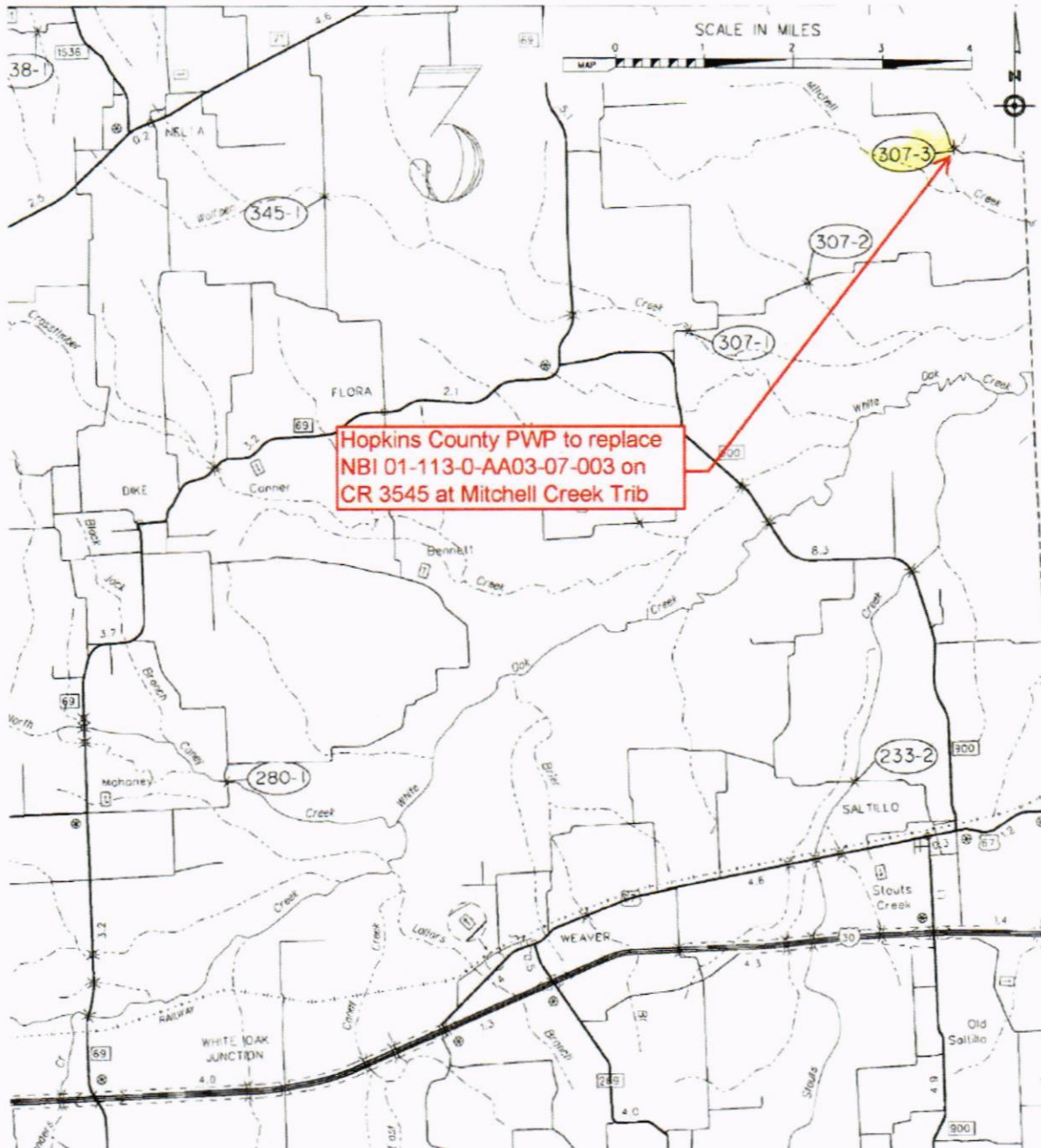

 Randy Strait, Commissioner, Precinct 2


 Phillip Martin, Commissioner, Precinct 3

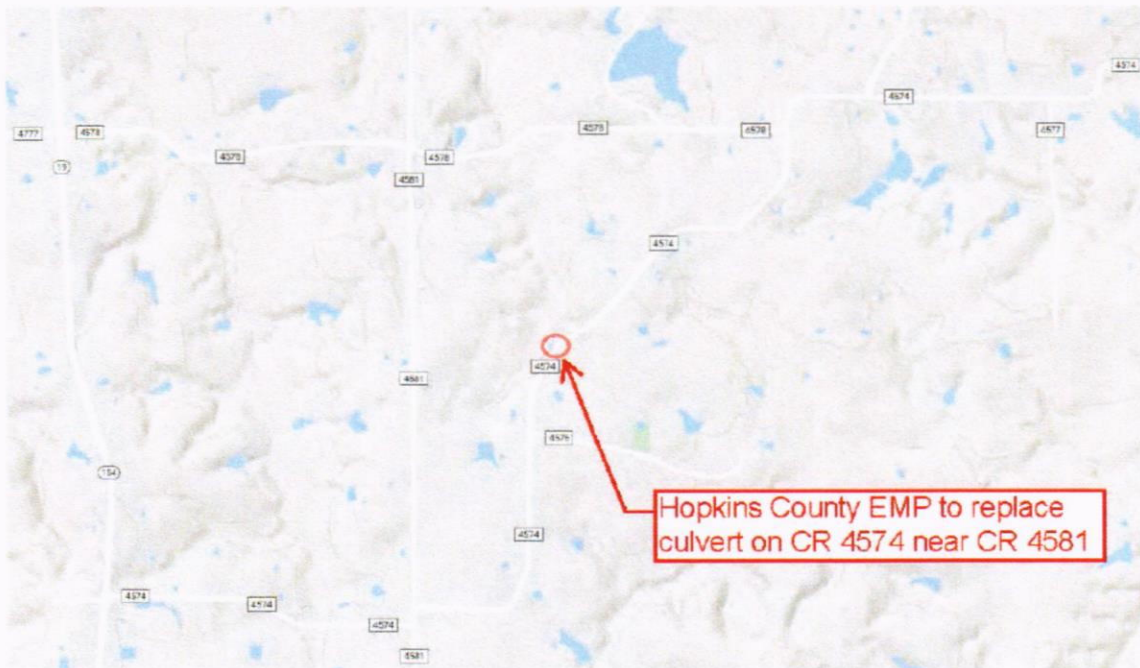

 Steven M. Harrison, Commissioner, Precinct 4

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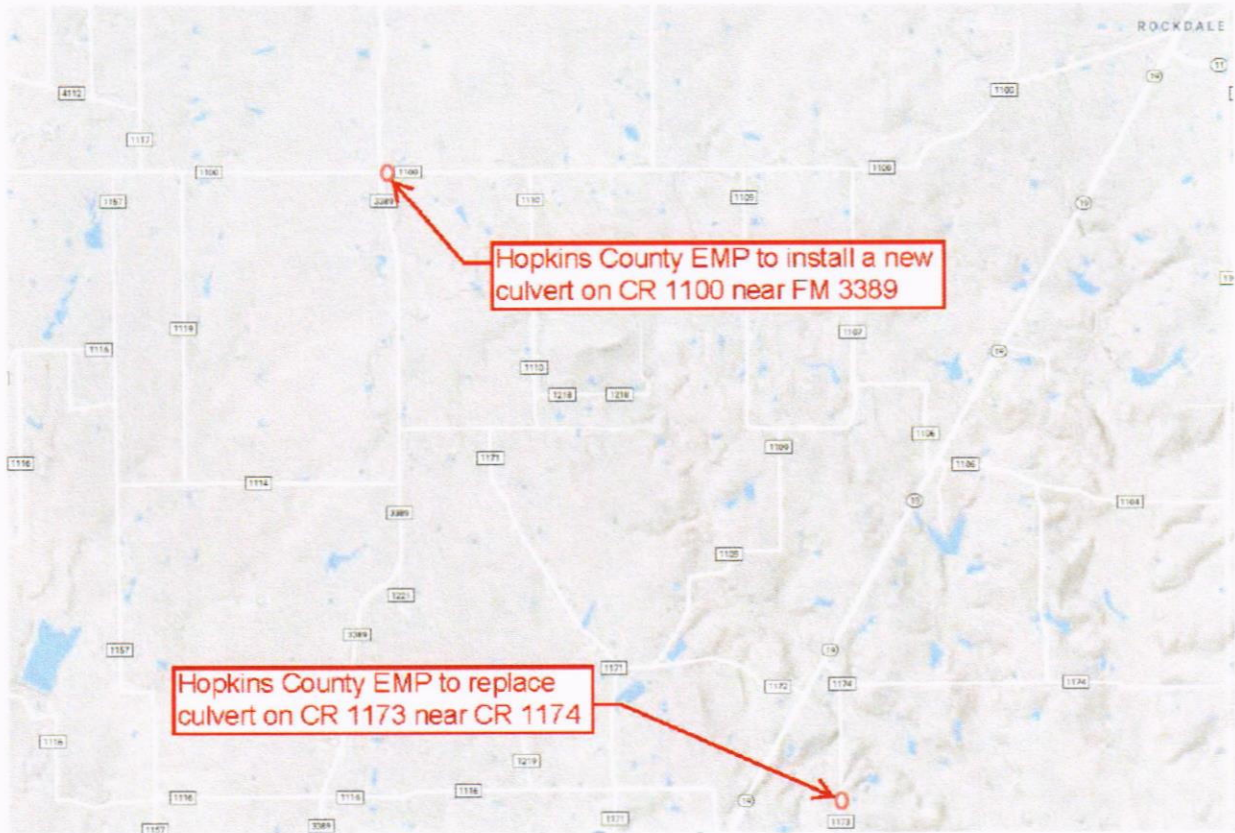
ATTACHMENT B PROJECT LOCATION MAP



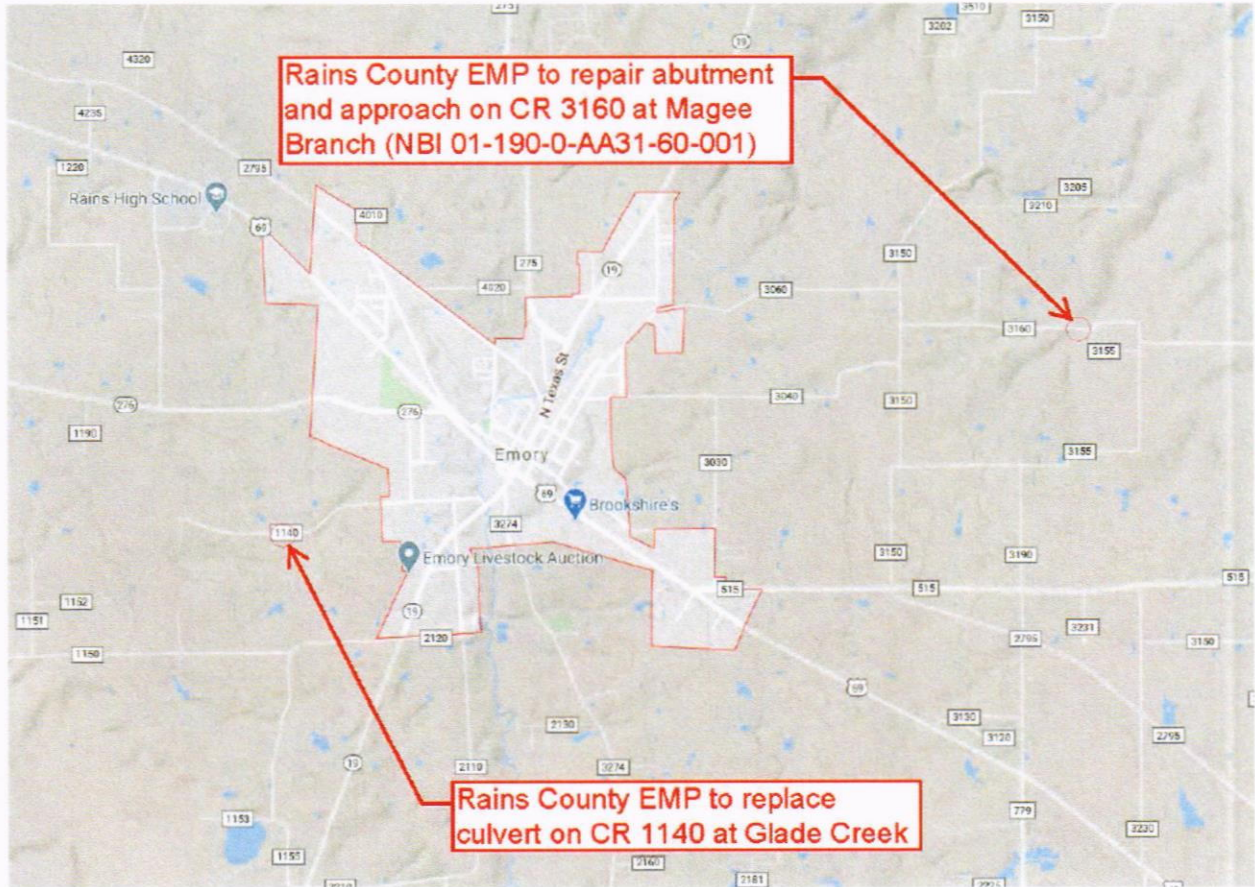
CSJ # 0901-28-095
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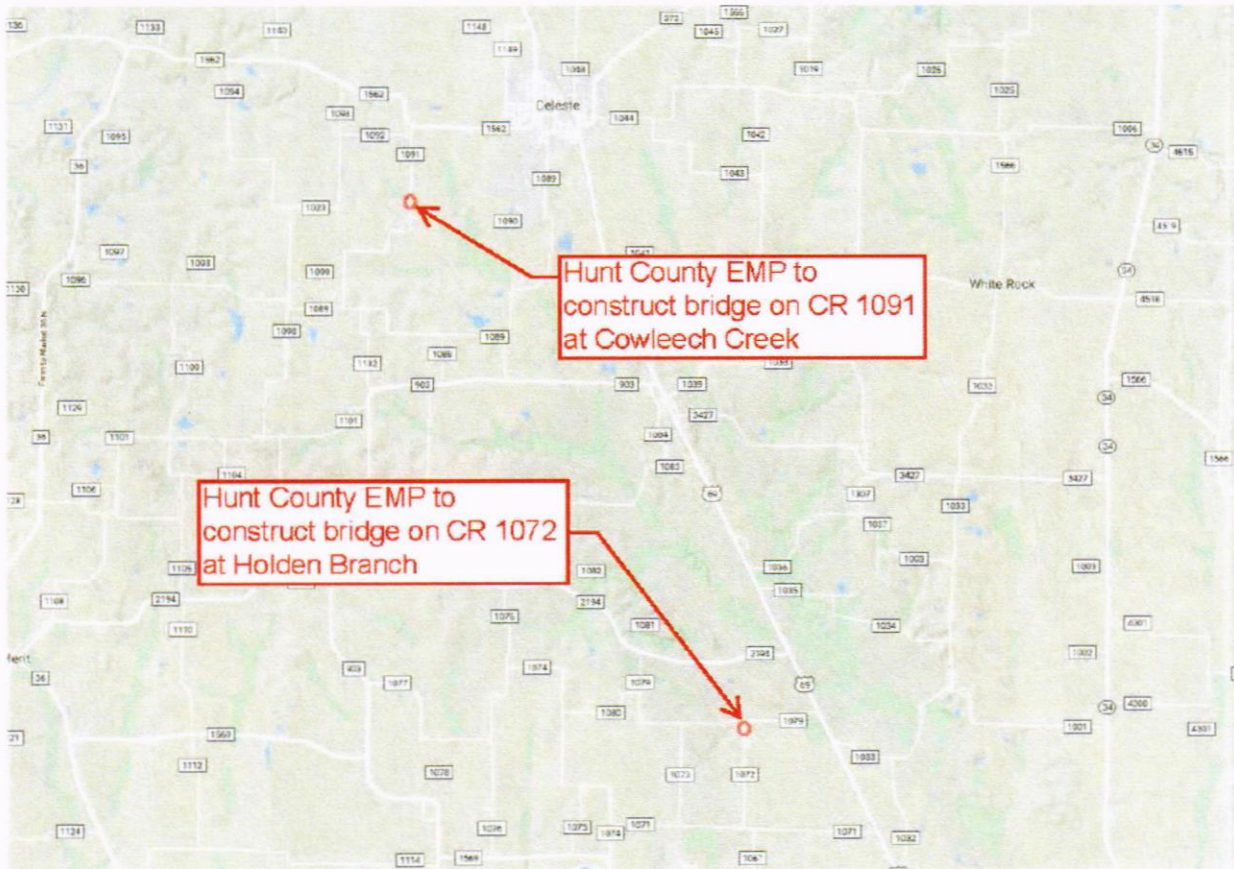
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District # 01-PAR
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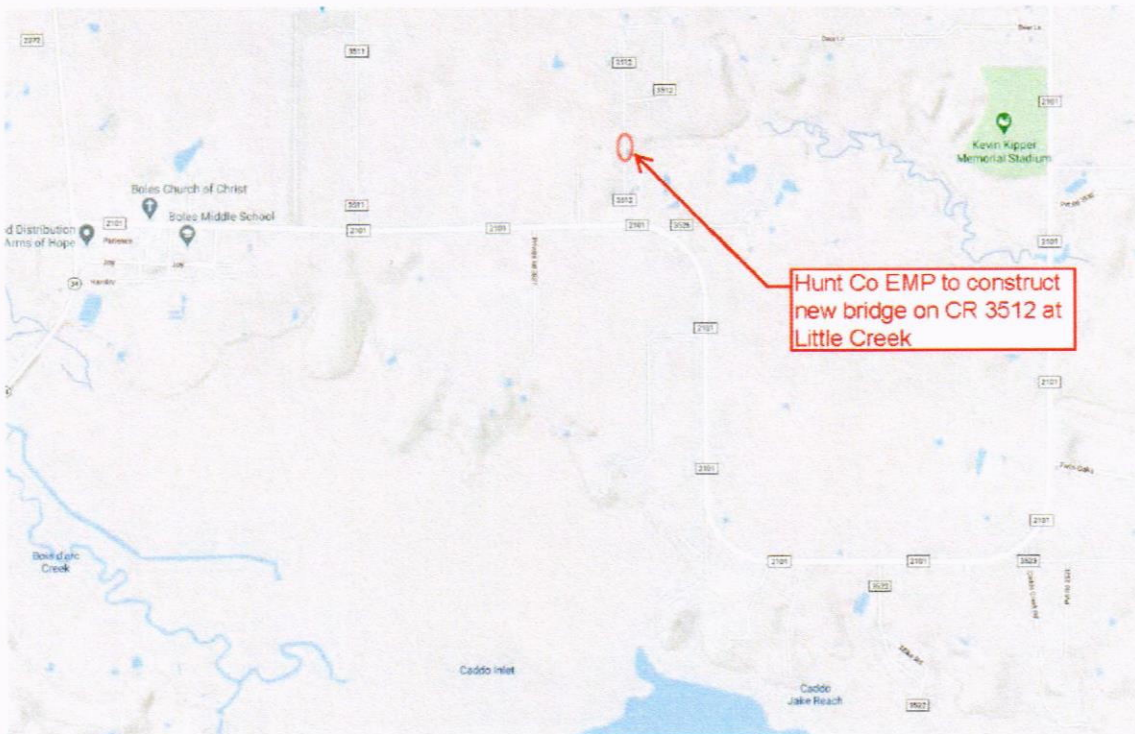
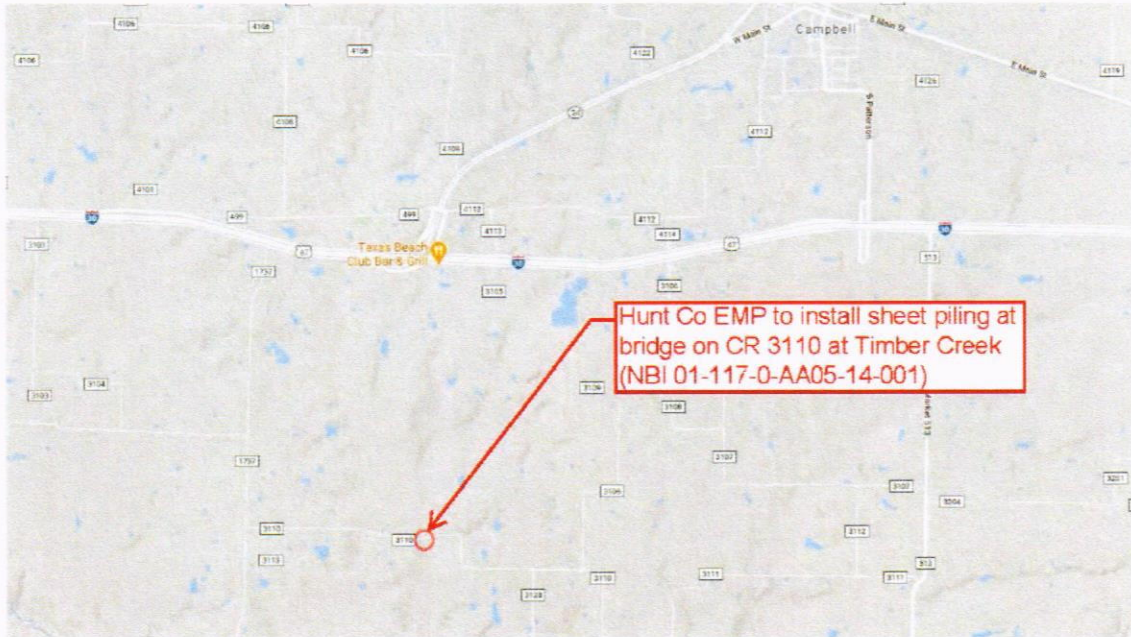
CSJ # 0901-28-095
District # 01-PAR
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ATTACHMENT C **
LIST OF DISTRICT ENGINEER APPROVED
EQUIVALENT-MATCH PROJECTS

Location (and structure identification number, if applicable)	On School Bus Route?	Historic Bridge?	Description of Structural or Safety Improvement Work	Estimated Cost
CR 4719 near FM 2653	Yes	No	Replace culvert	\$ 3,320.51
CR 4707 near CR 4702	Yes	No	Replace culvert	\$ 3,058.10
CR 4574 near CR 4581	Yes	No	Replace culvert	\$ 2,428.12
CR 1100 near CR 3389	Yes	No	Install new culvert	\$ 3,228.06
CR 1173 near CR 1174	Yes	No	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 011900AA3160001)	Yes	No	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	No	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 011170AA0514001)	Yes	No	Install sheet piling at east abutment and backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	No	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	No	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	No	Construct new bridge	\$61,425.00
Total				\$315,464.54
EMP work credited to this PWP*				\$21,106.00
Balance of EMP work available to associated PWPs				\$294,358.54
Associated PWPs CSJs			Amount to be Credited to Associated PWPs	
0901-30-018			\$44,408	
0901-28-093			\$26,352	
0901-28-092			\$23,790	
0901-28-098			\$36,966	
0901-28-097			\$39,528	
0901-28-103			\$22,814	
0901-32-104			\$57,096	
0901-32-106			\$43,404	
Total			\$294,358	

*This total should typically equal the "Balance of Local Government Participation" that is waived as shown in Attachment D.

**This attachment not applicable for non-PWPs.

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**ATTACHMENT D
 ESTIMATE OF DIRECT COSTS**

	<u>Estimated Cost</u>	<u>Local Government Participation</u>
Preliminary Engineering (PE)	(1) \$29,410	
Ten Percent (10%) or EDC Adjusted Percent of PE for Local Government Participation		(3) \$2,941
Construction	\$173,000	
Engineering and Contingency (E&C)	8,650	
The Sum of Construction and E&C	(2) \$181,650	
Ten Percent (10%) or EDC Adjusted Percent of the Sum of Construction and E&C for Local Government Participation		(4) \$18,165
Amount of Advance Funds Paid by Local Government *		(5) \$0
Amount of Advance Funds to be Paid by Local Government *		(6) \$0
Balance of Local Government Participation which is to be Waived where the Project is a PWP		(3+4-5-6) \$21,106
Total Project Direct Cost	(1+2) \$211,060	

*Credited Against Local Government Participation Amount

If this Project is to be a PWP, Amount of EMP Work Being Credited to this PWP as Shown
 on Attachment C. \$21,106

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Project: CR 3545 at Mitchell Creek Trib
NBI Structure #01-113-0-AA03-07-003
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
CFDA No.: 20.205
Not Research and Development

Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT

DocuSigned by:
The Honorable Robert Newsom
Signature

Robert Newsom
Typed or Printed Name

Hopkins County Judge
Title

11/19/2020

Date

THE STATE OF TEXAS

DocuSigned by:
Graham Bettis

Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

12/4/2020

Date

CSJ # 0901-28-097
District # 01-PAR
Code Chart 64 # 50113
Project: CR 1138 at Turkey Creek
NBI Structure #01-113-0-AA03-58-001
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
CFDA No.: 20.205
Not Research and Development

STATE OF TEXAS §

COUNTY OF TRAVIS §

**ADVANCE FUNDING AGREEMENT
For Bridge Replacement or Rehabilitation
Off the State System**

THIS Advance Funding Agreement (the Agreement) is made by and between the State of Texas, acting by and through the Texas Department of Transportation, called the "State", and **Hopkins County**, acting by and through its duly authorized officials, called the "Local Government."

WITNESSETH

WHEREAS, Title 23 United States Code Section 144 authorizes federal funds to assist the states in the replacement or rehabilitation of deficient bridges located on public highways, roads, and streets, including those under the jurisdiction of local governments; and

WHEREAS, the Texas Transportation Code Sections 201.103 and 222.052 establish that the State shall plan and make policies for the construction of a comprehensive system of state highways and public roads in cooperation with local governments; and

WHEREAS, the Local Government owns one or more bridges on a public road or street located at **Turkey Creek on County Road 1138**, and these bridges are included in the currently approved off-state system federal-aid Highway Bridge Replacement and Rehabilitation Program (HBRRP) as authorized by Texas Transportation Commission Minute Order Number **115550**, dated **August 29, 2019**; and

WHEREAS, the Governing Body of the Local Government has approved entering into this Agreement by resolution or ordinance, which is attached to and made a part of this agreement as Attachment A for the development of the specific programmed replacement or rehabilitation project, called the "Project". The Project is identified in the location map shown as Attachment B, which is attached to and made a part of this agreement.

NOW, THEREFORE, in consideration of the premises and of the mutual covenants and agreements of the parties, to be by them respectively kept and performed as set forth, it is agreed as follows:

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District # 01-PAR
Code Chart 64 # 50113
Project: CR 1138 at Turkey Creek
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AGREEMENT

1. Period of this Agreement

This Agreement becomes effective when signed by the last party whose signing makes the Agreement fully executed. This Agreement shall remain in effect until terminated as provided in Article 2.

2. Termination of this Agreement

This Agreement shall remain in effect until the Project is completed and accepted by all parties, unless:

- A. The Agreement is terminated in writing with the mutual consent of the parties;
- B. The Agreement is terminated by one party because of a breach, in which case any cost incurred because of the breach shall be paid by the breaching party;
- C. The Local Government elects not to develop the project and the project does not proceed, in which case the Local Government agrees to reimburse the State for one-hundred percent (100%) of its reasonable actual direct and indirect costs incurred for the project; or
- D. The project is inactive for thirty-six (36) months or longer and no expenditures have been charged against federal funds, in which case the State may at its discretion terminate the agreement.

3. Amendments

Amendments to this Agreement may be made due to changes in the character of the work, the terms of the Agreement, or the responsibilities of the parties. Amendments shall be enacted through a mutually agreed upon written amendment executed by all parties to this Agreement.

4. Remedies

This Agreement shall not be considered as specifying the exclusive remedy for any Agreement default, but all remedies existing at law and in equity may be availed of by either party to this Agreement and shall be cumulative.

5. Scope of Work

The scope of work for this Agreement is the replacement or rehabilitation of the bridges identified in the recitals of this Agreement. This replacement or rehabilitation shall be accomplished in the manner described in the plans, specifications, and estimates developed in accordance with this Agreement and which are incorporated in this agreement by reference.

6. Right of Way and Real Property

- A. The Local Government is responsible for the provision and acquisition of all necessary right of way and will not be reimbursed with federal or state funds for the required right of way.
- B. The Local Government authorizes the State, its consultant, contractor, or other designated representative to enter the sites of these bridges and adjacent right of way or relocation right of way to perform surveys, inspections, construction, and other activities necessary to replace or rehabilitate these bridges and approaches.

7. Adjustment of Utilities

The Local Government shall be responsible for the adjustment, removal, or relocation of utility facilities in accordance with applicable state laws, regulations, rules, policies, and procedures, including any cost to the State of a delay resulting from the Local Government's failure to ensure that utility facilities are adjusted, removed, or relocated before the scheduled beginning of construction. The Local Government will not be reimbursed with federal or state funds for the cost of required utility work. The Local Government must obtain advance approval for any variance from established procedures. Before a construction contract is let, the Local Government shall provide, at the State's request, a certification stating that the Local Government has completed the adjustment of all utilities that must be adjusted before construction is completed.

8. Environmental Assessment and Mitigation

Development of the Project must comply with the National Environmental Policy Act and the National Historic Preservation Act of 1966, which require environmental clearance of federal-aid projects.

- A. The State is responsible for the identification and assessment of any environmental problems associated with the development of the Project governed by this Agreement.
- B. Cost participation in environmental assessment and remediation work shall be paid by the parties in the same ratio as construction costs and will be included in the construction costs identified in Attachment D, Estimate of Direct Costs.
- C. The State is responsible for providing any public meetings or public hearings required for development of the environmental assessment.
- D. The State will not begin construction of the Project until identified environmental problems have been remediated, unless provided for otherwise.

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9. Compliance with Texas Accessibility Standards and ADA

All parties to this Agreement shall ensure that the plans for and the construction of the Project subject to this Agreement are in compliance with the Texas Accessibility Standards (TAS) issued by the Texas Department of Licensing and Regulation, under the Architectural Barriers Act, Article 9102, Texas Civil Statutes. The TAS establishes minimum accessibility requirements to be consistent with minimum accessibility requirements of the Americans with Disabilities Act (P.L. 101-336) (ADA).

10. Architectural and Engineering Services

The State is responsible for performance of any required architectural or preliminary engineering work. The Local Government may review and comment on the work as required to accomplish the public purposes of the Local Government. The State will cooperate fully with the Local Government in accomplishing these local public purposes to the degree permitted by state and federal law. The Local Government review shall not unduly delay the development of the Project.

11. Construction Responsibilities

- A. The State shall advertise for construction bids, issue bid proposals, receive and tabulate the bids, and award and administer the contract for construction of the Project. Administration of the contract includes the responsibility for construction engineering and for issuance of any change orders, supplemental agreements, amendments, or additional work orders that may become necessary subsequent to the award of the construction contract. In order to ensure federal funding eligibility, projects must be authorized by the State prior to advertising for construction.
- B. Upon completion of the Project, the State will issue a "Notification of Completion" acknowledging the Project's construction completion.

12. Project Maintenance

After the Project has been completed, the Local Government shall accept full ownership, and operate and maintain the facilities authorized by this Agreement for the benefit of and at no charge of toll to the public. This covenant shall survive the completion of construction under this Agreement.

13. Local Project Sources and Uses of Funds

- A. A Project Cost Estimate is provided in Attachment D, Estimate of Direct Costs.
- B. Attachment D provides a source of funds estimate as well as the estimated direct preliminary engineering, construction engineering, and construction costs for the Project in total and by the Local Government.

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- C. The required Local Government participation is based solely upon the State's estimate of the eligible work at the time this Agreement is executed and will not be adjusted during construction except as needed to include any Project cost item or portion of a cost item ineligible for state or federal participation. In addition to its share of estimated direct engineering and construction costs, the Local Government is responsible for the direct cost of any project cost item or portion of a cost item that is not eligible for federal participation under the federal HBRRP. The Local Government is also responsible for any cost resulting from changes made at the request of the Local Government. The State and the Federal Government will not reimburse the Local Government for any work performed before federal spending authority is formally obligated to the Project by the Federal Highway Administration. After federal funds have been obligated, the State will send to the Local Government a copy of the formal documentation showing the obligation of funds including federal award information.
- D. If the Local Government will perform any work under this contract for which reimbursement will be provided by or through the State, the Local Government must complete training before federal spending authority is obligated. Training is complete when at least one individual who is working actively and directly on the Project successfully completes and receives a certificate for the course entitled *Local Government Project Procedures Qualification for the Texas Department of Transportation*. The Local Government shall provide the certificate of qualification to the State. The individual who receives the training certificate may be an employee of the Local Government or an employee of a firm that has been contracted by the Local Government to perform oversight of the Project. The State in its discretion may deny reimbursement if the Local Government has not designated a qualified individual to oversee the Project.
- E. After execution of this Agreement, but thirty (30) days prior to the performance of any work by the State, the Local Government shall remit to the State the amount specified in Attachment D for the Local Government's contribution for preliminary engineering. The Local Government will pay, at a minimum, its funding share for this estimated cost of preliminary engineering.
- F. Forty-five (45) days prior to the date set for receipt of the construction bids, the Local Government shall remit its remaining financial share for the State's estimated construction oversight and construction costs and any other costs owed.
- G. If, at the completion or termination of the Project, the State determines that additional funding is required by the Local Government, the State shall notify the Local Government in writing. The Local Government shall make payment to the State within thirty (30) days from receipt of the State's written notification.

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- H. Whenever funds are paid by the Local Government to the State under this Agreement, the Local Government shall remit a check or warrant made payable to the "Texas Department of Transportation". The check or warrant shall be deposited by the State and managed by the State. The funds may only be applied to the State Project.
- I. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due to the Local Government, the State, or the Federal Government will be promptly paid by the owing party.
- J. The State will not pay interest on any funds provided by the Local Government.
- K. The Local Government funding participation responsibilities include Project direct costs only, except when the Project is terminated before completion at the request of the Local Government as addressed in the Termination provision of this Agreement.
- L. The amounts shown on Attachment D are estimates only. If actual costs exceed the estimates, this shall be considered a fixed price agreement, and no additional funding shall be required of the Local Government except to the extent that the additional costs result from changes made at the request of the Local Government or to the extent that the additional costs are not eligible for federal participation under the federal HBRRP. If actual costs are less than the estimates, Local Government participation shall be recalculated based on actual costs. If the recalculation results in a reduction in participation by the Local Government, the State shall pay the difference to the Local Government upon completion of the Project.
- M. Under the provisions of Texas Transportation Code Section 222.053 certain counties qualify as Economically Disadvantaged Counties (EDC) in comparison to other counties in the state as below average per capita property value, below average per capita income, and above average unemployment, for certain years. If applicable, in consideration of such EDC status that may be applicable for the Project, the required local match fund participation has been adjusted to _____ percent (___%).
- N. The State will not execute the contract for the construction of a Project until the required funding has been made available by the Local Government in accordance with this Agreement.
- O. The state auditor may conduct an audit or investigation of any entity receiving funds from the State directly under this contract or indirectly through a subcontract under this contract. Acceptance of funds directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. An entity that is the subject of an audit or investigation must provide the state

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auditor with access to any information the state auditor considers relevant to the investigation or audit.

- P. The Local Government is authorized to submit requests for reimbursement by submitting the original of an itemized invoice in a form and containing all items required by the State no more frequently than monthly, and no later than ninety (90) days after costs are incurred. If the Local Government submits invoices more than ninety (90) days after the costs are incurred, and if federal funding is reduced as a result, the State shall have no responsibility to reimburse the Local Government for those costs.

14. Performance by Local Government of Equivalent-Match Projects (EMP) in Return for Waiver of Local Match Participation Funding on Participation-Waived Projects (PWP)

- A. Applicability. If a request for waiver has been received and approved by the State's District Engineer, then the required ten percent matching fund participation or percent as adjusted for EDC consideration, as shown in Attachment D, Estimate of Direct Costs, but excluding ineligible costs under the bridge program, is waived. This waiver is based on the commitment of the Local Government to spend an equivalent amount of funds for structural or safety improvement on "other" bridge structures and other conditions as specified in 43 TAC Section 15.55(d). If a waiver has been granted, the Project shall be defined to be a PWP and the work on the "other" bridge structures that will be improved by the Local Government shall be defined to be the EMPs. Attachment C to this Agreement shows a list of EMPs under this Agreement.
- B. Project Cost Estimate for PWP. Attachment D to this Agreement shows the estimated direct preliminary engineering, construction engineering, and construction costs for the PWP in total and local match fund participation being waived or partially waived.
- C. Credit Against EMP Work. Any local match fund participation that has already been paid, or which the Local Government is agreeable to paying to the State, will be credited against EMP work to be performed by the Local Government. If applicable, this credit will be reflected in Attachment D to this Agreement.
- D. Responsibilities of the Local Government on EMPs.
 - 1. The Local Government shall be responsible for all engineering and construction, related costs, and compliance with all applicable state and federal environmental regulations and permitting requirements.
 - 2. The structural or safety improvement work on the EMPs shall be performed subsequent to the final execution of this Agreement but within three (3) calendar years after the earliest contract award of the related PWPs.

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3. Written documentation, suitable for audit, of the structural or safety improvement work completed on the EMPs shall be kept on file by the Local Government for four (4) years after completion of work or claims, lawsuits, or audits related to those items, whichever is longer. A notice of completion of work on the EMPs shall be delivered to the State's District Engineer no later than thirty (30) calendar days after work is completed on the EMPs.
 4. Failure by the Local Government to adequately complete the EMPs within the stated three-year period shall result in the Local Government being excluded from receiving such waivers for a minimum of five (5) years.
- E. Funding of Ineligible or Additional Work Not Waived.** Regardless of any waiver of eligible program costs, the Local Government shall pay the State one-hundred percent (100%) of the cost of any PWP item or portion of a cost item that is not eligible for federal or state participation, and one-hundred percent (100%) of the costs resulting from additional work on the PWP performed solely at the request of the Local Government. If the ineligible or additional work is preliminary engineering, the payment shall be made at least thirty (30) days prior to the beginning of preliminary engineering work on the PWP. If the ineligible or additional work is for construction or construction engineering, the payment shall be made at least forty-five (45) days prior to the date set for receipt of bids for construction of the PWP.

15. Notices

All notices to either party shall be delivered personally or sent by certified or U.S. mail, postage prepaid, addressed to that party at the following address:

State: Director, Bridge Division
Texas Department of Transportation
125 E. 11th Street
Austin, Texas 78701

Local Government: Hopkins County Judge
118 Church Street
Sulphur Springs, Texas 75482

All notices shall be deemed given on the date delivered in person or deposited in the mail, unless otherwise provided by this agreement. Either party may change the above address by sending written notice of the change to the other party. Either party may request in writing that notices shall be delivered personally or by certified U.S. mail, and that request shall be carried out by the other party.

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16. Legal Construction

In case one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or unenforceability shall not affect any other provisions and this Agreement shall be construed as if it did not contain the invalid, illegal, or unenforceable provision.

17. Responsibilities of the Parties

The parties to this Agreement agree that no party is an agent, servant, or employee of the other party and each party agrees it is responsible for its individual acts and deeds as well as the acts and deeds of its contractors, employees, representatives, and agents.

18. Ownership of Documents

Upon completion or termination of this Agreement, all documents prepared by the State shall remain the property of the State. All data prepared under this Agreement shall be made available to the State without restriction or limitation on their further use. All documents produced or approved or otherwise created by the Local Government shall be transmitted to the State in the form of photocopy reproduction on a monthly basis as required by the State. The originals shall remain the property of the Local Government. At the request of the State, the Local Government shall submit any information required by the State in the format directed by the State.

19. Compliance with Laws

The parties shall comply with all federal, state, and local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any courts or administrative bodies or tribunals in any manner affecting the performance of this Agreement. When required, the Local Government shall furnish the State with satisfactory proof of this compliance.

20. Sole Agreement

This Agreement constitutes the sole and only agreement between the parties and supersedes any prior understandings or written or oral agreements respecting the subject matter of this Agreement.

21. Office of Management and Budget (OMB) Cost Principles

In order to be reimbursed with federal funds, the parties shall comply with the Cost Principles established in 2 CFR 200 that specify that all reimbursed costs are allowable, reasonable, and allocable to the Project.

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22. Procurement and Property Management Standards

The parties shall adhere to the procurement standards established in Title 49 CFR §18.36 and with the property management standard established in Title 49 CFR §18.32.

23. Inspection of Books and Records

The parties to the Agreement shall maintain all books, documents, papers, accounting records, and other documentation relating to costs incurred under this Agreement and shall make such materials available to the State, the Local Government, and, if federally funded, the Federal Highway Administration (FHWA) and the U.S. Office of the Inspector General, or their duly authorized representatives, for review and inspection at its office during the contract period and for four (4) years from the date of completion of work defined under this contract or until any impending litigation or claims are resolved. Additionally, the State, the Local Government, the FHWA, and their duly authorized representatives shall have access to all the governmental records that are directly applicable to this Agreement for the purpose of making audits, examinations, excerpts, and transcriptions.

24. Civil Rights Compliance

- A.** Compliance with Regulations: The Local Government will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation (USDOT), the Federal Highway Administration (FHWA), as they may be amended from time to time, which are herein incorporated by reference and made part of this agreement.
- B.** Nondiscrimination: The Local Government, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Local Government will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
- C.** Solicitations for Subcontracts, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by the Local Government for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier will be notified by the Local Government of the Local Government's obligations under this contract and the Acts and Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.
- D.** Information and Reports: The Local Government will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant

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thereto, and will permit access to its books, records, accounts, other sources of information, and facilities as may be determined by the State or the FHWA to be pertinent to ascertain compliance with such Acts, Regulations or directives. Where any information required of the Local Government is in the exclusive possession of another who fails or refuses to furnish this information, the Local Government will so certify to the State or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

- E. Sanctions for Noncompliance: In the event of the Local Government's noncompliance with the Nondiscrimination provisions of this contract, the State will impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
 - 1. withholding of payments to the Local Government under the contract until the Local Government complies and/or
 - 2. cancelling, terminating, or suspending of the contract, in whole or in part.
- F. Incorporation of Provisions: The Local Government will include the provisions of paragraphs (A) through (F) in every subcontract, including procurement of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The Local Government will take such action with respect to any subcontract or procurement as the State or the FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Local Government becomes involved in, or is threatened with, litigation with a subcontractor or supplier because of such direction, the Local Government may request the State to enter into such litigation to protect the interests of the State. In addition, the Local Government may request the United States to enter into such litigation to protect the interests of the United States.

25. Disadvantaged Business Enterprise (DBE) Program Requirements

- A. The parties shall comply with the Disadvantaged Business Enterprise Program requirements established in 49 CFR Part 26.
- B. The Local Government shall adopt, in its totality, the State's federally approved DBE program.
- C. The Local Government shall set an appropriate DBE goal consistent with the State's DBE guidelines and in consideration of the local market, project size, and nature of the goods or services to be acquired. The Local Government shall have final decision-making authority regarding the DBE goal and shall be responsible for documenting its actions.
- D. The Local Government shall follow all other parts of the State's DBE program referenced in TxDOT Form 2395, Memorandum of Understanding Regarding the Adoption of the Texas Department of Transportation's Federally-Approved

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Disadvantaged Business Enterprise by Entity and attachments found at web address

http://ftp.dot.state.tx.us/pub/txdot-info/bop/dbe/mou/mou_attachments.pdf.

- E. The Local Government shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any U.S. Department of Transportation (DOT)-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Local Government shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non-discrimination in award and administration of DOT-assisted contracts. The State's DBE program, as required by 49 CFR Part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Local Government of its failure to carry out its approved program, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).
- F. Each contract the Local Government signs with a contractor (and each subcontract the prime contractor signs with a sub-contractor) must include the following assurance: *The contractor, sub-recipient, or sub-contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this agreement, which may result in the termination of this agreement or such other remedy as the recipient deems appropriate.*

26. Debarment Certifications

The parties are prohibited from making any award at any tier to any party that is debarred or suspended or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549, "Debarment and Suspension." By executing this agreement, the Local Government certifies that it is not currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549 and further certifies that it will not do business with any party that is currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549. The parties to this contract shall require any party to a subcontract or purchase order awarded under this contract to certify its eligibility to receive Federal funds and, when requested by the State, to furnish a copy of the certification.

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27. Lobbying Certification

In executing this Agreement, each signatory certifies to the best of that signatory's knowledge and belief, that:

- A. No federal appropriated funds have been paid or will be paid by or on behalf of the parties to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with federal contracts, grants, loans, or cooperative agreements, the signatory for the Local Government shall complete and submit the Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- C. The parties shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and all sub-recipients shall certify and disclose accordingly. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Title 31 U.S.C. §1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

28. Federal Funding Accountability and Transparency Act Requirements

- A. Any recipient of funds under this agreement agrees to comply with the Federal Funding Accountability and Transparency Act (FFATA) and implementing regulations at 2 CFR Part 170, including Appendix A. This agreement is subject to the following award terms: <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22705.pdf> and <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22706.pdf>.
- B. The Local Government agrees that it shall:
 1. Obtain and provide to the State, a Central Contracting Registry (CCR) number (Federal Acquisition Regulation, Part 4, Sub-part 4.1100) if this award provides for more than \$25,000 in Federal funding. The CCR number may be obtained by visiting the CCR web-site whose address is:
<https://www.sam.gov/portal/public/SAM/>;

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2. Obtain and provide to the State a Data Universal Numbering System (DUNS) number, a unique nine-character number that allows the federal government to track the distribution of federal money. The DUNS number may be requested free of charge for all businesses and entities required to do so by visiting the Dun & Bradstreet (D&B) on-line registration website <http://fedgov.dnb.com/webform>; and
3. Report the total compensation and names of its top five (5) executives to the State if:
 - i. More than 80% of annual gross revenues are from the Federal government, and those revenues are greater than \$25,000,000; and
 - ii. The compensation information is not already available through reporting to the U.S. Securities and Exchange Commission.

29. Successors and Assigns

The State and the Local Government each binds itself, its successors, executors, assigns, and administrators to the other party to this Agreement and to the successors, executors, assigns, and administrators of such other party in respect to all covenants of this Agreement.

30. Local Government Restrictions

In the case that the Local Government has an existing, future, or proposed local ordinance, commissioners court order, rule, policy, or other directive that is more restrictive than the state or federal regulations that results in an increase cost to the State for the project, the local government is responsible for all increased costs associated with the ordinance, order, policy, directive, or change.

31. Single Audit Report

- A. The parties shall comply with the requirements of the Single Audit Act of 1984, P.L. 98-502, ensuring that the single audit report includes the coverage stipulated in 2 CFR 200.
- B. If threshold expenditures of \$750,000 or more are met during the fiscal year, the Local Government must submit a Single Audit Report and Management Letter (if applicable) to TxDOT's Compliance Division, 125 East 11th Street, Austin, TX 78701 or contact TxDOT's Compliance Division at singleaudits@txdot.gov.
- C. If expenditures are less than the threshold during the Local Government's fiscal year, the Local Government must submit a statement to TxDOT's Compliance Division as follows: "We did not meet the \$_____ expenditure threshold and therefore, are not required to have a single audit performed for FY_____."
- D. For each year the project remains open for federal funding expenditures, the Local Government will be responsible for filing a report or statement as described

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above. The required annual filing shall extend throughout the life of the agreement, unless otherwise amended or the project has been formally closed out and no charges have been incurred within the current fiscal year.

32. Pertinent Non-Discrimination Authorities

During the performance of this contract, the Local Government, for itself, its assignees, and successors in interest agree to comply with the following nondiscrimination statutes and authorities; including but not limited to:

- A. Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- B. The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects).
- C. Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), as amended, (prohibits discrimination on the basis of sex).
- D. Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.) as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27.
- E. The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age).
- F. Airport and Airway Improvement Act of 1982, (49 U.S.C. Chapter 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex).
- G. The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not).
- H. Titles II and III of the Americans with Disabilities Act, which prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38.
- I. The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex).
- J. Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures

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nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations.

- K. Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, the parties must take reasonable steps to ensure that LEP persons have meaningful access to the programs (70 Fed. Reg. at 74087 to 74100).
- L. Title IX of the Education Amendments of 1972, as amended, which prohibits the parties from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq.).

33. Signatory Warranty

Each signatory warrants that the signatory has necessary authority to execute this agreement on behalf of the entity represented.

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ATTACHMENT A RESOLUTION OR ORDINANCE OF LOCAL GOVERNMENT

HOPKINS COUNTY COMMISSIONERS COURT

RESOLUTION No. ____

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, hereinafter referred to as the Local Government, owns a bridge located at Big Creek on CR 1152, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-42-001; and

WHEREAS, the Local Government owns a bridge located at Crooks Creek on CR 4714, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-84-001; and

WHEREAS, the Local Government owns a bridge located at North Caney Creek Tributary on CR 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, the Local Government owns a bridge located at Mitchell Creek Tributary on CR 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, the Local Government owns a bridge located at Turkey Creek on CR 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, the Local Government owns a bridge located at Mitcham Branch on CR 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-098, 0901-28-093, 0901-28-092, 0901-28-095, 0901-28-097, and 0901-28-103, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such as a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

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WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge projects is:

CSJ	NBI	Local Participation
0901-28-098	01-113-0-AA05-42-001	CR 1152 / \$36,966
0901-28-093	01-113-0-AA05-84-001	CR 4714 / \$26,352
0901-28-092	01-113-0-AA04-93-001	CR 4767 / \$23,790
0901-28-095	01-113-0-AA03-07-001	CR 3545 / \$21,106
0901-28-097	01-113-0-AA03-58-001	CR 1138 / \$39,528
0901-28-103	01-113-0-AA03-56-001	CR 1137 / \$22,814
TOTAL		\$170,556

\$170,556 (dollars), hereinafter referred to as the "participation-waived projects (PWP)", such participation requirement the Local Government proposes be waived; and

WHEREAS, Rains County, by its Resolution dated May 14, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP project to Hopkins County to be used towards EMP work needed to complete their PWPs; and

WHEREAS, Hunt County, by its Resolution dated August 25, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP projects to Hopkins County to be used towards EMP work needed to complete their PWPs;

THEREFORE, BE IT RESOLVED that the Local Government perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hopkins CR 4719 near FM 2653	Yes	Replace culvert	\$ 3,320.51
Hopkins CR 4707 near CR 4702	Yes	Replace culvert	\$ 3,058.10
Hopkins CR 4574 near CR 4581	Yes	Replace culvert	\$ 2,428.12
Hopkins CR 1109 near FM 3389	Yes	Install new culvert	\$ 3,228.06
Hopkins CR 1173 near CR 1174	Yes	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 01190AA3160001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 01117AA0514001)	Yes	Install sheet piling at east abutment & backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$61,425.00
TOTAL			\$315,464.54

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BE IT FURTHER RESOLVED:

1. That the Hopkins County Judge is hereby authorized and directed to execute Advance Funding Agreements with the State of Texas, acting by and through the Texas Department of Transportation, to replace off-system bridges on CR 1152 at Big Creek, CR 4714 at Crooks Creek, CR 4767 at North Caney Creek Tributary, CR 3545 at Mitchell Creek Tributary, CR 1138 at Turkey Creek, and CR 1137 at Mitcham Branch in Hopkins County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 21 day of September, 2020.



Robert Newsom, Hopkins County Judge



Mickey Barker, Commissioner, Precinct 1



Greg Anglin, Commissioner, Precinct 2

ABSENT

Wade Bartley, Commissioner, Precinct 3



Joe Price, Commissioner, Precinct 4

CSJ # 0901-28-097
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 Project: CR 1138 at Turkey Creek
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RAINS COUNTY COMMISSIONERS COURT

RESOLUTION No. 4-2020

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Rains County, hereinafter referred to as the Local Government, owns a bridge located on CR 1495 at Woodbury Creek, National Bridge Inventory (NBI) Structure Number 01-190-0-AA02-58-001; and

WHEREAS, a project to remedy the bridge is included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Number 0901-30-018; and

WHEREAS, the usual fund participation ratio for projects on said program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge project is \$44,408 (dollars), hereinafter referred to as the "participation-waived (PWP) project", such participation requirement the Local Government proposes be waived;

THEREFORE, BE IT RESOLVED that Rains County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWP not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Rains CR 3160 at Magee Branch (01-190-AA31-60-001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
		TOTAL	\$94,662.13

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BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is in excess, \$50,254.13, is being made available to Hopkins County for use as their local match fund participation for the PWP's authorized in the Counties noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	ESTIMATED COST
01-113-0-AA05-42-001	0901-28-098	\$14,394.00
01-113-0-AA05-84-001	0901-28-093	\$26,352.00
01-113-0-AA04-93-001	0901-28-092	\$ 9,508.13
	TOTAL	\$50,254.13

BE IT FURTHER RESOLVED:

1. That the Rains County Judge is hereby authorized and directed to execute an Advance Funding Agreement with the State of Texas, acting by and through the Texas Department of Transportation, to replace an off-system bridge on CR 1495 at Woodbury Creek in Rains County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 14 day of May, 2020.

Wayne Wolfe
 Wayne Wolfe, Rains County Judge

Patsy Marshall
 Patsy Marshall, Commissioner, Precinct 1

Mike Willis
 Mike Willis, Commissioner Precinct 2

Michael Godwin
 Michael Godwin, Commissioner, Precinct 3

Joe Humphrey
 Joe Humphrey, Commissioner, Precinct 4



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HUNT COUNTY COMMISSIONERS COURT

RESOLUTION No. #16,342

FILED FOR RECORD
at 10:15 a.m. on
SEP 08 2020
By JENNIFER LINDENZWIG
County Clerk, Hunt County, TX

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at North Caney Creek Tributary on County Road 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitchell Creek Tributary on County Road 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Turkey Creek on County Road 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitcham Branch on County Road 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1320, National Bridge Inventory (NBI) Structure Number 01-075-0-AA03-30-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1020, National Bridge Inventory (NBI) Structure Number 01-075-0-AA04-20-002; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-092, 0901-28-095, 0901-28-097, 0901-28-103, 0901-32-104, and 0901-32-106, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

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WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such as a project of structural improvement work being referred to as an equivalent-match project (EMP); and

WHEREAS, the estimated local match fund participation requirements on the approved federal off-system bridge projects are:

CSJ	NBI	Local Participation
0901-28-092	01-113-0-AA04-93-001	CR 4767 / \$14,282.00 (\$9,508 prev. funded)
0901-28-095	01-113-0-AA03-07-003	CR 3545 / \$21,106.00
0901-28-097	01-113-0-AA03-58-001	CR 1138 / \$39,528.00
0901-28-103	01-113-0-AA03-56-001	CR 1137 / \$22,814.00
0901-32-104	01-075-0-AA03-30-001	CR 1320 / \$57,096.00
0901-32-106	01-075-0-AA04-20-002	CR 1020 / \$44,700.66 (\$25,083 remaining)
TOTAL		\$199,526.66

\$199,526.66 (dollars), hereinafter referred to as the participation-waived projects (PWP), such participation requirement the Local Governments propose to be waived;

THEREFORE, BE IT RESOLVED that Hunt County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hunt CR 3110 at Timber Creek (01-117-AA05-14-001)	Yes	Install sheet piling at East abutment & backfill	\$ 13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$ 76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$ 48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$ 61,425.00
TOTAL			\$199,526.66

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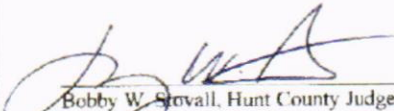
BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is \$199,526.66 is being made available to the Hopkins County and Fannin County for use as their local match fund participation for the PWP's authorized as noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	LOCAL PARTICIPATION
01-113-0-AA04-93-001	0901-28-092	\$14,282.00
01-113-0-AA03-07-003	0901-28-095	\$21,106.00
01-113-0-AA03-58-001	0901-28-097	\$39,528.00
01-113-0-AA03-56-001	0901-28-103	\$22,814.00
01-075-0-AA03-30-001	0901-32-104	\$57,096.00
01-075-0-AA04-20-002	0901-32-106	\$44,700.66
TOTAL		\$199,526.66

BE IT FURTHER RESOLVED:

1. That the Hunt County Commissioners Court pledges the aforementioned equivalent-match projects against the aforementioned participation-waived projects.
2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

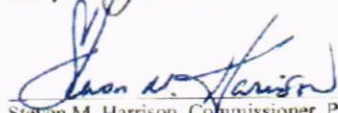
THEREFORE, IN OFFICIAL RECOGNITION WHEREOF; we the undersigned do hereby affix our signatures. Signed this the 8 day of September, 2020.


 Bobby W. Stravall, Hunt County Judge


 Eric Evans, Commissioner, Precinct 1

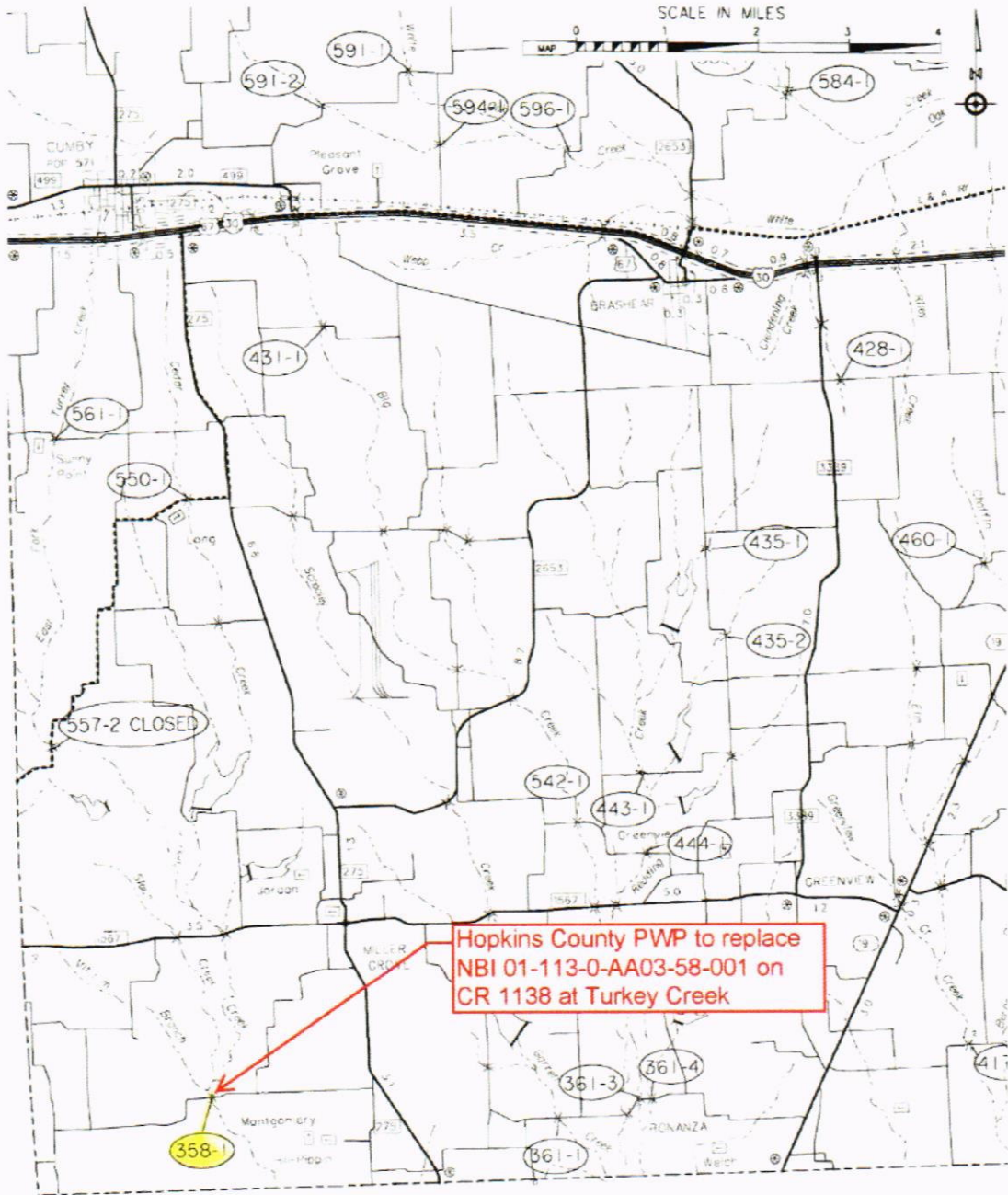

 Randy Strait, Commissioner, Precinct 2


 Phillip Martin, Commissioner, Precinct 3

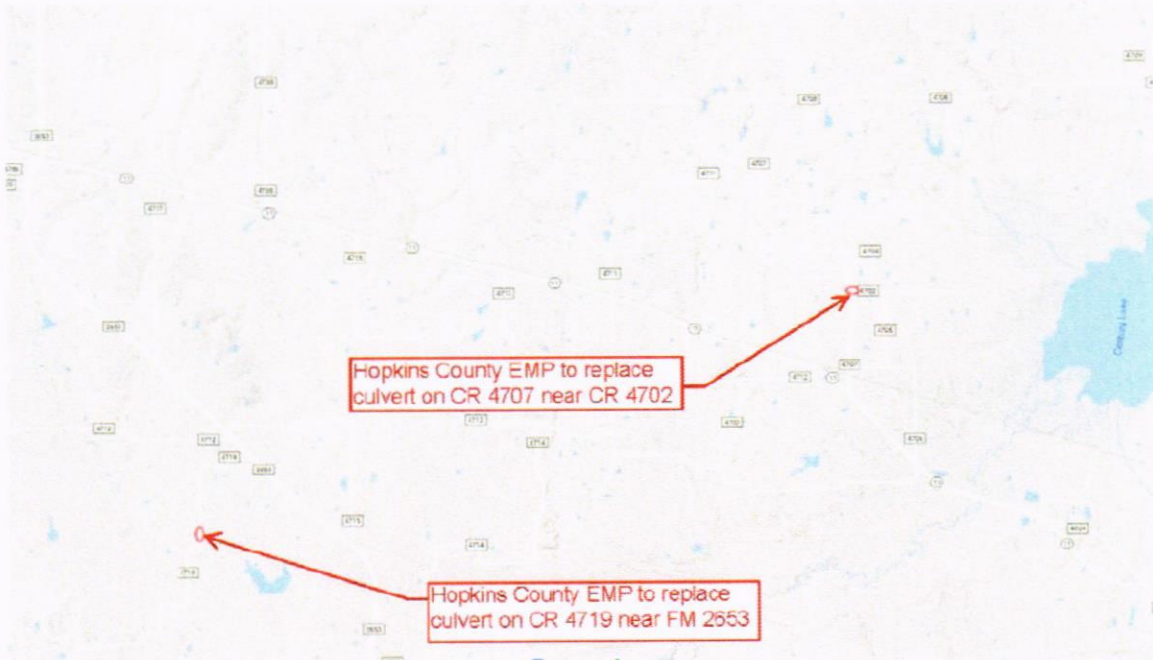

 Steven M. Harrison, Commissioner, Precinct 4

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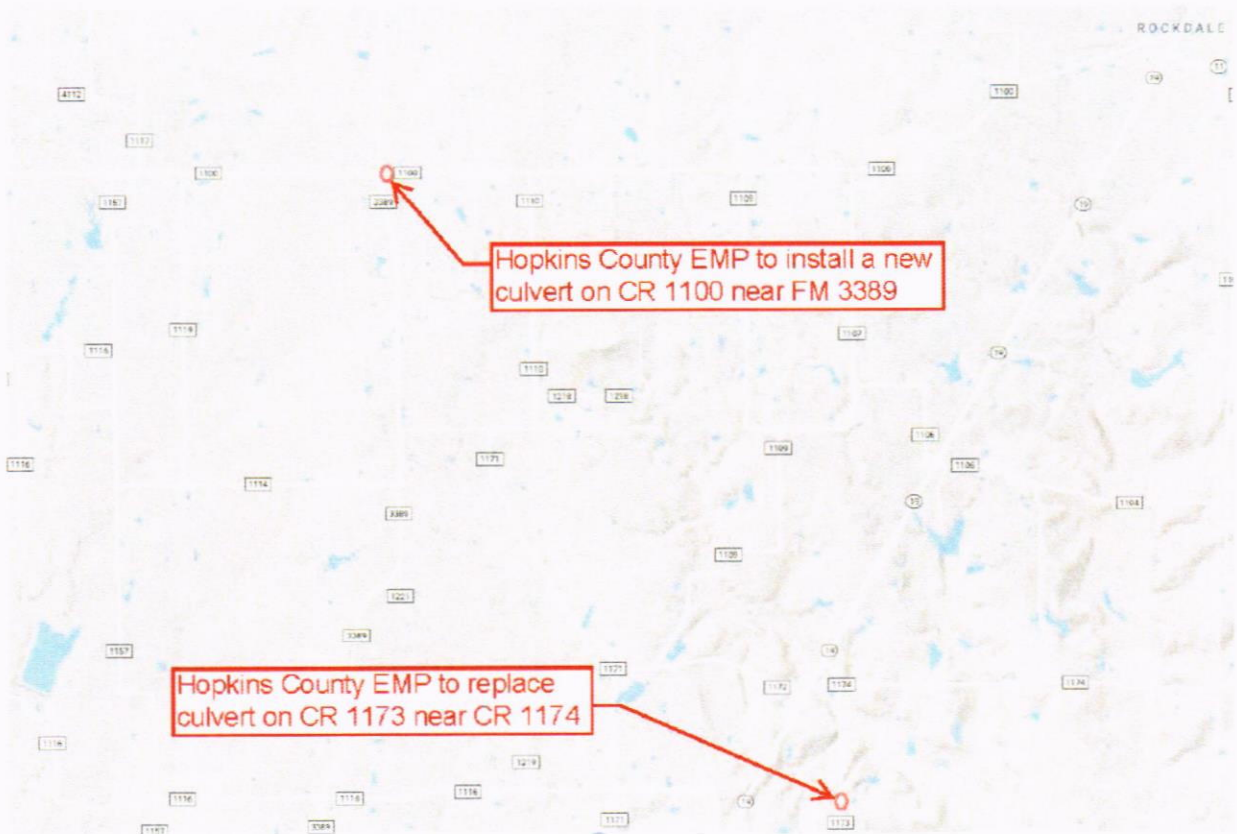
ATTACHMENT B PROJECT LOCATION MAP



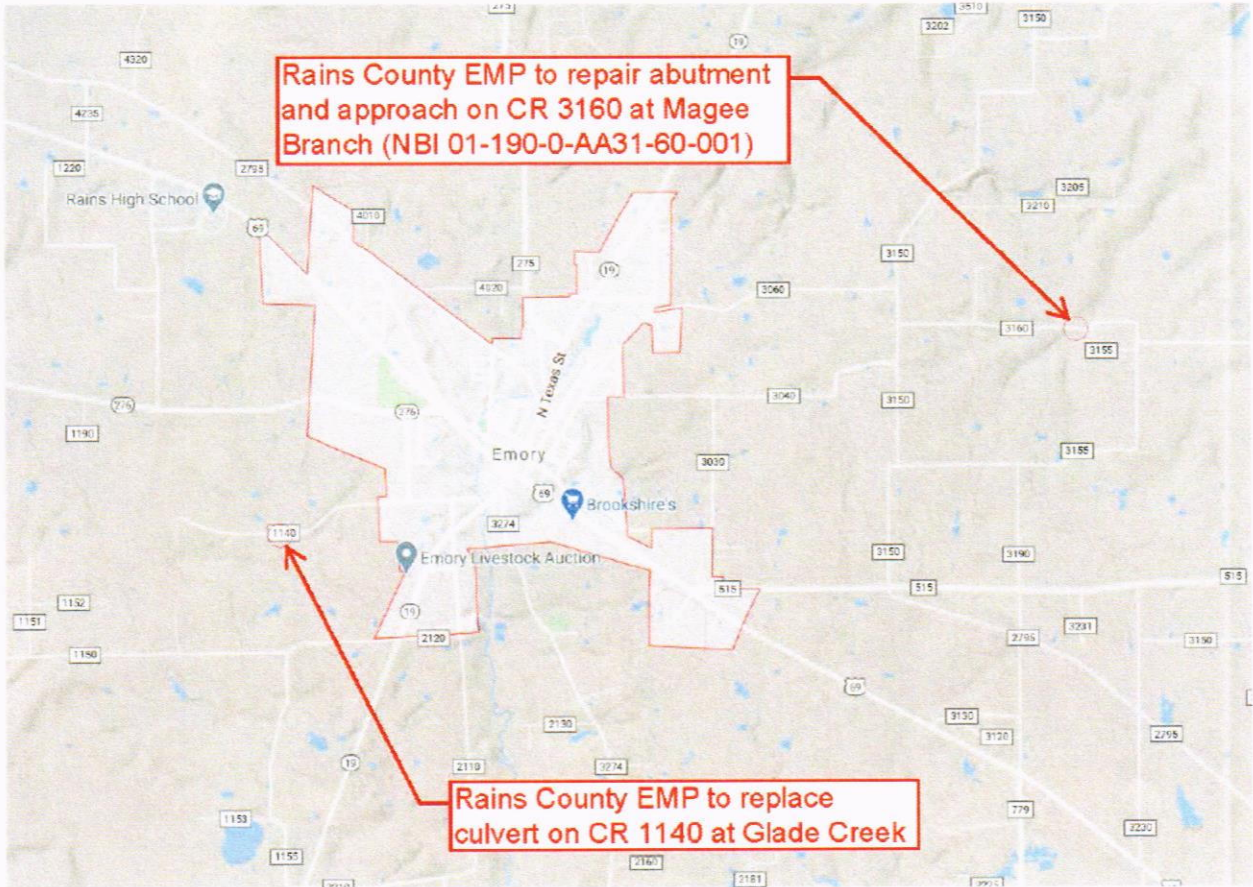
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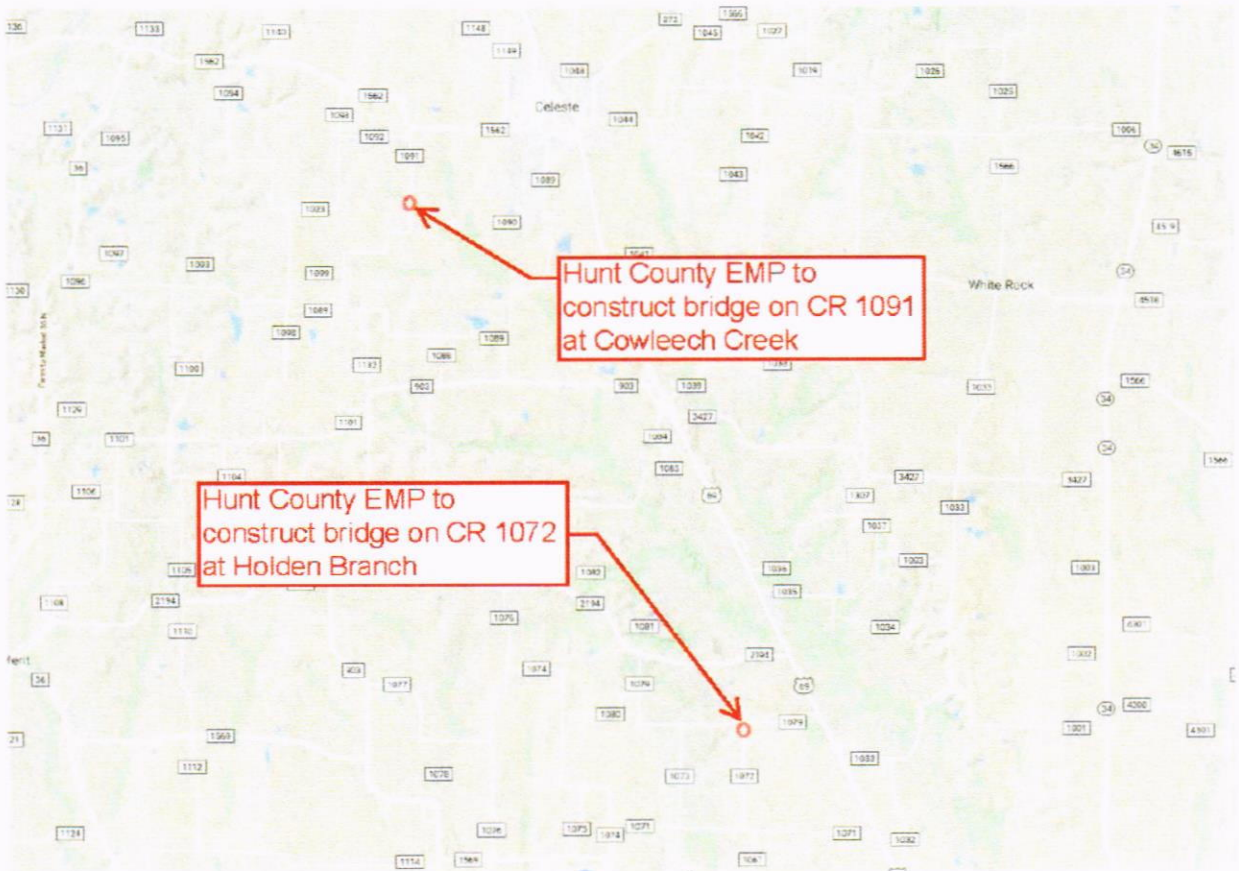
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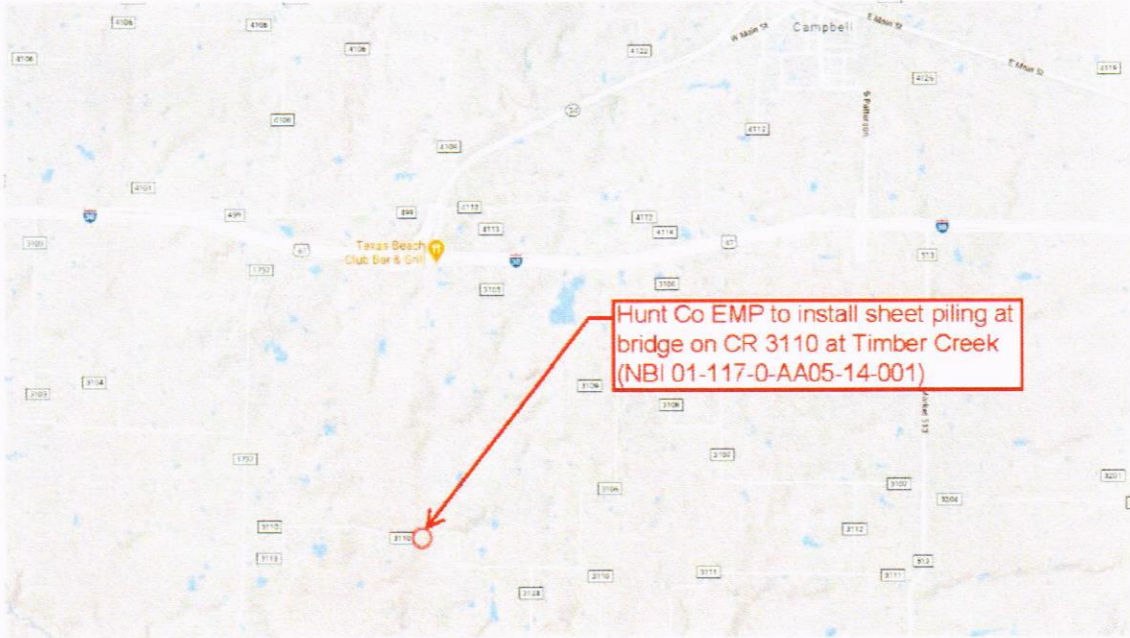
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ATTACHMENT C **
LIST OF DISTRICT ENGINEER APPROVED
EQUIVALENT-MATCH PROJECTS

Location (and structure identification number, if applicable)	On School Bus Route?	Historic Bridge?	Description of Structural or Safety Improvement Work	Estimated Cost
CR 4719 near FM 2653	Yes	No	Replace culvert	\$ 3,320.51
CR 4707 near CR 4702	Yes	No	Replace culvert	\$ 3,058.10
CR 4574 near CR 4581	Yes	No	Replace culvert	\$ 2,428.12
CR 1100 near CR 3389	Yes	No	Install new culvert	\$ 3,228.06
CR 1173 near CR 1174	Yes	No	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 011900AA3160001)	Yes	No	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	No	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 011170AA0514001)	Yes	No	Install sheet piling at east abutment and backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	No	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	No	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	No	Construct new bridge	\$61,425.00
Total				\$315,464.54
EMP work credited to this PWP*				\$39,528.00
Balance of EMP work available to associated PWPs				\$275,936.54
Associated PWPs CSJs			Amount to be Credited to Associated PWPs	
0901-30-018			\$44,408	
0901-28-093			\$26,352	
0901-28-092			\$23,790	
0901-28-095			\$21,106	
0901-28-098			\$36,966	
0901-28-103			\$22,814	
0901-32-104			\$57,096	
0901-32-106			\$43,404	
Total			\$275,936	

*This total should typically equal the "Balance of Local Government Participation" that is waived as shown in Attachment D.

**This attachment not applicable for non-PWPs.

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 Code Chart 64 # 50113
 Project: CR 1138 at Turkey Creek
 NBI Structure #01-113-0-AA03-58-001
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**ATTACHMENT D
 ESTIMATE OF DIRECT COSTS**

	<u>Estimated Cost</u>	<u>Local Government Participation</u>
Preliminary Engineering (PE)	(1) \$55,080	
Ten Percent (10%) or EDC Adjusted Percent of PE for Local Government Participation		(3) \$5,508
Construction	\$324,000	
Engineering and Contingency (E&C)	16,200	
The Sum of Construction and E&C	(2) \$340,200	
Ten Percent (10%) or EDC Adjusted Percent of the Sum of Construction and E&C for Local Government Participation		(4) \$34,020
Amount of Advance Funds Paid by Local Government *		(5) \$0
Amount of Advance Funds to be Paid by Local Government *		(6) \$0
Balance of Local Government Participation which is to be Waived where the Project is a PWP		(3+4-5-6) \$39,528
Total Project Direct Cost	(1+2) \$395,280	

*Credited Against Local Government Participation Amount

If this Project is to be a PWP, Amount of EMP Work Being Credited to this PWP as Shown
 on Attachment C. \$39,528

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Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT

DocuSigned by:
The Honorable Robert Newsom
Signature

Robert Newsom
Typed or Printed Name

Hopkins County Judge
Title

11/19/2020
Date

THE STATE OF TEXAS

DocuSigned by:
Graham Bettis
Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

12/4/2020
Date

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STATE OF TEXAS §

COUNTY OF TRAVIS §

**ADVANCE FUNDING AGREEMENT
For Bridge Replacement or Rehabilitation
Off the State System**

THIS Advance Funding Agreement (the Agreement) is made by and between the State of Texas, acting by and through the Texas Department of Transportation, called the "State", and **Hopkins County**, acting by and through its duly authorized officials, called the "Local Government."

WITNESSETH

WHEREAS, Title 23 United States Code Section 144 authorizes federal funds to assist the states in the replacement or rehabilitation of deficient bridges located on public highways, roads, and streets, including those under the jurisdiction of local governments; and

WHEREAS, the Texas Transportation Code Sections 201.103 and 222.052 establish that the State shall plan and make policies for the construction of a comprehensive system of state highways and public roads in cooperation with local governments; and

WHEREAS, the Local Government owns one or more bridges on a public road or street located at **Crooks Creek on County Road 4714**, and these bridges are included in the currently approved off-state system federal-aid Highway Bridge Replacement and Rehabilitation Program (HBRRP) as authorized by Texas Transportation Commission Minute Order Number **115550**, dated **August 29, 2019**; and

WHEREAS, the Governing Body of the Local Government has approved entering into this Agreement by resolution or ordinance, which is attached to and made a part of this agreement as Attachment A for the development of the specific programmed replacement or rehabilitation project, called the "Project". The Project is identified in the location map shown as Attachment B, which is attached to and made a part of this agreement.

NOW, THEREFORE, in consideration of the premises and of the mutual covenants and agreements of the parties, to be by them respectively kept and performed as set forth, it is agreed as follows:

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AGREEMENT

1. Period of this Agreement

This Agreement becomes effective when signed by the last party whose signing makes the Agreement fully executed. This Agreement shall remain in effect until terminated as provided in Article 2.

2. Termination of this Agreement

This Agreement shall remain in effect until the Project is completed and accepted by all parties, unless:

- A. The Agreement is terminated in writing with the mutual consent of the parties;
- B. The Agreement is terminated by one party because of a breach, in which case any cost incurred because of the breach shall be paid by the breaching party;
- C. The Local Government elects not to develop the project and the project does not proceed, in which case the Local Government agrees to reimburse the State for one-hundred percent (100%) of its reasonable actual direct and indirect costs incurred for the project; or
- D. The project is inactive for thirty-six (36) months or longer and no expenditures have been charged against federal funds, in which case the State may at its discretion terminate the agreement.

3. Amendments

Amendments to this Agreement may be made due to changes in the character of the work, the terms of the Agreement, or the responsibilities of the parties. Amendments shall be enacted through a mutually agreed upon written amendment executed by all parties to this Agreement.

4. Remedies

This Agreement shall not be considered as specifying the exclusive remedy for any Agreement default, but all remedies existing at law and in equity may be availed of by either party to this Agreement and shall be cumulative.

5. Scope of Work

The scope of work for this Agreement is the replacement or rehabilitation of the bridges identified in the recitals of this Agreement. This replacement or rehabilitation shall be accomplished in the manner described in the plans, specifications, and estimates developed in accordance with this Agreement and which are incorporated in this agreement by reference.

6. Right of Way and Real Property

- A. The Local Government is responsible for the provision and acquisition of all necessary right of way and will not be reimbursed with federal or state funds for the required right of way.
- B. The Local Government authorizes the State, its consultant, contractor, or other designated representative to enter the sites of these bridges and adjacent right of way or relocation right of way to perform surveys, inspections, construction, and other activities necessary to replace or rehabilitate these bridges and approaches.

7. Adjustment of Utilities

The Local Government shall be responsible for the adjustment, removal, or relocation of utility facilities in accordance with applicable state laws, regulations, rules, policies, and procedures, including any cost to the State of a delay resulting from the Local Government's failure to ensure that utility facilities are adjusted, removed, or relocated before the scheduled beginning of construction. The Local Government will not be reimbursed with federal or state funds for the cost of required utility work. The Local Government must obtain advance approval for any variance from established procedures. Before a construction contract is let, the Local Government shall provide, at the State's request, a certification stating that the Local Government has completed the adjustment of all utilities that must be adjusted before construction is completed.

8. Environmental Assessment and Mitigation

Development of the Project must comply with the National Environmental Policy Act and the National Historic Preservation Act of 1966, which require environmental clearance of federal-aid projects.

- A. The State is responsible for the identification and assessment of any environmental problems associated with the development of the Project governed by this Agreement.
- B. Cost participation in environmental assessment and remediation work shall be paid by the parties in the same ratio as construction costs and will be included in the construction costs identified in Attachment D, Estimate of Direct Costs.
- C. The State is responsible for providing any public meetings or public hearings required for development of the environmental assessment.
- D. The State will not begin construction of the Project until identified environmental problems have been remediated, unless provided for otherwise.

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9. Compliance with Texas Accessibility Standards and ADA

All parties to this Agreement shall ensure that the plans for and the construction of the Project subject to this Agreement are in compliance with the Texas Accessibility Standards (TAS) issued by the Texas Department of Licensing and Regulation, under the Architectural Barriers Act, Article 9102, Texas Civil Statutes. The TAS establishes minimum accessibility requirements to be consistent with minimum accessibility requirements of the Americans with Disabilities Act (P.L. 101-336) (ADA).

10. Architectural and Engineering Services

The State is responsible for performance of any required architectural or preliminary engineering work. The Local Government may review and comment on the work as required to accomplish the public purposes of the Local Government. The State will cooperate fully with the Local Government in accomplishing these local public purposes to the degree permitted by state and federal law. The Local Government review shall not unduly delay the development of the Project.

11. Construction Responsibilities

- A. The State shall advertise for construction bids, issue bid proposals, receive and tabulate the bids, and award and administer the contract for construction of the Project. Administration of the contract includes the responsibility for construction engineering and for issuance of any change orders, supplemental agreements, amendments, or additional work orders that may become necessary subsequent to the award of the construction contract. In order to ensure federal funding eligibility, projects must be authorized by the State prior to advertising for construction.
- B. Upon completion of the Project, the State will issue a "Notification of Completion" acknowledging the Project's construction completion.

12. Project Maintenance

After the Project has been completed, the Local Government shall accept full ownership, and operate and maintain the facilities authorized by this Agreement for the benefit of and at no charge of toll to the public. This covenant shall survive the completion of construction under this Agreement.

13. Local Project Sources and Uses of Funds

- A. A Project Cost Estimate is provided in Attachment D, Estimate of Direct Costs.
- B. Attachment D provides a source of funds estimate as well as the estimated direct preliminary engineering, construction engineering, and construction costs for the Project in total and by the Local Government.

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- C. The required Local Government participation is based solely upon the State's estimate of the eligible work at the time this Agreement is executed and will not be adjusted during construction except as needed to include any Project cost item or portion of a cost item ineligible for state or federal participation. In addition to its share of estimated direct engineering and construction costs, the Local Government is responsible for the direct cost of any project cost item or portion of a cost item that is not eligible for federal participation under the federal HBRRP. The Local Government is also responsible for any cost resulting from changes made at the request of the Local Government. The State and the Federal Government will not reimburse the Local Government for any work performed before federal spending authority is formally obligated to the Project by the Federal Highway Administration. After federal funds have been obligated, the State will send to the Local Government a copy of the formal documentation showing the obligation of funds including federal award information.
- D. If the Local Government will perform any work under this contract for which reimbursement will be provided by or through the State, the Local Government must complete training before federal spending authority is obligated. Training is complete when at least one individual who is working actively and directly on the Project successfully completes and receives a certificate for the course entitled *Local Government Project Procedures Qualification for the Texas Department of Transportation*. The Local Government shall provide the certificate of qualification to the State. The individual who receives the training certificate may be an employee of the Local Government or an employee of a firm that has been contracted by the Local Government to perform oversight of the Project. The State in its discretion may deny reimbursement if the Local Government has not designated a qualified individual to oversee the Project.
- E. After execution of this Agreement, but thirty (30) days prior to the performance of any work by the State, the Local Government shall remit to the State the amount specified in Attachment D for the Local Government's contribution for preliminary engineering. The Local Government will pay, at a minimum, its funding share for this estimated cost of preliminary engineering.
- F. Forty-five (45) days prior to the date set for receipt of the construction bids, the Local Government shall remit its remaining financial share for the State's estimated construction oversight and construction costs and any other costs owed.
- G. If, at the completion or termination of the Project, the State determines that additional funding is required by the Local Government, the State shall notify the Local Government in writing. The Local Government shall make payment to the State within thirty (30) days from receipt of the State's written notification.

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- H. Whenever funds are paid by the Local Government to the State under this Agreement, the Local Government shall remit a check or warrant made payable to the "Texas Department of Transportation". The check or warrant shall be deposited by the State and managed by the State. The funds may only be applied to the State Project.
- I. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due to the Local Government, the State, or the Federal Government will be promptly paid by the owing party.
- J. The State will not pay interest on any funds provided by the Local Government.
- K. The Local Government funding participation responsibilities include Project direct costs only, except when the Project is terminated before completion at the request of the Local Government as addressed in the Termination provision of this Agreement.
- L. The amounts shown on Attachment D are estimates only. If actual costs exceed the estimates, this shall be considered a fixed price agreement, and no additional funding shall be required of the Local Government except to the extent that the additional costs result from changes made at the request of the Local Government or to the extent that the additional costs are not eligible for federal participation under the federal HRRP. If actual costs are less than the estimates, Local Government participation shall be recalculated based on actual costs. If the recalculation results in a reduction in participation by the Local Government, the State shall pay the difference to the Local Government upon completion of the Project.
- M. Under the provisions of Texas Transportation Code Section 222.053 certain counties qualify as Economically Disadvantaged Counties (EDC) in comparison to other counties in the state as below average per capita property value, below average per capita income, and above average unemployment, for certain years. If applicable, in consideration of such EDC status that may be applicable for the Project, the required local match fund participation has been adjusted to _____ percent (___%).
- N. The State will not execute the contract for the construction of a Project until the required funding has been made available by the Local Government in accordance with this Agreement.
- O. The state auditor may conduct an audit or investigation of any entity receiving funds from the State directly under this contract or indirectly through a subcontract under this contract. Acceptance of funds directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. An entity that is the subject of an audit or investigation must provide the state

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auditor with access to any information the state auditor considers relevant to the investigation or audit.

- P. The Local Government is authorized to submit requests for reimbursement by submitting the original of an itemized invoice in a form and containing all items required by the State no more frequently than monthly, and no later than ninety (90) days after costs are incurred. If the Local Government submits invoices more than ninety (90) days after the costs are incurred, and if federal funding is reduced as a result, the State shall have no responsibility to reimburse the Local Government for those costs.

14. Performance by Local Government of Equivalent-Match Projects (EMP) in Return for Waiver of Local Match Participation Funding on Participation-Waived Projects (PWP)

- A. Applicability. If a request for waiver has been received and approved by the State's District Engineer, then the required ten percent matching fund participation or percent as adjusted for EDC consideration, as shown in Attachment D, Estimate of Direct Costs, but excluding ineligible costs under the bridge program, is waived. This waiver is based on the commitment of the Local Government to spend an equivalent amount of funds for structural or safety improvement on "other" bridge structures and other conditions as specified in 43 TAC Section 15.55(d). If a waiver has been granted, the Project shall be defined to be a PWP and the work on the "other" bridge structures that will be improved by the Local Government shall be defined to be the EMPs. Attachment C to this Agreement shows a list of EMPs under this Agreement.
- B. Project Cost Estimate for PWP. Attachment D to this Agreement shows the estimated direct preliminary engineering, construction engineering, and construction costs for the PWP in total and local match fund participation being waived or partially waived.
- C. Credit Against EMP Work. Any local match fund participation that has already been paid, or which the Local Government is agreeable to paying to the State, will be credited against EMP work to be performed by the Local Government. If applicable, this credit will be reflected in Attachment D to this Agreement.
- D. Responsibilities of the Local Government on EMPs.
 - 1. The Local Government shall be responsible for all engineering and construction, related costs, and compliance with all applicable state and federal environmental regulations and permitting requirements.
 - 2. The structural or safety improvement work on the EMPs shall be performed subsequent to the final execution of this Agreement but within three (3) calendar years after the earliest contract award of the related PWPs.

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3. Written documentation, suitable for audit, of the structural or safety improvement work completed on the EMPs shall be kept on file by the Local Government for four (4) years after completion of work or claims, lawsuits, or audits related to those items, whichever is longer. A notice of completion of work on the EMPs shall be delivered to the State's District Engineer no later than thirty (30) calendar days after work is completed on the EMPs.
 4. Failure by the Local Government to adequately complete the EMPs within the stated three-year period shall result in the Local Government being excluded from receiving such waivers for a minimum of five (5) years.
- E. Funding of Ineligible or Additional Work Not Waived. Regardless of any waiver of eligible program costs, the Local Government shall pay the State one-hundred percent (100%) of the cost of any PWP item or portion of a cost item that is not eligible for federal or state participation, and one-hundred percent (100%) of the costs resulting from additional work on the PWP performed solely at the request of the Local Government. If the ineligible or additional work is preliminary engineering, the payment shall be made at least thirty (30) days prior to the beginning of preliminary engineering work on the PWP. If the ineligible or additional work is for construction or construction engineering, the payment shall be made at least forty-five (45) days prior to the date set for receipt of bids for construction of the PWP.

15. Notices

All notices to either party shall be delivered personally or sent by certified or U.S. mail, postage prepaid, addressed to that party at the following address:

State: Director, Bridge Division
Texas Department of Transportation
125 E. 11th Street
Austin, Texas 78701

Local Government: Hopkins County Judge
118 Church Street
Sulphur Springs, Texas 75482

All notices shall be deemed given on the date delivered in person or deposited in the mail, unless otherwise provided by this agreement. Either party may change the above address by sending written notice of the change to the other party. Either party may request in writing that notices shall be delivered personally or by certified U.S. mail, and that request shall be carried out by the other party.

16. Legal Construction

In case one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or unenforceability shall not affect any other provisions and this Agreement shall be construed as if it did not contain the invalid, illegal, or unenforceable provision.

17. Responsibilities of the Parties

The parties to this Agreement agree that no party is an agent, servant, or employee of the other party and each party agrees it is responsible for its individual acts and deeds as well as the acts and deeds of its contractors, employees, representatives, and agents.

18. Ownership of Documents

Upon completion or termination of this Agreement, all documents prepared by the State shall remain the property of the State. All data prepared under this Agreement shall be made available to the State without restriction or limitation on their further use. All documents produced or approved or otherwise created by the Local Government shall be transmitted to the State in the form of photocopy reproduction on a monthly basis as required by the State. The originals shall remain the property of the Local Government. At the request of the State, the Local Government shall submit any information required by the State in the format directed by the State.

19. Compliance with Laws

The parties shall comply with all federal, state, and local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any courts or administrative bodies or tribunals in any manner affecting the performance of this Agreement. When required, the Local Government shall furnish the State with satisfactory proof of this compliance.

20. Sole Agreement

This Agreement constitutes the sole and only agreement between the parties and supersedes any prior understandings or written or oral agreements respecting the subject matter of this Agreement.

21. Office of Management and Budget (OMB) Cost Principles

In order to be reimbursed with federal funds, the parties shall comply with the Cost Principles established in 2 CFR 200 that specify that all reimbursed costs are allowable, reasonable, and allocable to the Project.

22. Procurement and Property Management Standards

The parties shall adhere to the procurement standards established in Title 49 CFR §18.36 and with the property management standard established in Title 49 CFR §18.32.

23. Inspection of Books and Records

The parties to the Agreement shall maintain all books, documents, papers, accounting records, and other documentation relating to costs incurred under this Agreement and shall make such materials available to the State, the Local Government, and, if federally funded, the Federal Highway Administration (FHWA) and the U.S. Office of the Inspector General, or their duly authorized representatives, for review and inspection at its office during the contract period and for four (4) years from the date of completion of work defined under this contract or until any impending litigation or claims are resolved. Additionally, the State, the Local Government, the FHWA, and their duly authorized representatives shall have access to all the governmental records that are directly applicable to this Agreement for the purpose of making audits, examinations, excerpts, and transcriptions.

24. Civil Rights Compliance

- A.** Compliance with Regulations: The Local Government will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation (USDOT), the Federal Highway Administration (FHWA), as they may be amended from time to time, which are herein incorporated by reference and made part of this agreement.
- B.** Nondiscrimination: The Local Government, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Local Government will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
- C.** Solicitations for Subcontracts, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by the Local Government for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier will be notified by the Local Government of the Local Government's obligations under this contract and the Acts and Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.
- D.** Information and Reports: The Local Government will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant

thereto, and will permit access to its books, records, accounts, other sources of information, and facilities as may be determined by the State or the FHWA to be pertinent to ascertain compliance with such Acts, Regulations or directives. Where any information required of the Local Government is in the exclusive possession of another who fails or refuses to furnish this information, the Local Government will so certify to the State or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

- E. Sanctions for Noncompliance: In the event of the Local Government's noncompliance with the Nondiscrimination provisions of this contract, the State will impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
 - 1. withholding of payments to the Local Government under the contract until the Local Government complies and/or
 - 2. cancelling, terminating, or suspending of the contract, in whole or in part.
- F. Incorporation of Provisions: The Local Government will include the provisions of paragraphs (A) through (F) in every subcontract, including procurement of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The Local Government will take such action with respect to any subcontract or procurement as the State or the FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Local Government becomes involved in, or is threatened with, litigation with a subcontractor or supplier because of such direction, the Local Government may request the State to enter into such litigation to protect the interests of the State. In addition, the Local Government may request the United States to enter into such litigation to protect the interests of the United States.

25. Disadvantaged Business Enterprise (DBE) Program Requirements

- A. The parties shall comply with the Disadvantaged Business Enterprise Program requirements established in 49 CFR Part 26.
- B. The Local Government shall adopt, in its totality, the State's federally approved DBE program.
- C. The Local Government shall set an appropriate DBE goal consistent with the State's DBE guidelines and in consideration of the local market, project size, and nature of the goods or services to be acquired. The Local Government shall have final decision-making authority regarding the DBE goal and shall be responsible for documenting its actions.
- D. The Local Government shall follow all other parts of the State's DBE program referenced in TxDOT Form 2395, Memorandum of Understanding Regarding the Adoption of the Texas Department of Transportation's Federally-Approved

Disadvantaged Business Enterprise by Entity and attachments found at web address

http://ftp.dot.state.tx.us/pub/txdot-info/bop/dbe/mou/mou_attachments.pdf.

- E. The Local Government shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any U.S. Department of Transportation (DOT)-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Local Government shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non-discrimination in award and administration of DOT-assisted contracts. The State's DBE program, as required by 49 CFR Part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Local Government of its failure to carry out its approved program, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).
- F. Each contract the Local Government signs with a contractor (and each subcontract the prime contractor signs with a sub-contractor) must include the following assurance: *The contractor, sub-recipient, or sub-contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this agreement, which may result in the termination of this agreement or such other remedy as the recipient deems appropriate.*

26. Debarment Certifications

The parties are prohibited from making any award at any tier to any party that is debarred or suspended or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549, "Debarment and Suspension." By executing this agreement, the Local Government certifies that it is not currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549 and further certifies that it will not do business with any party that is currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549. The parties to this contract shall require any party to a subcontract or purchase order awarded under this contract to certify its eligibility to receive Federal funds and, when requested by the State, to furnish a copy of the certification.

27. Lobbying Certification

In executing this Agreement, each signatory certifies to the best of that signatory's knowledge and belief, that:

- A. No federal appropriated funds have been paid or will be paid by or on behalf of the parties to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with federal contracts, grants, loans, or cooperative agreements, the signatory for the Local Government shall complete and submit the Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- C. The parties shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and all sub-recipients shall certify and disclose accordingly. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Title 31 U.S.C. §1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

28. Federal Funding Accountability and Transparency Act Requirements

- A. Any recipient of funds under this agreement agrees to comply with the Federal Funding Accountability and Transparency Act (FFATA) and implementing regulations at 2 CFR Part 170, including Appendix A. This agreement is subject to the following award terms: <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22705.pdf> and <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22706.pdf>.
- B. The Local Government agrees that it shall:
 - 1. Obtain and provide to the State, a Central Contracting Registry (CCR) number (Federal Acquisition Regulation, Part 4, Sub-part 4.1100) if this award provides for more than \$25,000 in Federal funding. The CCR number may be obtained by visiting the CCR web-site whose address is:
<https://www.sam.gov/portal/public/SAM/>;

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2. Obtain and provide to the State a Data Universal Numbering System (DUNS) number, a unique nine-character number that allows the federal government to track the distribution of federal money. The DUNS number may be requested free of charge for all businesses and entities required to do so by visiting the Dun & Bradstreet (D&B) on-line registration website <http://fedgov.dnb.com/webform>; and
3. Report the total compensation and names of its top five (5) executives to the State if:
 - i. More than 80% of annual gross revenues are from the Federal government, and those revenues are greater than \$25,000,000; and
 - ii. The compensation information is not already available through reporting to the U.S. Securities and Exchange Commission.

29. Successors and Assigns

The State and the Local Government each binds itself, its successors, executors, assigns, and administrators to the other party to this Agreement and to the successors, executors, assigns, and administrators of such other party in respect to all covenants of this Agreement.

30. Local Government Restrictions

In the case that the Local Government has an existing, future, or proposed local ordinance, commissioners court order, rule, policy, or other directive that is more restrictive than the state or federal regulations that results in an increase cost to the State for the project, the local government is responsible for all increased costs associated with the ordinance, order, policy, directive, or change.

31. Single Audit Report

- A. The parties shall comply with the requirements of the Single Audit Act of 1984, P.L. 98-502, ensuring that the single audit report includes the coverage stipulated in 2 CFR 200.
- B. If threshold expenditures of \$750,000 or more are met during the fiscal year, the Local Government must submit a Single Audit Report and Management Letter (if applicable) to TxDOT's Compliance Division, 125 East 11th Street, Austin, TX 78701 or contact TxDOT's Compliance Division at singleaudits@txdot.gov.
- C. If expenditures are less than the threshold during the Local Government's fiscal year, the Local Government must submit a statement to TxDOT's Compliance Division as follows: "We did not meet the \$_____ expenditure threshold and therefore, are not required to have a single audit performed for FY_____."
- D. For each year the project remains open for federal funding expenditures, the Local Government will be responsible for filing a report or statement as described

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above. The required annual filing shall extend throughout the life of the agreement, unless otherwise amended or the project has been formally closed out and no charges have been incurred within the current fiscal year.

32. Pertinent Non-Discrimination Authorities

During the performance of this contract, the Local Government, for itself, its assignees, and successors in interest agree to comply with the following nondiscrimination statutes and authorities; including but not limited to:

- A.** Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- B.** The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects).
- C.** Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), as amended, (prohibits discrimination on the basis of sex).
- D.** Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.) as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27.
- E.** The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age).
- F.** Airport and Airway Improvement Act of 1982, (49 U.S.C. Chapter 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex).
- G.** The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not).
- H.** Titles II and III of the Americans with Disabilities Act, which prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38.
- I.** The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex).
- J.** Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures

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nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations.

- K. Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, the parties must take reasonable steps to ensure that LEP persons have meaningful access to the programs (70 Fed. Reg. at 74087 to 74100).
- L. Title IX of the Education Amendments of 1972, as amended, which prohibits the parties from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq.).

33. Signatory Warranty

Each signatory warrants that the signatory has necessary authority to execute this agreement on behalf of the entity represented.

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Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT



Signature

Robert Newsom

Typed or Printed Name

Hopkins County Judge

Title

9-21-2020

Date

THE STATE OF TEXAS

Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

Date

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ATTACHMENT A
RESOLUTION OR ORDINANCE OF LOCAL GOVERNMENT

HOPKINS COUNTY COMMISSIONERS COURT

RESOLUTION No. _____

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, hereinafter referred to as the Local Government, owns a bridge located at Big Creek on CR 1152, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-42-001; and

WHEREAS, the Local Government owns a bridge located at Crooks Creek on CR 4714, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-84-001; and

WHEREAS, the Local Government owns a bridge located at North Caney Creek Tributary on CR 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, the Local Government owns a bridge located at Mitchell Creek Tributary on CR 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, the Local Government owns a bridge located at Turkey Creek on CR 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, the Local Government owns a bridge located at Mitcham Branch on CR 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-098, 0901-28-093, 0901-28-092, 0901-28-095, 0901-28-097, and 0901-28-103, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such as a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

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WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge projects is:

CSJ	NBI	Local Participation
0901-28-098	01-113-0-AA05-42-001	CR 1152/ \$36,966
0901-28-093	01-113-0-AA05-84-001	CR 4714/ \$26,352
0901-28-092	01-113-0-AA04-93-001	CR 4767/ \$23,790
0901-28-095	01-113-0-AA03-07-001	CR 3545/ \$21,106
0901-28-097	01-113-0-AA03-58-001	CR 1138/ \$39,528
0901-28-103	01-113-0-AA03-56-001	CR 1137/ \$22,814
TOTAL		\$170,556

\$170,556 (dollars), hereinafter referred to as the "participation-waived projects (PWP)", such participation requirement the Local Government proposes be waived; and

WHEREAS, Rains County, by its Resolution dated May 14, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP project to Hopkins County to be used towards EMP work needed to complete their PWPs; and

WHEREAS, Hunt County, by its Resolution dated August 25, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP projects to Hopkins County to be used towards EMP work needed to complete their PWPs;

THEREFORE, BE IT RESOLVED that the Local Government perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hopkins CR 4719 near FM 2653	Yes	Replace culvert	\$ 3,320.51
Hopkins CR 4707 near CR 4702	Yes	Replace culvert	\$ 3,058.10
Hopkins CR 4574 near CR 4581	Yes	Replace culvert	\$ 2,428.12
Hopkins CR 1109 near FM 3389	Yes	Install new culvert	\$ 3,228.06
Hopkins CR 1173 near CR 1174	Yes	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 01190AA3160001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 01117AA0514001)	Yes	Install sheet piling at east abutment & backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowteech Creek	Yes	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$61,425.00
TOTAL			\$315,464.54

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BE IT FURTHER RESOLVED:

1. That the Hopkins County Judge is hereby authorized and directed to execute Advance Funding Agreements with the State of Texas, acting by and through the Texas Department of Transportation, to replace off-system bridges on CR 1152 at Big Creek, CR 4714 at Crooks Creek, CR 4767 at North Caney Creek Tributary, CR 3545 at Mitchell Creek Tributary, CR 1138 at Turkey Creek, and CR 1137 at Mitcham Branch in Hopkins County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 21 day of September, 2020.



Robert Newsom, Hopkins County Judge



Mickey Barker, Commissioner, Precinct 1



Greg Anglin, Commissioner, Precinct 2

ABSENT
Wade Bartley, Commissioner, Precinct 3



Joe Price, Commissioner, Precinct 4

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RAINS COUNTY COMMISSIONERS COURT

RESOLUTION No. 4-2020

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Rains County, hereinafter referred to as the Local Government, owns a bridge located on CR 1495 at Woodbury Creek, National Bridge Inventory (NBI) Structure Number 01-190-0-AA02-58-001; and

WHEREAS, a project to remedy the bridge is included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Number 0901-30-018; and

WHEREAS, the usual fund participation ratio for projects on said program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge project is \$44,408 (dollars), hereinafter referred to as the "participation-waived (PWP) project", such participation requirement the Local Government proposes be waived;

THEREFORE, BE IT RESOLVED that Rains County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWP not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Rains CR 3160 at Magee Branch (01-190-AA31-60-001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
		TOTAL	\$94,662.13

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BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is in excess, \$50,254.13, is being made available to Hopkins County for use as their local match fund participation for the PWP's authorized in the Counties noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	ESTIMATED COST
01-113-0-AA05-42-001	0901-28-098	\$14,394.00
01-113-0-AA05-84-001	0901-28-093	\$26,352.00
01-113-0-AA04-93-001	0901-28-092	\$ 9,508.13
TOTAL		\$50,254.13

BE IT FURTHER RESOLVED:

1. That the Rains County Judge is hereby authorized and directed to execute an Advance Funding Agreement with the State of Texas, acting by and through the Texas Department of Transportation, to replace an off-system bridge on CR 1495 at Woodbury Creek in Rains County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 14 day of May, 2020.

Wayne Wolfe
 Wayne Wolfe, Rains County Judge

Patsy Marshall
 Patsy Marshall, Commissioner, Precinct 1

Mike Willis
 Mike Willis, Commissioner Precinct 2

Michael Godwin
 Michael Godwin, Commissioner, Precinct 3

Joe Humphrey
 Joe Humphrey, Commissioner, Precinct 4



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HUNT COUNTY COMMISSIONERS COURT

RESOLUTION No. #16,342

FILED FOR RECORD
at 12:15 o'clock
SEP 08 2020
By JENNIFER LINDENZWIEG
County Clerk Hunt County, TX

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at North Caney Creek Tributary on County Road 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitchell Creek Tributary on County Road 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Turkey Creek on County Road 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitcham Branch on County Road 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1320, National Bridge Inventory (NBI) Structure Number 01-075-0-AA03-30-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1020, National Bridge Inventory (NBI) Structure Number 01-075-0-AA04-20-002; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-092, 0901-28-095, 0901-28-097, 0901-28-103, 0901-32-104, and 0901-32-106, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

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WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an equivalent-match project (EMP); and

WHEREAS, the estimated local match fund participation requirements on the approved federal off-system bridge projects are:

CSJ	NBI	Local Participation
0901-28-092	01-113-0-AA04-93-001	CR 4767 / \$14,282.00 (\$9,508 prev. funded)
0901-28-095	01-113-0-AA03-07-003	CR 3545 / \$21,106.00
0901-28-097	01-113-0-AA03-58-001	CR 1138 / \$39,528.00
0901-28-103	01-113-0-AA03-56-001	CR 1137 / \$22,814.00
0901-32-104	01-075-0-AA03-30-001	CR 1320 / \$57,096.00
0901-32-106	01-075-0-AA04-20-002	CR 1020 / \$44,700.66 (\$25,083 remaining)
TOTAL		\$199,526.66

\$199,526.66 (dollars), hereinafter referred to as the participation-waived projects (PWPs), such participation requirement the Local Governments propose to be waived;

THEREFORE, BE IT RESOLVED that Hunt County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hunt CR 3110 at Timber Creek (01-117-AA05-14-001)	Yes	Install sheet piling at East abutment & backfill	\$ 13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$ 76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$ 48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$ 61,425.00
TOTAL			\$199,526.66

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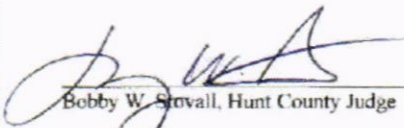
BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is \$199,526.66 is being made available to the Hopkins County and Fannin County for use as their local match fund participation for the PWP's authorized as noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	LOCAL PARTICIPATION
01-113-0-AA04-93-001	0901-28-092	\$14,282.00
01-113-0-AA03-07-003	0901-28-095	\$21,106.00
01-113-0-AA03-58-001	0901-28-097	\$39,528.00
01-113-0-AA03-56-001	0901-28-103	\$22,814.00
01-075-0-AA03-30-001	0901-32-104	\$57,096.00
01-075-0-AA04-20-002	0901-32-106	\$44,700.66
TOTAL		\$199,526.66

BE IT FURTHER RESOLVED:

1. That the Hunt County Commissioners Court pledges the aforementioned equivalent-match projects against the aforementioned participation-waived projects.
2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

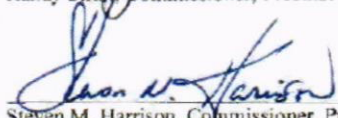
THEREFORE, IN OFFICIAL RECOGNITION WHEREOF; we the undersigned do hereby affix our signatures. Signed this the 8 day of September, 2020.


 Bobby W. Strvall, Hunt County Judge

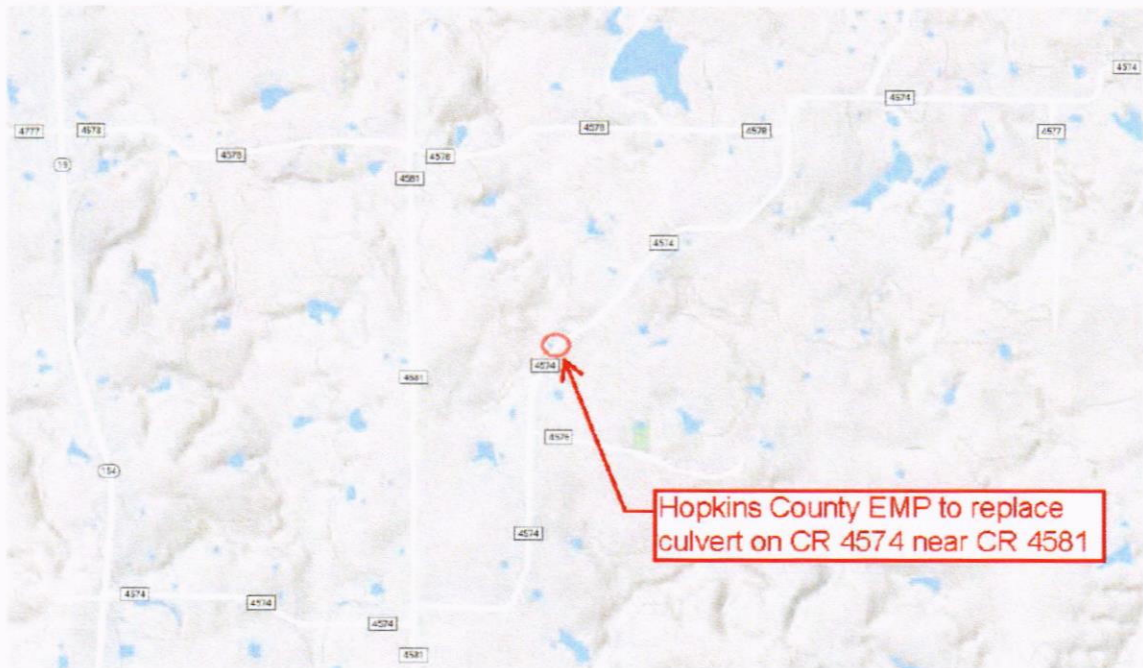
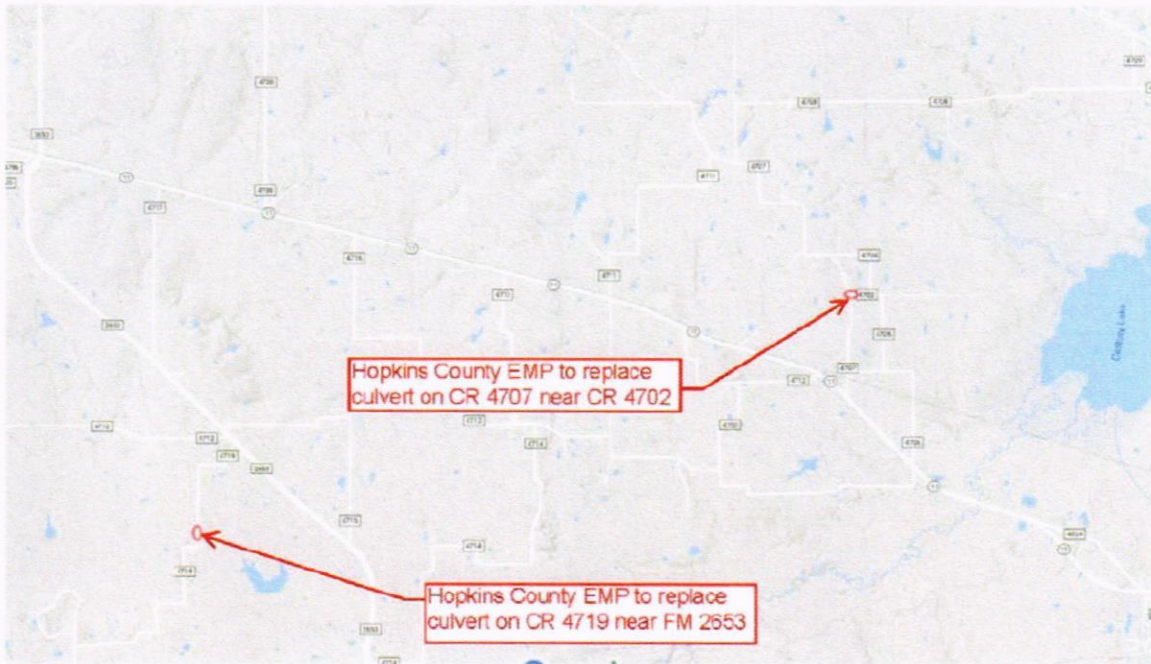

 Eric Evans, Commissioner, Precinct 1


 Randy Strait, Commissioner, Precinct 2

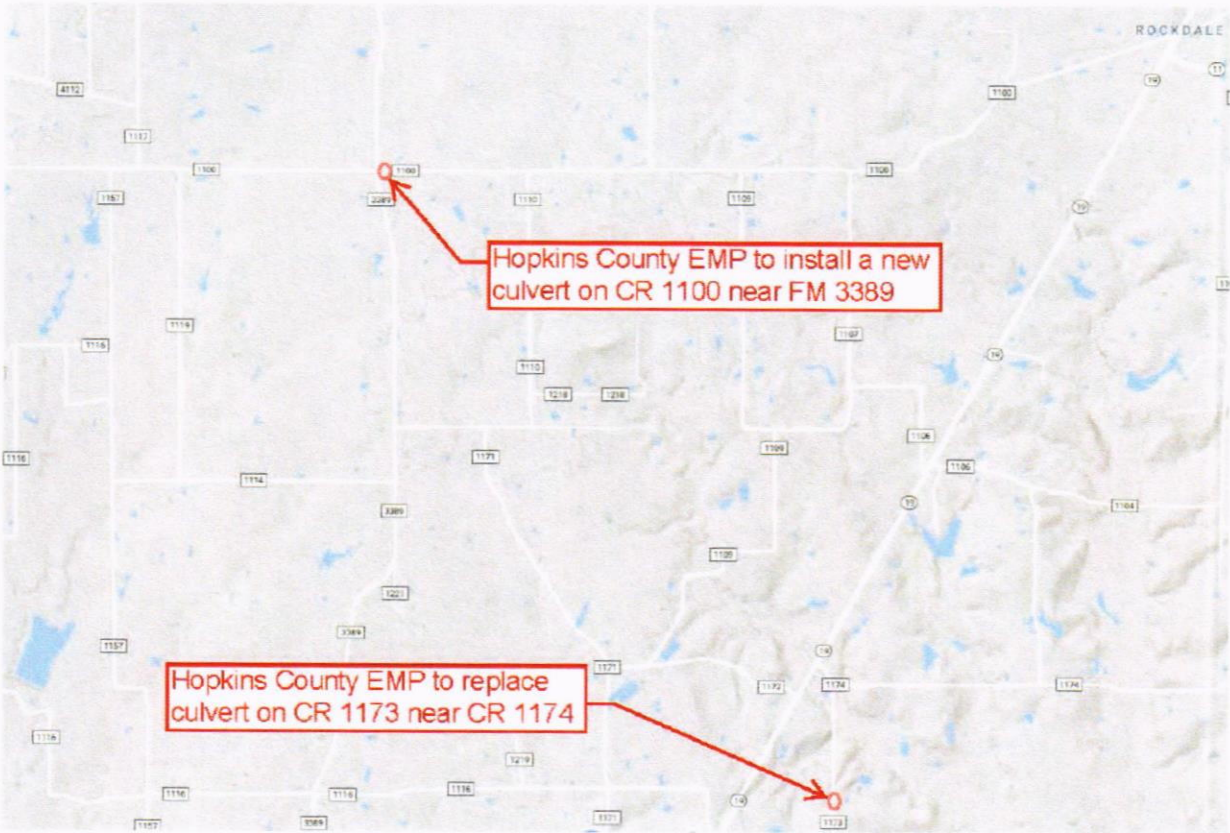

 Phillip Martin, Commissioner, Precinct 3


 Steven M. Harrison, Commissioner, Precinct 4

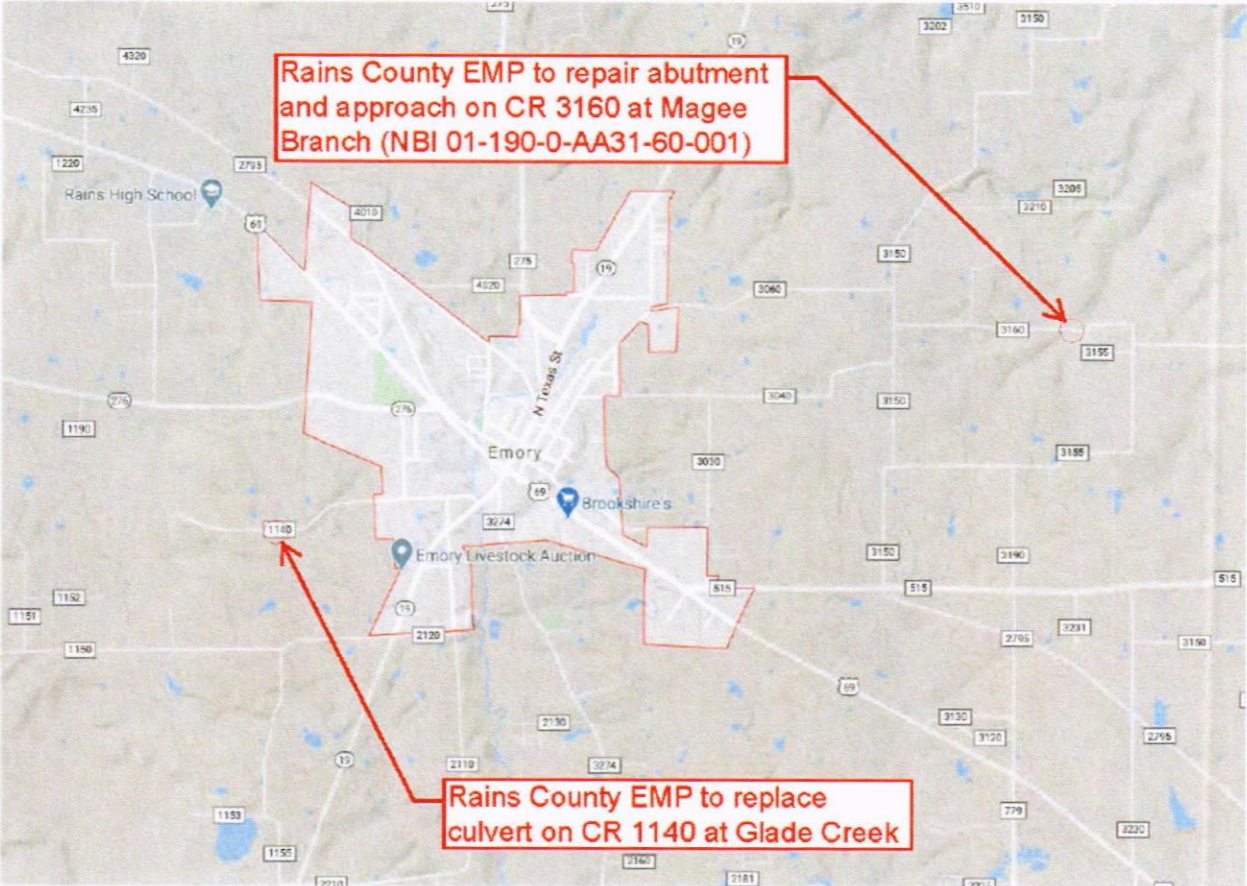
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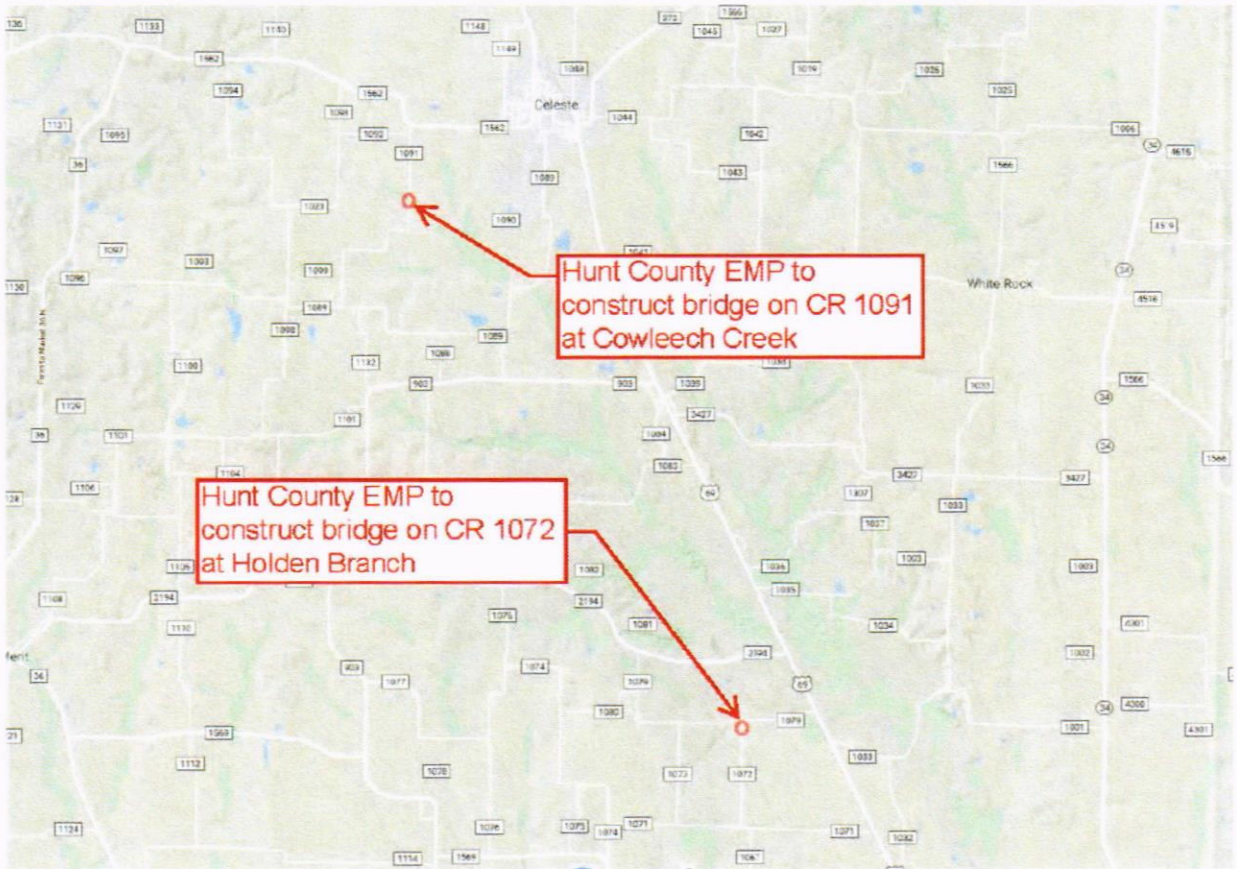
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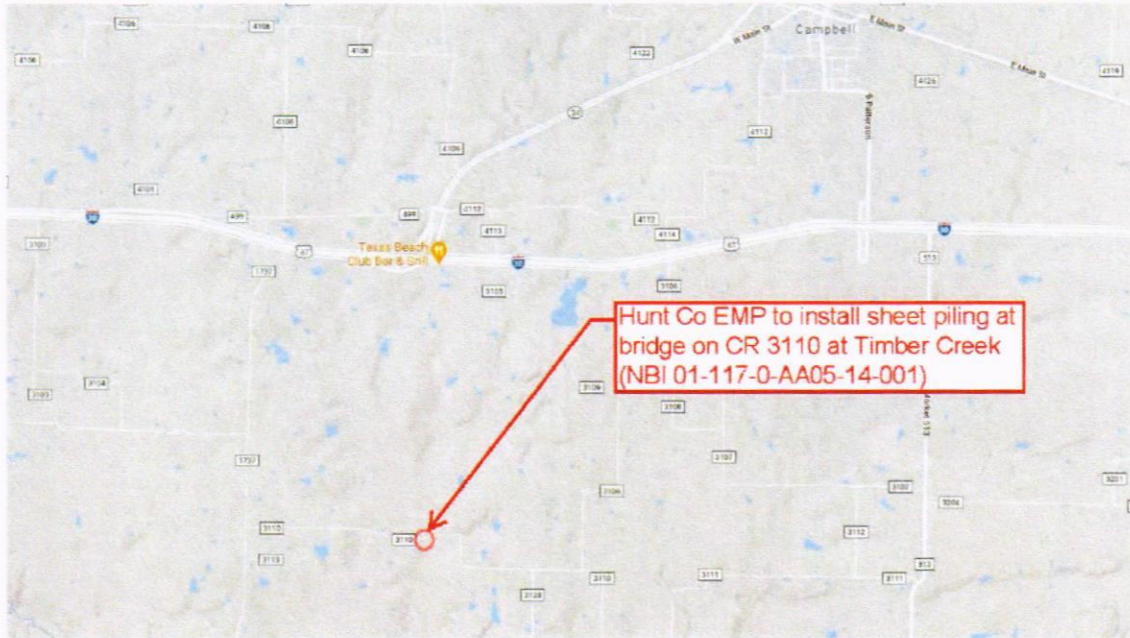
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Not Research and Development



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District # 01-PAR
Code Chart 64 # 50113
Project: CR 4714 at Crooks Creek
NBI Structure #01-113-0-AA05-84-001
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
CFDA No.: 20.205
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ATTACHMENT C **

LIST OF DISTRICT ENGINEER APPROVED EQUIVALENT-MATCH PROJECTS

Location (and structure identification number, if applicable)	On School Bus Route?	Historic Bridge?	Description of Structural or Safety Improvement Work	Estimated Cost
CR 4719 near FM 2653	Yes	No	Replace culvert	\$ 3,320.51
CR 4707 near CR 4702	Yes	No	Replace culvert	\$ 3,058.10
CR 4574 near CR 4581	Yes	No	Replace culvert	\$ 2,428.12
CR 1100 near CR 3389	Yes	No	Install new culvert	\$ 3,228.06
CR 1173 near CR 1174	Yes	No	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 011900AA3160001)	Yes	No	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	No	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 011170AA0514001)	Yes	No	Install sheet piling at east abutment and backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	No	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	No	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	No	Construct new bridge	\$61,425.00
Total				\$315,464.54
EMP work credited to this PWP*				\$26,352.00
Balance of EMP work available to associated PWPs				\$289,112.54
Associated PWPs CSJs			Amount to be Credited to Associated PWPs	
0901-30-018			\$44,408	
0901-28-098			\$36,966	
0901-28-092			\$23,790	
0901-28-095			\$21,106	
0901-28-097			\$39,528	
0901-28-103			\$22,814	
0901-32-104			\$57,096	
0901-32-106			\$43,404	
Total			\$289,112	

*This total should typically equal the "Balance of Local Government Participation" that is waived as shown in Attachment D.

**This attachment not applicable for non-PWPs.

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**ATTACHMENT D
 ESTIMATE OF DIRECT COSTS**

	<u>Estimated Cost</u>	<u>Local Government Participation</u>
Preliminary Engineering (PE)	(1) \$33,150	
Ten Percent (10%) or EDC Adjusted Percent of PE for Local Government Participation		(3) \$3,315
Construction	\$195,000	
Engineering and Contingency (E&C)	9,750	
The Sum of Construction and E&C	(2) \$204,750	
Ten Percent (10%) or EDC Adjusted Percent of the Sum of Construction and E&C for Local Government Participation		(4) \$20,475
Amount of Advance Funds Paid by Local Government *		(5) \$0
Amount of Advance Funds to be Paid by Local Government *		(6) \$0
Balance of Local Government Participation which is to be Waived where the Project is a PWP		(3+4-5-6) \$23,790
Total Project Direct Cost	(1+2) \$237,900	

*Credited Against Local Government Participation Amount

If this Project is to be a PWP, Amount of EMP Work Being Credited to this PWP as Shown
 on Attachment C. \$23,790

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Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT

DocuSigned by:
The Honorable Robert Newsom
19334E03288F487...
Signature

Robert Newsom
Typed or Printed Name

Hopkins County Judge
Title

11/19/2020
Date

THE STATE OF TEXAS

DocuSigned by:
Graham Bettis
08572EE88D114E7...

Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

12/4/2020
Date

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STATE OF TEXAS §

COUNTY OF TRAVIS §

**ADVANCE FUNDING AGREEMENT
For Bridge Replacement or Rehabilitation
Off the State System**

THIS Advance Funding Agreement (the Agreement) is made by and between the State of Texas, acting by and through the Texas Department of Transportation, called the "State", and **Hopkins County**, acting by and through its duly authorized officials, called the "Local Government."

WITNESSETH

WHEREAS, Title 23 United States Code Section 144 authorizes federal funds to assist the states in the replacement or rehabilitation of deficient bridges located on public highways, roads, and streets, including those under the jurisdiction of local governments; and

WHEREAS, the Texas Transportation Code Sections 201.103 and 222.052 establish that the State shall plan and make policies for the construction of a comprehensive system of state highways and public roads in cooperation with local governments; and

WHEREAS, the Local Government owns one or more bridges on a public road or street located at **North Caney Creek Tributary on County Road 4767**, and these bridges are included in the currently approved off-state system federal-aid Highway Bridge Replacement and Rehabilitation Program (HBRRP) as authorized by Texas Transportation Commission Minute Order Number **115550**, dated **August 29, 2019**; and

WHEREAS, the Governing Body of the Local Government has approved entering into this Agreement by resolution or ordinance, which is attached to and made a part of this agreement as Attachment A for the development of the specific programmed replacement or rehabilitation project, called the "Project". The Project is identified in the location map shown as Attachment B, which is attached to and made a part of this agreement.

NOW, THEREFORE, in consideration of the premises and of the mutual covenants and agreements of the parties, to be by them respectively kept and performed as set forth, it is agreed as follows:

AGREEMENT

1. Period of this Agreement

This Agreement becomes effective when signed by the last party whose signing makes the Agreement fully executed. This Agreement shall remain in effect until terminated as provided in Article 2.

2. Termination of this Agreement

This Agreement shall remain in effect until the Project is completed and accepted by all parties, unless:

- A. The Agreement is terminated in writing with the mutual consent of the parties;
- B. The Agreement is terminated by one party because of a breach, in which case any cost incurred because of the breach shall be paid by the breaching party;
- C. The Local Government elects not to develop the project and the project does not proceed, in which case the Local Government agrees to reimburse the State for one-hundred percent (100%) of its reasonable actual direct and indirect costs incurred for the project; or
- D. The project is inactive for thirty-six (36) months or longer and no expenditures have been charged against federal funds, in which case the State may at its discretion terminate the agreement.

3. Amendments

Amendments to this Agreement may be made due to changes in the character of the work, the terms of the Agreement, or the responsibilities of the parties. Amendments shall be enacted through a mutually agreed upon written amendment executed by all parties to this Agreement.

4. Remedies

This Agreement shall not be considered as specifying the exclusive remedy for any Agreement default, but all remedies existing at law and in equity may be availed of by either party to this Agreement and shall be cumulative.

5. Scope of Work

The scope of work for this Agreement is the replacement or rehabilitation of the bridges identified in the recitals of this Agreement. This replacement or rehabilitation shall be accomplished in the manner described in the plans, specifications, and estimates developed in accordance with this Agreement and which are incorporated in this agreement by reference.

6. Right of Way and Real Property

- A. The Local Government is responsible for the provision and acquisition of all necessary right of way and will not be reimbursed with federal or state funds for the required right of way.
- B. The Local Government authorizes the State, its consultant, contractor, or other designated representative to enter the sites of these bridges and adjacent right of way or relocation right of way to perform surveys, inspections, construction, and other activities necessary to replace or rehabilitate these bridges and approaches.

7. Adjustment of Utilities

The Local Government shall be responsible for the adjustment, removal, or relocation of utility facilities in accordance with applicable state laws, regulations, rules, policies, and procedures, including any cost to the State of a delay resulting from the Local Government's failure to ensure that utility facilities are adjusted, removed, or relocated before the scheduled beginning of construction. The Local Government will not be reimbursed with federal or state funds for the cost of required utility work. The Local Government must obtain advance approval for any variance from established procedures. Before a construction contract is let, the Local Government shall provide, at the State's request, a certification stating that the Local Government has completed the adjustment of all utilities that must be adjusted before construction is completed.

8. Environmental Assessment and Mitigation

Development of the Project must comply with the National Environmental Policy Act and the National Historic Preservation Act of 1966, which require environmental clearance of federal-aid projects.

- A. The State is responsible for the identification and assessment of any environmental problems associated with the development of the Project governed by this Agreement.
- B. Cost participation in environmental assessment and remediation work shall be paid by the parties in the same ratio as construction costs and will be included in the construction costs identified in Attachment D, Estimate of Direct Costs.
- C. The State is responsible for providing any public meetings or public hearings required for development of the environmental assessment.
- D. The State will not begin construction of the Project until identified environmental problems have been remediated, unless provided for otherwise.

9. Compliance with Texas Accessibility Standards and ADA

All parties to this Agreement shall ensure that the plans for and the construction of the Project subject to this Agreement are in compliance with the Texas Accessibility Standards (TAS) issued by the Texas Department of Licensing and Regulation, under the Architectural Barriers Act, Article 9102, Texas Civil Statutes. The TAS establishes minimum accessibility requirements to be consistent with minimum accessibility requirements of the Americans with Disabilities Act (P.L. 101-336) (ADA).

10. Architectural and Engineering Services

The State is responsible for performance of any required architectural or preliminary engineering work. The Local Government may review and comment on the work as required to accomplish the public purposes of the Local Government. The State will cooperate fully with the Local Government in accomplishing these local public purposes to the degree permitted by state and federal law. The Local Government review shall not unduly delay the development of the Project.

11. Construction Responsibilities

- A. The State shall advertise for construction bids, issue bid proposals, receive and tabulate the bids, and award and administer the contract for construction of the Project. Administration of the contract includes the responsibility for construction engineering and for issuance of any change orders, supplemental agreements, amendments, or additional work orders that may become necessary subsequent to the award of the construction contract. In order to ensure federal funding eligibility, projects must be authorized by the State prior to advertising for construction.
- B. Upon completion of the Project, the State will issue a "Notification of Completion" acknowledging the Project's construction completion.

12. Project Maintenance

After the Project has been completed, the Local Government shall accept full ownership, and operate and maintain the facilities authorized by this Agreement for the benefit of and at no charge of toll to the public. This covenant shall survive the completion of construction under this Agreement.

13. Local Project Sources and Uses of Funds

- A. A Project Cost Estimate is provided in Attachment D, Estimate of Direct Costs.
- B. Attachment D provides a source of funds estimate as well as the estimated direct preliminary engineering, construction engineering, and construction costs for the Project in total and by the Local Government.

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- C. The required Local Government participation is based solely upon the State's estimate of the eligible work at the time this Agreement is executed and will not be adjusted during construction except as needed to include any Project cost item or portion of a cost item ineligible for state or federal participation. In addition to its share of estimated direct engineering and construction costs, the Local Government is responsible for the direct cost of any project cost item or portion of a cost item that is not eligible for federal participation under the federal HBRRP. The Local Government is also responsible for any cost resulting from changes made at the request of the Local Government. The State and the Federal Government will not reimburse the Local Government for any work performed before federal spending authority is formally obligated to the Project by the Federal Highway Administration. After federal funds have been obligated, the State will send to the Local Government a copy of the formal documentation showing the obligation of funds including federal award information.
- D. If the Local Government will perform any work under this contract for which reimbursement will be provided by or through the State, the Local Government must complete training before federal spending authority is obligated. Training is complete when at least one individual who is working actively and directly on the Project successfully completes and receives a certificate for the course entitled *Local Government Project Procedures Qualification for the Texas Department of Transportation*. The Local Government shall provide the certificate of qualification to the State. The individual who receives the training certificate may be an employee of the Local Government or an employee of a firm that has been contracted by the Local Government to perform oversight of the Project. The State in its discretion may deny reimbursement if the Local Government has not designated a qualified individual to oversee the Project.
- E. After execution of this Agreement, but thirty (30) days prior to the performance of any work by the State, the Local Government shall remit to the State the amount specified in Attachment D for the Local Government's contribution for preliminary engineering. The Local Government will pay, at a minimum, its funding share for this estimated cost of preliminary engineering.
- F. Forty-five (45) days prior to the date set for receipt of the construction bids, the Local Government shall remit its remaining financial share for the State's estimated construction oversight and construction costs and any other costs owed.
- G. If, at the completion or termination of the Project, the State determines that additional funding is required by the Local Government, the State shall notify the Local Government in writing. The Local Government shall make payment to the State within thirty (30) days from receipt of the State's written notification.

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- H. Whenever funds are paid by the Local Government to the State under this Agreement, the Local Government shall remit a check or warrant made payable to the "Texas Department of Transportation". The check or warrant shall be deposited by the State and managed by the State. The funds may only be applied to the State Project.
- I. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due to the Local Government, the State, or the Federal Government will be promptly paid by the owing party.
- J. The State will not pay interest on any funds provided by the Local Government.
- K. The Local Government funding participation responsibilities include Project direct costs only, except when the Project is terminated before completion at the request of the Local Government as addressed in the Termination provision of this Agreement.
- L. The amounts shown on Attachment D are estimates only. If actual costs exceed the estimates, this shall be considered a fixed price agreement, and no additional funding shall be required of the Local Government except to the extent that the additional costs result from changes made at the request of the Local Government or to the extent that the additional costs are not eligible for federal participation under the federal HBRRP. If actual costs are less than the estimates, Local Government participation shall be recalculated based on actual costs. If the recalculation results in a reduction in participation by the Local Government, the State shall pay the difference to the Local Government upon completion of the Project.
- M. Under the provisions of Texas Transportation Code Section 222.053 certain counties qualify as Economically Disadvantaged Counties (EDC) in comparison to other counties in the state as below average per capita property value, below average per capita income, and above average unemployment, for certain years. If applicable, in consideration of such EDC status that may be applicable for the Project, the required local match fund participation has been adjusted to _____ percent (____%).
- N. The State will not execute the contract for the construction of a Project until the required funding has been made available by the Local Government in accordance with this Agreement.
- O. The state auditor may conduct an audit or investigation of any entity receiving funds from the State directly under this contract or indirectly through a subcontract under this contract. Acceptance of funds directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. An entity that is the subject of an audit or investigation must provide the state

auditor with access to any information the state auditor considers relevant to the investigation or audit.

- P. The Local Government is authorized to submit requests for reimbursement by submitting the original of an itemized invoice in a form and containing all items required by the State no more frequently than monthly, and no later than ninety (90) days after costs are incurred. If the Local Government submits invoices more than ninety (90) days after the costs are incurred, and if federal funding is reduced as a result, the State shall have no responsibility to reimburse the Local Government for those costs.

14. Performance by Local Government of Equivalent-Match Projects (EMP) in Return for Waiver of Local Match Participation Funding on Participation-Waived Projects (PWP)

- A. **Applicability.** If a request for waiver has been received and approved by the State's District Engineer, then the required ten percent matching fund participation or percent as adjusted for EDC consideration, as shown in Attachment D, Estimate of Direct Costs, but excluding ineligible costs under the bridge program, is waived. This waiver is based on the commitment of the Local Government to spend an equivalent amount of funds for structural or safety improvement on "other" bridge structures and other conditions as specified in 43 TAC Section 15.55(d). If a waiver has been granted, the Project shall be defined to be a PWP and the work on the "other" bridge structures that will be improved by the Local Government shall be defined to be the EMPs. Attachment C to this Agreement shows a list of EMPs under this Agreement.
- B. **Project Cost Estimate for PWP.** Attachment D to this Agreement shows the estimated direct preliminary engineering, construction engineering, and construction costs for the PWP in total and local match fund participation being waived or partially waived.
- C. **Credit Against EMP Work.** Any local match fund participation that has already been paid, or which the Local Government is agreeable to paying to the State, will be credited against EMP work to be performed by the Local Government. If applicable, this credit will be reflected in Attachment D to this Agreement.
- D. **Responsibilities of the Local Government on EMPs.**
 - 1. The Local Government shall be responsible for all engineering and construction, related costs, and compliance with all applicable state and federal environmental regulations and permitting requirements.
 - 2. The structural or safety improvement work on the EMPs shall be performed subsequent to the final execution of this Agreement but within three (3) calendar years after the earliest contract award of the related PWPs.

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3. Written documentation, suitable for audit, of the structural or safety improvement work completed on the EMPs shall be kept on file by the Local Government for four (4) years after completion of work or claims, lawsuits, or audits related to those items, whichever is longer. A notice of completion of work on the EMPs shall be delivered to the State's District Engineer no later than thirty (30) calendar days after work is completed on the EMPs.
 4. Failure by the Local Government to adequately complete the EMPs within the stated three-year period shall result in the Local Government being excluded from receiving such waivers for a minimum of five (5) years.
- E. Funding of Ineligible or Additional Work Not Waived.** Regardless of any waiver of eligible program costs, the Local Government shall pay the State one-hundred percent (100%) of the cost of any PWP item or portion of a cost item that is not eligible for federal or state participation, and one-hundred percent (100%) of the costs resulting from additional work on the PWP performed solely at the request of the Local Government. If the ineligible or additional work is preliminary engineering, the payment shall be made at least thirty (30) days prior to the beginning of preliminary engineering work on the PWP. If the ineligible or additional work is for construction or construction engineering, the payment shall be made at least forty-five (45) days prior to the date set for receipt of bids for construction of the PWP.

15. Notices

All notices to either party shall be delivered personally or sent by certified or U.S. mail, postage prepaid, addressed to that party at the following address:

State: Director, Bridge Division
Texas Department of Transportation
125 E. 11th Street
Austin, Texas 78701

Local Government: Hopkins County Judge
118 Church Street
Sulphur Springs, Texas 75482

All notices shall be deemed given on the date delivered in person or deposited in the mail, unless otherwise provided by this agreement. Either party may change the above address by sending written notice of the change to the other party. Either party may request in writing that notices shall be delivered personally or by certified U.S. mail, and that request shall be carried out by the other party.

16. Legal Construction

In case one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or unenforceability shall not affect any other provisions and this Agreement shall be construed as if it did not contain the invalid, illegal, or unenforceable provision.

17. Responsibilities of the Parties

The parties to this Agreement agree that no party is an agent, servant, or employee of the other party and each party agrees it is responsible for its individual acts and deeds as well as the acts and deeds of its contractors, employees, representatives, and agents.

18. Ownership of Documents

Upon completion or termination of this Agreement, all documents prepared by the State shall remain the property of the State. All data prepared under this Agreement shall be made available to the State without restriction or limitation on their further use. All documents produced or approved or otherwise created by the Local Government shall be transmitted to the State in the form of photocopy reproduction on a monthly basis as required by the State. The originals shall remain the property of the Local Government. At the request of the State, the Local Government shall submit any information required by the State in the format directed by the State.

19. Compliance with Laws

The parties shall comply with all federal, state, and local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any courts or administrative bodies or tribunals in any manner affecting the performance of this Agreement. When required, the Local Government shall furnish the State with satisfactory proof of this compliance.

20. Sole Agreement

This Agreement constitutes the sole and only agreement between the parties and supersedes any prior understandings or written or oral agreements respecting the subject matter of this Agreement.

21. Office of Management and Budget (OMB) Cost Principles

In order to be reimbursed with federal funds, the parties shall comply with the Cost Principles established in 2 CFR 200 that specify that all reimbursed costs are allowable, reasonable, and allocable to the Project.

22. Procurement and Property Management Standards

The parties shall adhere to the procurement standards established in Title 49 CFR §18.36 and with the property management standard established in Title 49 CFR §18.32.

23. Inspection of Books and Records

The parties to the Agreement shall maintain all books, documents, papers, accounting records, and other documentation relating to costs incurred under this Agreement and shall make such materials available to the State, the Local Government, and, if federally funded, the Federal Highway Administration (FHWA) and the U.S. Office of the Inspector General, or their duly authorized representatives, for review and inspection at its office during the contract period and for four (4) years from the date of completion of work defined under this contract or until any impending litigation or claims are resolved. Additionally, the State, the Local Government, the FHWA, and their duly authorized representatives shall have access to all the governmental records that are directly applicable to this Agreement for the purpose of making audits, examinations, excerpts, and transcriptions.

24. Civil Rights Compliance

- A. Compliance with Regulations:** The Local Government will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation (USDOT), the Federal Highway Administration (FHWA), as they may be amended from time to time, which are herein incorporated by reference and made part of this agreement.
- B. Nondiscrimination:** The Local Government, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Local Government will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
- C. Solicitations for Subcontracts, Including Procurement of Materials and Equipment:** In all solicitations either by competitive bidding or negotiation made by the Local Government for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier will be notified by the Local Government of the Local Government's obligations under this contract and the Acts and Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.
- D. Information and Reports:** The Local Government will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant

thereto, and will permit access to its books, records, accounts, other sources of information, and facilities as may be determined by the State or the FHWA to be pertinent to ascertain compliance with such Acts, Regulations or directives. Where any information required of the Local Government is in the exclusive possession of another who fails or refuses to furnish this information, the Local Government will so certify to the State or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

- E. Sanctions for Noncompliance: In the event of the Local Government's noncompliance with the Nondiscrimination provisions of this contract, the State will impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
 - 1. withholding of payments to the Local Government under the contract until the Local Government complies and/or
 - 2. cancelling, terminating, or suspending of the contract, in whole or in part.
- F. Incorporation of Provisions: The Local Government will include the provisions of paragraphs (A) through (F) in every subcontract, including procurement of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The Local Government will take such action with respect to any subcontract or procurement as the State or the FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Local Government becomes involved in, or is threatened with, litigation with a subcontractor or supplier because of such direction, the Local Government may request the State to enter into such litigation to protect the interests of the State. In addition, the Local Government may request the United States to enter into such litigation to protect the interests of the United States.

25. Disadvantaged Business Enterprise (DBE) Program Requirements

- A. The parties shall comply with the Disadvantaged Business Enterprise Program requirements established in 49 CFR Part 26.
- B. The Local Government shall adopt, in its totality, the State's federally approved DBE program.
- C. The Local Government shall set an appropriate DBE goal consistent with the State's DBE guidelines and in consideration of the local market, project size, and nature of the goods or services to be acquired. The Local Government shall have final decision-making authority regarding the DBE goal and shall be responsible for documenting its actions.
- D. The Local Government shall follow all other parts of the State's DBE program referenced in TxDOT Form 2395, Memorandum of Understanding Regarding the Adoption of the Texas Department of Transportation's Federally-Approved

Disadvantaged Business Enterprise by Entity and attachments found at web address

http://ftp.dot.state.tx.us/pub/txdot-info/bop/dbe/mou/mou_attachments.pdf.

- E. The Local Government shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any U.S. Department of Transportation (DOT)-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Local Government shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non-discrimination in award and administration of DOT-assisted contracts. The State's DBE program, as required by 49 CFR Part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Local Government of its failure to carry out its approved program, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).
- F. Each contract the Local Government signs with a contractor (and each subcontract the prime contractor signs with a sub-contractor) must include the following assurance: *The contractor, sub-recipient, or sub-contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this agreement, which may result in the termination of this agreement or such other remedy as the recipient deems appropriate.*

26. Debarment Certifications

The parties are prohibited from making any award at any tier to any party that is debarred or suspended or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549, "Debarment and Suspension." By executing this agreement, the Local Government certifies that it is not currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549 and further certifies that it will not do business with any party that is currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549. The parties to this contract shall require any party to a subcontract or purchase order awarded under this contract to certify its eligibility to receive Federal funds and, when requested by the State, to furnish a copy of the certification.

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27. Lobbying Certification

In executing this Agreement, each signatory certifies to the best of that signatory's knowledge and belief, that:

- A. No federal appropriated funds have been paid or will be paid by or on behalf of the parties to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with federal contracts, grants, loans, or cooperative agreements, the signatory for the Local Government shall complete and submit the Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- C. The parties shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and all sub-recipients shall certify and disclose accordingly. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Title 31 U.S.C. §1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

28. Federal Funding Accountability and Transparency Act Requirements

- A. Any recipient of funds under this agreement agrees to comply with the Federal Funding Accountability and Transparency Act (FFATA) and implementing regulations at 2 CFR Part 170, including Appendix A. This agreement is subject to the following award terms: <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22705.pdf> and <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22706.pdf>.
- B. The Local Government agrees that it shall:
 - 1. Obtain and provide to the State, a Central Contracting Registry (CCR) number (Federal Acquisition Regulation, Part 4, Sub-part 4.1100) if this award provides for more than \$25,000 in Federal funding. The CCR number may be obtained by visiting the CCR web-site whose address is:
<https://www.sam.gov/portal/public/SAM/>;

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2. Obtain and provide to the State a Data Universal Numbering System (DUNS) number, a unique nine-character number that allows the federal government to track the distribution of federal money. The DUNS number may be requested free of charge for all businesses and entities required to do so by visiting the Dun & Bradstreet (D&B) on-line registration website <http://fedgov.dnb.com/webform>; and
3. Report the total compensation and names of its top five (5) executives to the State if:
 - i. More than 80% of annual gross revenues are from the Federal government, and those revenues are greater than \$25,000,000; and
 - ii. The compensation information is not already available through reporting to the U.S. Securities and Exchange Commission.

29. Successors and Assigns

The State and the Local Government each binds itself, its successors, executors, assigns, and administrators to the other party to this Agreement and to the successors, executors, assigns, and administrators of such other party in respect to all covenants of this Agreement.

30. Local Government Restrictions

In the case that the Local Government has an existing, future, or proposed local ordinance, commissioners court order, rule, policy, or other directive that is more restrictive than the state or federal regulations that results in an increase cost to the State for the project, the local government is responsible for all increased costs associated with the ordinance, order, policy, directive, or change.

31. Single Audit Report

- A. The parties shall comply with the requirements of the Single Audit Act of 1984, P.L. 98-502, ensuring that the single audit report includes the coverage stipulated in 2 CFR 200.
- B. If threshold expenditures of \$750,000 or more are met during the fiscal year, the Local Government must submit a Single Audit Report and Management Letter (if applicable) to TxDOT's Compliance Division, 125 East 11th Street, Austin, TX 78701 or contact TxDOT's Compliance Division at singleaudits@txdot.gov.
- C. If expenditures are less than the threshold during the Local Government's fiscal year, the Local Government must submit a statement to TxDOT's Compliance Division as follows: "We did not meet the \$ _____ expenditure threshold and therefore, are not required to have a single audit performed for FY _____."
- D. For each year the project remains open for federal funding expenditures, the Local Government will be responsible for filing a report or statement as described

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above. The required annual filing shall extend throughout the life of the agreement, unless otherwise amended or the project has been formally closed out and no charges have been incurred within the current fiscal year.

32. Pertinent Non-Discrimination Authorities

During the performance of this contract, the Local Government, for itself, its assignees, and successors in interest agree to comply with the following nondiscrimination statutes and authorities; including but not limited to:

- A.** Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- B.** The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects).
- C.** Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), as amended, (prohibits discrimination on the basis of sex).
- D.** Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.) as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27.
- E.** The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age).
- F.** Airport and Airway Improvement Act of 1982, (49 U.S.C. Chapter 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex).
- G.** The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not).
- H.** Titles II and III of the Americans with Disabilities Act, which prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38.
- I.** The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex).
- J.** Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures

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nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations.

- K. Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, the parties must take reasonable steps to ensure that LEP persons have meaningful access to the programs (70 Fed. Reg. at 74087 to 74100).
- L. Title IX of the Education Amendments of 1972, as amended, which prohibits the parties from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq.).


33. Signatory Warranty

Each signatory warrants that the signatory has necessary authority to execute this agreement on behalf of the entity represented.

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Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT



Signature

Robert Newsom

Typed or Printed Name

Hopkins County Judge

Title

9-21-2020

Date

THE STATE OF TEXAS

Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

Date

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ATTACHMENT A RESOLUTION OR ORDINANCE OF LOCAL GOVERNMENT

HOPKINS COUNTY COMMISSIONERS COURT

RESOLUTION No. ____

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, hereinafter referred to as the Local Government, owns a bridge located at Big Creek on CR 1152, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-42-001; and

WHEREAS, the Local Government owns a bridge located at Crooks Creek on CR 4714, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-84-001; and

WHEREAS, the Local Government owns a bridge located at North Caney Creek Tributary on CR 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, the Local Government owns a bridge located at Mitchell Creek Tributary on CR 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, the Local Government owns a bridge located at Turkey Creek on CR 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, the Local Government owns a bridge located at Mitcham Branch on CR 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-098, 0901-28-093, 0901-28-092, 0901-28-095, 0901-28-097, and 0901-28-103, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such as a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

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WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge projects is:

CSJ	NBI	Local Participation
0901-28-098	01-113-0-AA05-42-001	CR 1152 / \$36,966
0901-28-093	01-113-0-AA05-84-001	CR 4714 / \$26,352
0901-28-092	01-113-0-AA04-93-001	CR 4767 / \$23,790
0901-28-095	01-113-0-AA03-07-001	CR 3545 / \$21,106
0901-28-097	01-113-0-AA03-58-001	CR 1138 / \$39,528
0901-28-103	01-113-0-AA03-56-001	CR 1137 / \$22,814
TOTAL		\$170,556

\$170,556 (dollars), hereinafter referred to as the "participation-waived projects (PWP)", such participation requirement the Local Government proposes be waived; and

WHEREAS, Rains County, by its Resolution dated May 14, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP project to Hopkins County to be used towards EMP work needed to complete their PWPs; and

WHEREAS, Hunt County, by its Resolution dated August 25, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP projects to Hopkins County to be used towards EMP work needed to complete their PWPs;

THEREFORE, BE IT RESOLVED that the Local Government perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hopkins CR 4719 near FM 2653	Yes	Replace culvert	\$ 3,320.51
Hopkins CR 4707 near CR 4702	Yes	Replace culvert	\$ 3,058.10
Hopkins CR 4574 near CR 4581	Yes	Replace culvert	\$ 2,428.12
Hopkins CR 1100 near FM 3389	Yes	Install new culvert	\$ 3,228.06
Hopkins CR 1173 near CR 1174	Yes	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 01190AA3160001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 01117AA0514001)	Yes	Install sheet piling at east abutment & backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$61,425.00
TOTAL			\$315,464.54

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BE IT FURTHER RESOLVED:

1. That the Hopkins County Judge is hereby authorized and directed to execute Advance Funding Agreements with the State of Texas, acting by and through the Texas Department of Transportation, to replace off-system bridges on CR 1152 at Big Creek, CR 4714 at Crooks Creek, CR 4767 at North Caney Creek Tributary, CR 3545 at Mitchell Creek Tributary, CR 1138 at Turkey Creek, and CR 1137 at Mitcham Branch in Hopkins County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 21 day of September, 2020.


Robert Newsom, Hopkins County Judge


Mickey Barker, Commissioner, Precinct 1


Greg Anglin, Commissioner, Precinct 2

ABSENT
Wade Bartley, Commissioner, Precinct 3


Joe Price, Commissioner, Precinct 4

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RAINS COUNTY COMMISSIONERS COURT

RESOLUTION No. 4-2020

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Rains County, hereinafter referred to as the Local Government, owns a bridge located on CR 1495 at Woodbury Creek, National Bridge Inventory (NBI) Structure Number 01-190-0-AA02-58-001; and

WHEREAS, a project to remedy the bridge is included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Number 0901-30-018; and

WHEREAS, the usual fund participation ratio for projects on said program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge project is \$44,408 (dollars), hereinafter referred to as the "participation-waived (PWP) project", such participation requirement the Local Government proposes be waived;

THEREFORE, BE IT RESOLVED that Rains County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWP not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Rains CR 3160 at Magee Branch (01-190-AA31-60-001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
		TOTAL	\$94,662.13

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BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is in excess, \$50,254.13, is being made available to Hopkins County for use as their local match fund participation for the PWP's authorized in the Counties noted below:


LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	ESTIMATED COST
01-113-0-AA05-42-001	0901-28-098	\$14,394.00
01-113-0-AA05-84-001	0901-28-093	\$26,352.00
01-113-0-AA04-93-001	0901-28-092	\$ 9,508.13
TOTAL		\$50,254.13

BE IT FURTHER RESOLVED:

1. That the Rains County Judge is hereby authorized and directed to execute an Advance Funding Agreement with the State of Texas, acting by and through the Texas Department of Transportation, to replace an off-system bridge on CR 1495 at Woodbury Creek in Rains County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.


THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 14 day of May, 2020.


 Wayne Wolfe, Rains County Judge


 Patsy Marshall, Commissioner, Precinct 1


 Mike Willis, Commissioner Precinct 2


 Michael Godwin, Commissioner, Precinct 3


 Joe Humphrey, Commissioner, Precinct 4



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HUNT COUNTY COMMISSIONERS COURT

RESOLUTION No. #16,342

FILED FOR RECORD
at 12:15 a clock
SEP 08 2020
JENNIFER LINDENZWIEG
County Clerk, Hunt County, TX

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at North Caney Creek Tributary on County Road 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitchell Creek Tributary on County Road 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Turkey Creek on County Road 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitcham Branch on County Road 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1320, National Bridge Inventory (NBI) Structure Number 01-075-0-AA03-30-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1020, National Bridge Inventory (NBI) Structure Number 01-075-0-AA04-20-002; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-092, 0901-28-095, 0901-28-097, 0901-28-103, 0901-32-104, and 0901-32-106, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

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WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an equivalent-match project (EMP); and

WHEREAS, the estimated local match fund participation requirements on the approved federal off-system bridge projects are:

CSJ	NBI	Local Participation
0901-28-092	01-113-0-AA04-93-001	CR 4767 / \$14,282.00 (\$9,508 prev. funded)
0901-28-095	01-113-0-AA03-07-003	CR 3545 / \$21,106.00
0901-28-097	01-113-0-AA03-58-001	CR 1138 / \$39,528.00
0901-28-103	01-113-0-AA03-56-001	CR 1137 / \$22,814.00
0901-32-104	01-075-0-AA03-30-001	CR 1320 / \$57,096.00
0901-32-106	01-075-0-AA04-20-002	CR 1020 / \$44,700.66 (\$25,083 remaining)

TOTAL **\$199,526.66**

\$199,526.66 (dollars), hereinafter referred to as the participation-waived projects (PWP), such participation requirement the Local Governments propose to be waived;

THEREFORE, BE IT RESOLVED that Hunt County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hunt CR 3110 at Timber Creek (01-117-AA05-14-001)	Yes	Install sheet piling at East abutment & backfill	\$ 13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$ 76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$ 48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$ 61,425.00
TOTAL			\$199,526.66

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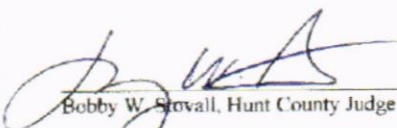
BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is \$199,526.66 is being made available to the Hopkins County and Fannin County for use as their local match fund participation for the PWP's authorized as noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	LOCAL PARTICIPATION
01-113-0-AA04-93-001	0901-28-092	\$14,282.00
01-113-0-AA03-07-003	0901-28-095	\$21,106.00
01-113-0-AA03-58-001	0901-28-097	\$39,528.00
01-113-0-AA03-56-001	0901-28-103	\$22,814.00
01-075-0-AA03-30-001	0901-32-104	\$57,096.00
01-075-0-AA04-20-002	0901-32-106	\$44,700.66
TOTAL		\$199,526.66

BE IT FURTHER RESOLVED:

1. That the Hunt County Commissioners Court pledges the aforementioned equivalent-match projects against the aforementioned participation-waived projects.
2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF; we the undersigned do hereby affix our signatures. Signed this the 8 day of September, 2020.


 Bobby W. Stovall, Hunt County Judge


 Eric Evans, Commissioner, Precinct 1

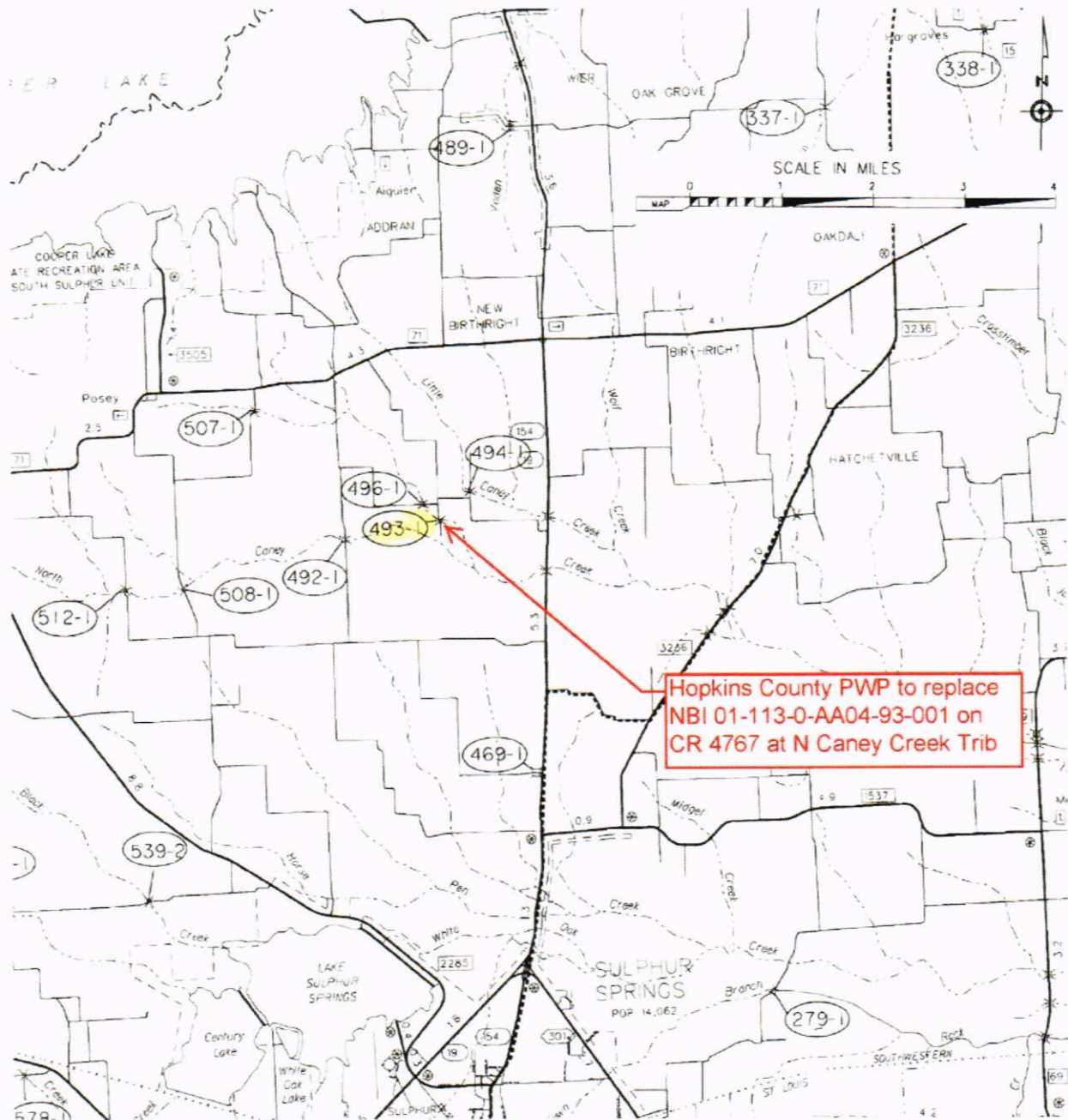

 Randy Strait, Commissioner, Precinct 2


 Phillip Martin, Commissioner, Precinct 3

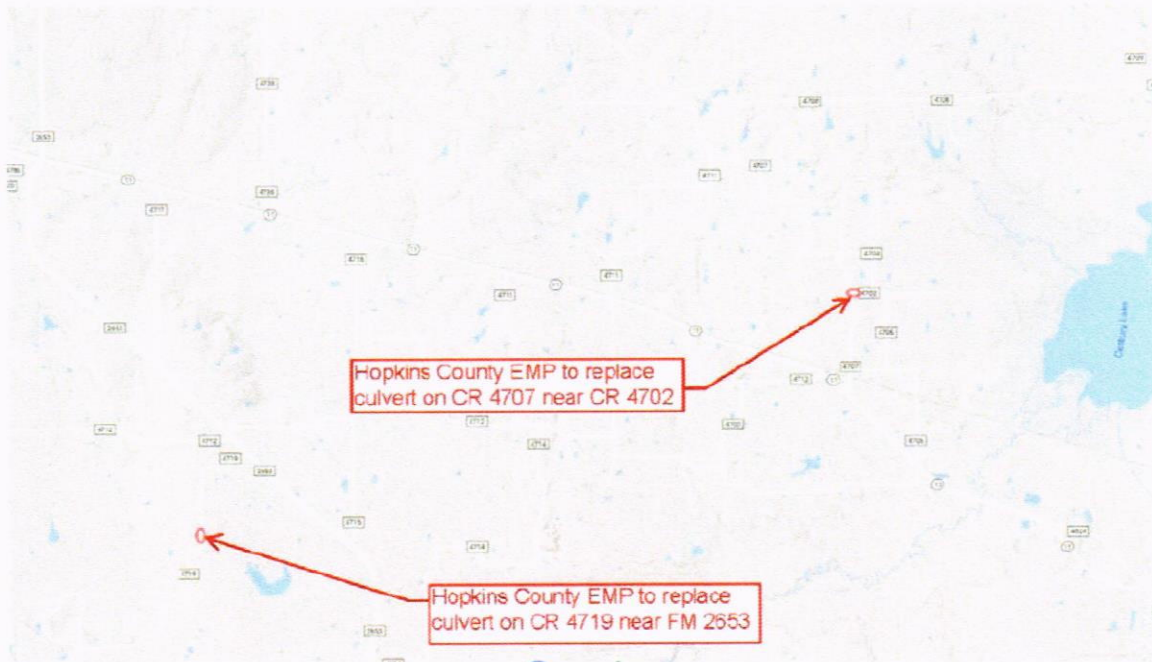

 Steven M. Harrison, Commissioner, Precinct 4

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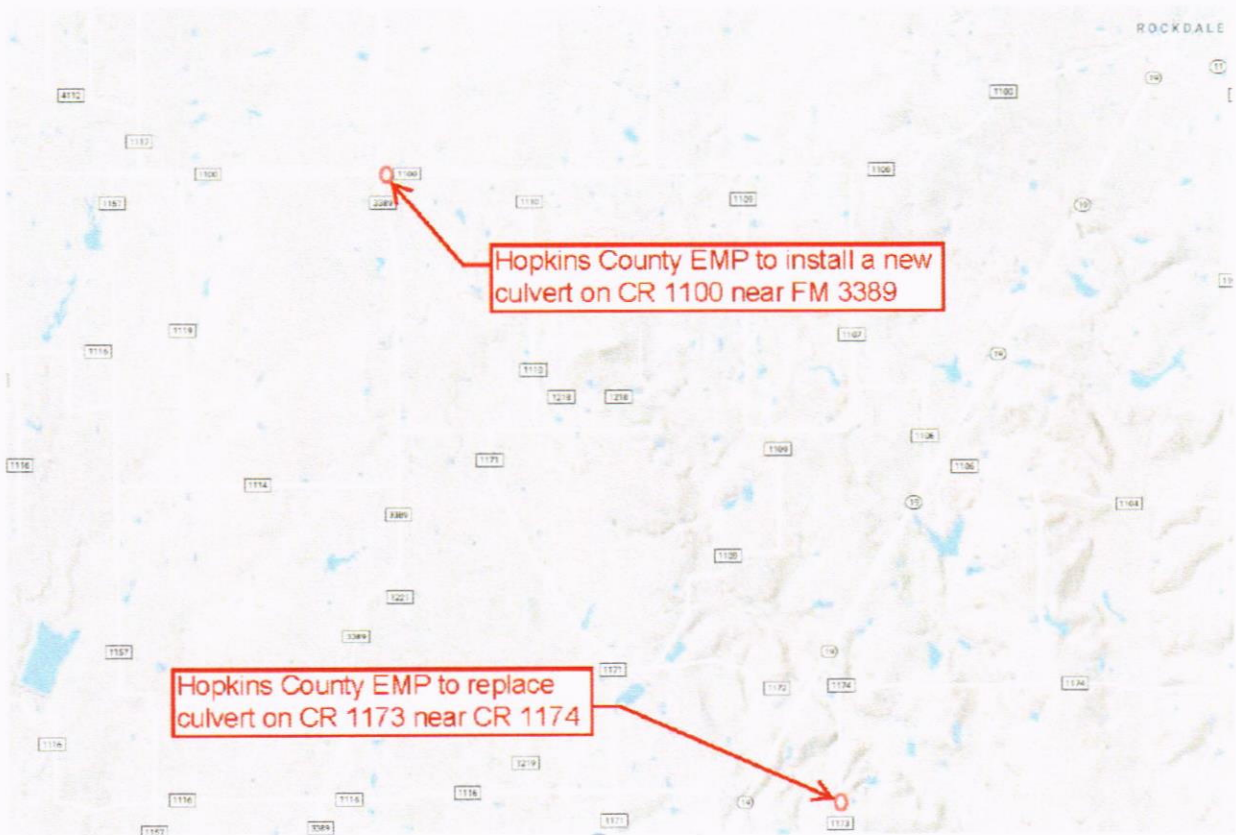
ATTACHMENT B PROJECT LOCATION MAP



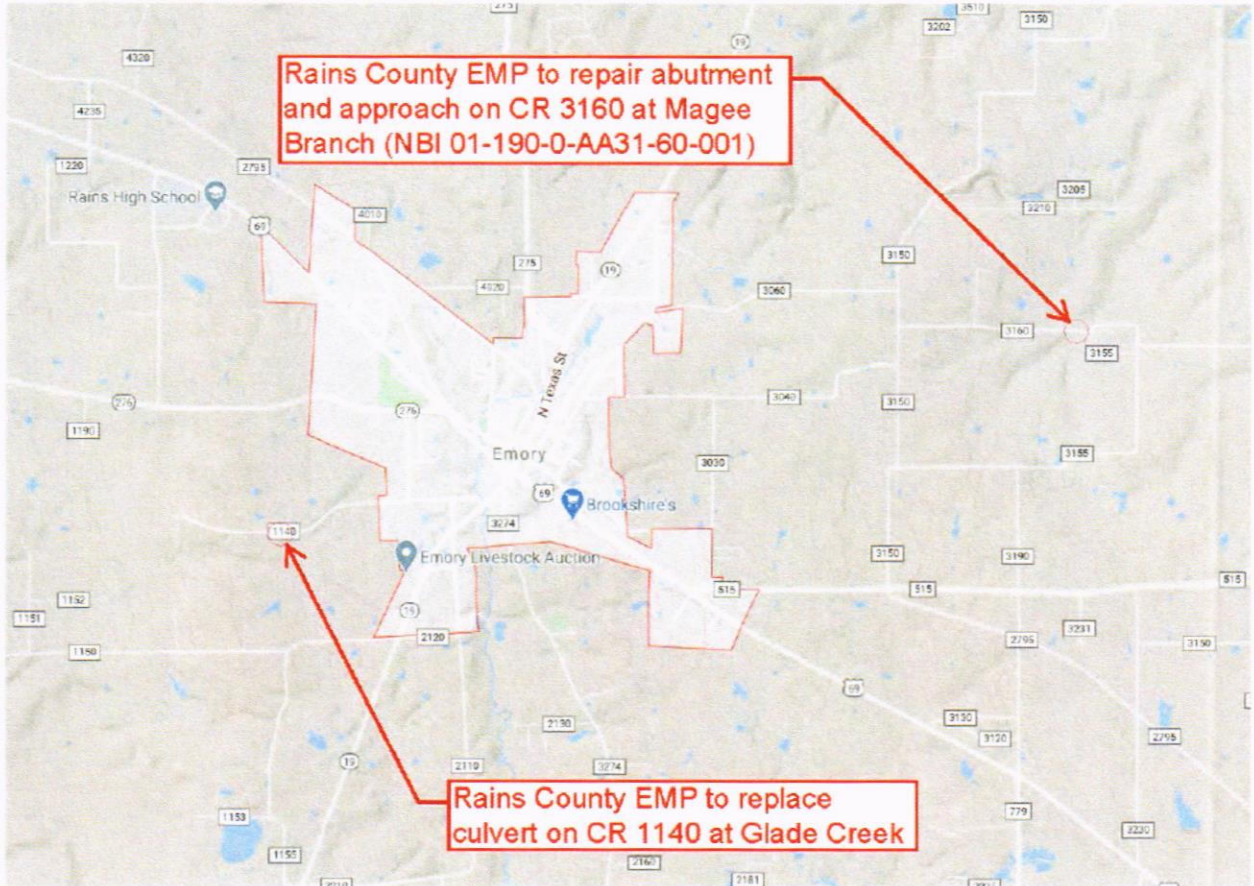
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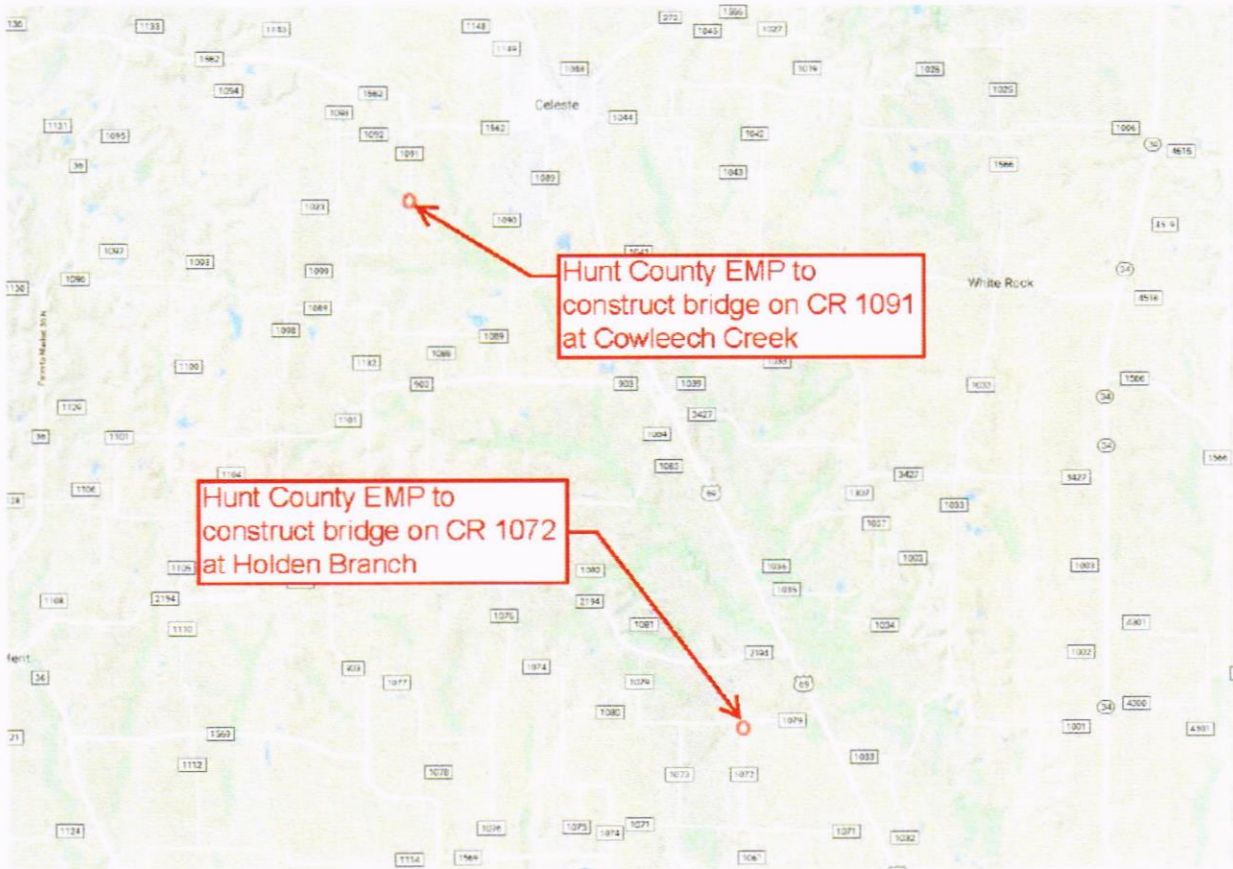
CSJ # 0901-28-092
District # 01-PAR
Code Chart 64 # 50113
Project: CR 4767 at N Caney Creek Trib
NBI Structure #01-113-0-AA04-93-001
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
CFDA No.: 20.205
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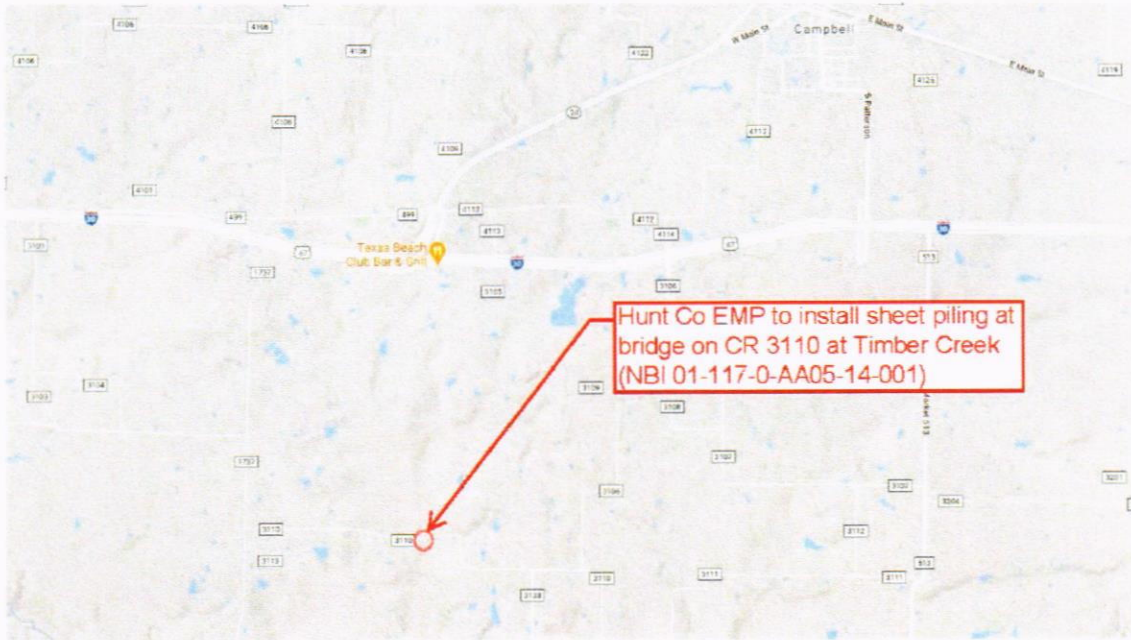
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ATTACHMENT C **
LIST OF DISTRICT ENGINEER APPROVED
EQUIVALENT-MATCH PROJECTS

Location (and structure identification number, if applicable)	On School Bus Route?	Historic Bridge?	Description of Structural or Safety Improvement Work	Estimated Cost
CR 4719 near FM 2653	Yes	No	Replace culvert	\$ 3,320.51
CR 4707 near CR 4702	Yes	No	Replace culvert	\$ 3,058.10
CR 4574 near CR 4581	Yes	No	Replace culvert	\$ 2,428.12
CR 1100 near CR 3389	Yes	No	Install new culvert	\$ 3,228.06
CR 1173 near CR 1174	Yes	No	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 011900AA3160001)	Yes	No	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	No	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 011170AA0514001)	Yes	No	Install sheet piling at east abutment and backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	No	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	No	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	No	Construct new bridge	\$61,425.00
Total				\$315,464.54
EMP work credited to this PWP*				\$23,790.00
Balance of EMP work available to associated PWPs				\$291,674.54
Associated PWPs CSJs			Amount to be Credited to Associated PWPs	
0901-30-018			\$44,408	
0901-28-093			\$26,352	
0901-28-098			\$36,966	
0901-28-095			\$21,106	
0901-28-097			\$39,528	
0901-28-103			\$22,814	
0901-32-104			\$57,096	
0901-32-106			\$43,404	
Total			\$291,674	

*This total should typically equal the "Balance of Local Government Participation" that is waived as shown in Attachment D.

**This attachment not applicable for non-PWPs.

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**ATTACHMENT D
 ESTIMATE OF DIRECT COSTS**

	<u>Estimated Cost</u>	<u>Local Government Participation</u>
Preliminary Engineering (PE)	(1) \$33,150	
Ten Percent (10%) or EDC Adjusted Percent of PE for Local Government Participation		(3) \$3,315
Construction	\$195,000	
Engineering and Contingency (E&C)	9,750	
The Sum of Construction and E&C	(2) \$204,750	
Ten Percent (10%) or EDC Adjusted Percent of the Sum of Construction and E&C for Local Government Participation		(4) \$20,475
Amount of Advance Funds Paid by Local Government *		(5) \$0
Amount of Advance Funds to be Paid by Local Government *		(6) \$0
Balance of Local Government Participation which is to be Waived where the Project is a PWP		(3+4-5-6) \$23,790
Total Project Direct Cost	(1+2) \$237,900	

*Credited Against Local Government Participation Amount

If this Project is to be a PWP, Amount of EMP Work Being Credited to this PWP as Shown
 on Attachment C. \$23,790

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Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT

DocuSigned by:
The Honorable Robert Newsom
Signature

Robert Newsom
Typed or Printed Name

Hopkins County Judge
Title

11/19/2020
Date

THE STATE OF TEXAS

DocuSigned by:
Graham Bettis
Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

12/4/2020
Date

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STATE OF TEXAS §

COUNTY OF TRAVIS §

**ADVANCE FUNDING AGREEMENT
For Bridge Replacement or Rehabilitation
Off the State System**

THIS Advance Funding Agreement (the Agreement) is made by and between the State of Texas, acting by and through the Texas Department of Transportation, called the "State", and **Hopkins County**, acting by and through its duly authorized officials, called the "Local Government."

WITNESSETH

WHEREAS, Title 23 United States Code Section 144 authorizes federal funds to assist the states in the replacement or rehabilitation of deficient bridges located on public highways, roads, and streets, including those under the jurisdiction of local governments; and

WHEREAS, the Texas Transportation Code Sections 201.103 and 222.052 establish that the State shall plan and make policies for the construction of a comprehensive system of state highways and public roads in cooperation with local governments; and

WHEREAS, the Local Government owns one or more bridges on a public road or street located at **Mitcham Branch on County Road 1137**, and these bridges are included in the currently approved off-state system federal-aid Highway Bridge Replacement and Rehabilitation Program (HBRRP) as authorized by Texas Transportation Commission Minute Order Number **115550**, dated **August 29, 2019**; and

WHEREAS, the Governing Body of the Local Government has approved entering into this Agreement by resolution or ordinance, which is attached to and made a part of this agreement as Attachment A for the development of the specific programmed replacement or rehabilitation project, called the "Project". The Project is identified in the location map shown as Attachment B, which is attached to and made a part of this agreement.

NOW, THEREFORE, in consideration of the premises and of the mutual covenants and agreements of the parties, to be by them respectively kept and performed as set forth, it is agreed as follows:

AGREEMENT

1. Period of this Agreement

This Agreement becomes effective when signed by the last party whose signing makes the Agreement fully executed. This Agreement shall remain in effect until terminated as provided in Article 2.

2. Termination of this Agreement

This Agreement shall remain in effect until the Project is completed and accepted by all parties, unless:

- A. The Agreement is terminated in writing with the mutual consent of the parties;
- B. The Agreement is terminated by one party because of a breach, in which case any cost incurred because of the breach shall be paid by the breaching party;
- C. The Local Government elects not to develop the project and the project does not proceed, in which case the Local Government agrees to reimburse the State for one-hundred percent (100%) of its reasonable actual direct and indirect costs incurred for the project; or
- D. The project is inactive for thirty-six (36) months or longer and no expenditures have been charged against federal funds, in which case the State may at its discretion terminate the agreement.

3. Amendments

Amendments to this Agreement may be made due to changes in the character of the work, the terms of the Agreement, or the responsibilities of the parties. Amendments shall be enacted through a mutually agreed upon written amendment executed by all parties to this Agreement.

4. Remedies

This Agreement shall not be considered as specifying the exclusive remedy for any Agreement default, but all remedies existing at law and in equity may be availed of by either party to this Agreement and shall be cumulative.

5. Scope of Work

The scope of work for this Agreement is the replacement or rehabilitation of the bridges identified in the recitals of this Agreement. This replacement or rehabilitation shall be accomplished in the manner described in the plans, specifications, and estimates developed in accordance with this Agreement and which are incorporated in this agreement by reference.

6. Right of Way and Real Property

- A. The Local Government is responsible for the provision and acquisition of all necessary right of way and will not be reimbursed with federal or state funds for the required right of way.
- B. The Local Government authorizes the State, its consultant, contractor, or other designated representative to enter the sites of these bridges and adjacent right of way or relocation right of way to perform surveys, inspections, construction, and other activities necessary to replace or rehabilitate these bridges and approaches.

7. Adjustment of Utilities

The Local Government shall be responsible for the adjustment, removal, or relocation of utility facilities in accordance with applicable state laws, regulations, rules, policies, and procedures, including any cost to the State of a delay resulting from the Local Government's failure to ensure that utility facilities are adjusted, removed, or relocated before the scheduled beginning of construction. The Local Government will not be reimbursed with federal or state funds for the cost of required utility work. The Local Government must obtain advance approval for any variance from established procedures. Before a construction contract is let, the Local Government shall provide, at the State's request, a certification stating that the Local Government has completed the adjustment of all utilities that must be adjusted before construction is completed.

8. Environmental Assessment and Mitigation

Development of the Project must comply with the National Environmental Policy Act and the National Historic Preservation Act of 1966, which require environmental clearance of federal-aid projects.

- A. The State is responsible for the identification and assessment of any environmental problems associated with the development of the Project governed by this Agreement.
- B. Cost participation in environmental assessment and remediation work shall be paid by the parties in the same ratio as construction costs and will be included in the construction costs identified in Attachment D, Estimate of Direct Costs.
- C. The State is responsible for providing any public meetings or public hearings required for development of the environmental assessment.
- D. The State will not begin construction of the Project until identified environmental problems have been remediated, unless provided for otherwise.

9. Compliance with Texas Accessibility Standards and ADA

All parties to this Agreement shall ensure that the plans for and the construction of the Project subject to this Agreement are in compliance with the Texas Accessibility Standards (TAS) issued by the Texas Department of Licensing and Regulation, under the Architectural Barriers Act, Article 9102, Texas Civil Statutes. The TAS establishes minimum accessibility requirements to be consistent with minimum accessibility requirements of the Americans with Disabilities Act (P.L. 101-336) (ADA).

10. Architectural and Engineering Services

The State is responsible for performance of any required architectural or preliminary engineering work. The Local Government may review and comment on the work as required to accomplish the public purposes of the Local Government. The State will cooperate fully with the Local Government in accomplishing these local public purposes to the degree permitted by state and federal law. The Local Government review shall not unduly delay the development of the Project.

11. Construction Responsibilities

- A. The State shall advertise for construction bids, issue bid proposals, receive and tabulate the bids, and award and administer the contract for construction of the Project. Administration of the contract includes the responsibility for construction engineering and for issuance of any change orders, supplemental agreements, amendments, or additional work orders that may become necessary subsequent to the award of the construction contract. In order to ensure federal funding eligibility, projects must be authorized by the State prior to advertising for construction.
- B. Upon completion of the Project, the State will issue a "Notification of Completion" acknowledging the Project's construction completion.

12. Project Maintenance

After the Project has been completed, the Local Government shall accept full ownership, and operate and maintain the facilities authorized by this Agreement for the benefit of and at no charge of toll to the public. This covenant shall survive the completion of construction under this Agreement.

13. Local Project Sources and Uses of Funds

- A. A Project Cost Estimate is provided in Attachment D, Estimate of Direct Costs.
- B. Attachment D provides a source of funds estimate as well as the estimated direct preliminary engineering, construction engineering, and construction costs for the Project in total and by the Local Government.

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- C. The required Local Government participation is based solely upon the State's estimate of the eligible work at the time this Agreement is executed and will not be adjusted during construction except as needed to include any Project cost item or portion of a cost item ineligible for state or federal participation. In addition to its share of estimated direct engineering and construction costs, the Local Government is responsible for the direct cost of any project cost item or portion of a cost item that is not eligible for federal participation under the federal HBRRP. The Local Government is also responsible for any cost resulting from changes made at the request of the Local Government. The State and the Federal Government will not reimburse the Local Government for any work performed before federal spending authority is formally obligated to the Project by the Federal Highway Administration. After federal funds have been obligated, the State will send to the Local Government a copy of the formal documentation showing the obligation of funds including federal award information.
- D. If the Local Government will perform any work under this contract for which reimbursement will be provided by or through the State, the Local Government must complete training before federal spending authority is obligated. Training is complete when at least one individual who is working actively and directly on the Project successfully completes and receives a certificate for the course entitled *Local Government Project Procedures Qualification for the Texas Department of Transportation*. The Local Government shall provide the certificate of qualification to the State. The individual who receives the training certificate may be an employee of the Local Government or an employee of a firm that has been contracted by the Local Government to perform oversight of the Project. The State in its discretion may deny reimbursement if the Local Government has not designated a qualified individual to oversee the Project.
- E. After execution of this Agreement, but thirty (30) days prior to the performance of any work by the State, the Local Government shall remit to the State the amount specified in Attachment D for the Local Government's contribution for preliminary engineering. The Local Government will pay, at a minimum, its funding share for this estimated cost of preliminary engineering.
- F. Forty-five (45) days prior to the date set for receipt of the construction bids, the Local Government shall remit its remaining financial share for the State's estimated construction oversight and construction costs and any other costs owed.
- G. If, at the completion or termination of the Project, the State determines that additional funding is required by the Local Government, the State shall notify the Local Government in writing. The Local Government shall make payment to the State within thirty (30) days from receipt of the State's written notification.

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- H. Whenever funds are paid by the Local Government to the State under this Agreement, the Local Government shall remit a check or warrant made payable to the "Texas Department of Transportation". The check or warrant shall be deposited by the State and managed by the State. The funds may only be applied to the State Project.
- I. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due to the Local Government, the State, or the Federal Government will be promptly paid by the owing party.
- J. The State will not pay interest on any funds provided by the Local Government.
- K. The Local Government funding participation responsibilities include Project direct costs only, except when the Project is terminated before completion at the request of the Local Government as addressed in the Termination provision of this Agreement.
- L. The amounts shown on Attachment D are estimates only. If actual costs exceed the estimates, this shall be considered a fixed price agreement, and no additional funding shall be required of the Local Government except to the extent that the additional costs result from changes made at the request of the Local Government or to the extent that the additional costs are not eligible for federal participation under the federal HBRRP. If actual costs are less than the estimates, Local Government participation shall be recalculated based on actual costs. If the recalculation results in a reduction in participation by the Local Government, the State shall pay the difference to the Local Government upon completion of the Project.
- M. Under the provisions of Texas Transportation Code Section 222.053 certain counties qualify as Economically Disadvantaged Counties (EDC) in comparison to other counties in the state as below average per capita property value, below average per capita income, and above average unemployment, for certain years. If applicable, in consideration of such EDC status that may be applicable for the Project, the required local match fund participation has been adjusted to _____ percent (___%).
- N. The State will not execute the contract for the construction of a Project until the required funding has been made available by the Local Government in accordance with this Agreement.
- O. The state auditor may conduct an audit or investigation of any entity receiving funds from the State directly under this contract or indirectly through a subcontract under this contract. Acceptance of funds directly under this contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds. An entity that is the subject of an audit or investigation must provide the state

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auditor with access to any information the state auditor considers relevant to the investigation or audit.

- P. The Local Government is authorized to submit requests for reimbursement by submitting the original of an itemized invoice in a form and containing all items required by the State no more frequently than monthly, and no later than ninety (90) days after costs are incurred. If the Local Government submits invoices more than ninety (90) days after the costs are incurred, and if federal funding is reduced as a result, the State shall have no responsibility to reimburse the Local Government for those costs.

14. Performance by Local Government of Equivalent-Match Projects (EMP) in Return for Waiver of Local Match Participation Funding on Participation-Waived Projects (PWP)

- A. Applicability. If a request for waiver has been received and approved by the State's District Engineer, then the required ten percent matching fund participation or percent as adjusted for EDC consideration, as shown in Attachment D, Estimate of Direct Costs, but excluding ineligible costs under the bridge program, is waived. This waiver is based on the commitment of the Local Government to spend an equivalent amount of funds for structural or safety improvement on "other" bridge structures and other conditions as specified in 43 TAC Section 15.55(d). If a waiver has been granted, the Project shall be defined to be a PWP and the work on the "other" bridge structures that will be improved by the Local Government shall be defined to be the EMPs. Attachment C to this Agreement shows a list of EMPs under this Agreement.
- B. Project Cost Estimate for PWP. Attachment D to this Agreement shows the estimated direct preliminary engineering, construction engineering, and construction costs for the PWP in total and local match fund participation being waived or partially waived.
- C. Credit Against EMP Work. Any local match fund participation that has already been paid, or which the Local Government is agreeable to paying to the State, will be credited against EMP work to be performed by the Local Government. If applicable, this credit will be reflected in Attachment D to this Agreement.
- D. Responsibilities of the Local Government on EMPs.
 - 1. The Local Government shall be responsible for all engineering and construction, related costs, and compliance with all applicable state and federal environmental regulations and permitting requirements.
 - 2. The structural or safety improvement work on the EMPs shall be performed subsequent to the final execution of this Agreement but within three (3) calendar years after the earliest contract award of the related PWPs.

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3. Written documentation, suitable for audit, of the structural or safety improvement work completed on the EMPs shall be kept on file by the Local Government for four (4) years after completion of work or claims, lawsuits, or audits related to those items, whichever is longer. A notice of completion of work on the EMPs shall be delivered to the State's District Engineer no later than thirty (30) calendar days after work is completed on the EMPs.
 4. Failure by the Local Government to adequately complete the EMPs within the stated three-year period shall result in the Local Government being excluded from receiving such waivers for a minimum of five (5) years.
- E. Funding of Ineligible or Additional Work Not Waived.** Regardless of any waiver of eligible program costs, the Local Government shall pay the State one-hundred percent (100%) of the cost of any PWP item or portion of a cost item that is not eligible for federal or state participation, and one-hundred percent (100%) of the costs resulting from additional work on the PWP performed solely at the request of the Local Government. If the ineligible or additional work is preliminary engineering, the payment shall be made at least thirty (30) days prior to the beginning of preliminary engineering work on the PWP. If the ineligible or additional work is for construction or construction engineering, the payment shall be made at least forty-five (45) days prior to the date set for receipt of bids for construction of the PWP.

15. Notices

All notices to either party shall be delivered personally or sent by certified or U.S. mail, postage prepaid, addressed to that party at the following address:

State: Director, Bridge Division
Texas Department of Transportation
125 E. 11th Street
Austin, Texas 78701

Local Government: Hopkins County Judge
118 Church Street
Sulphur Springs, Texas 75482

All notices shall be deemed given on the date delivered in person or deposited in the mail, unless otherwise provided by this agreement. Either party may change the above address by sending written notice of the change to the other party. Either party may request in writing that notices shall be delivered personally or by certified U.S. mail, and that request shall be carried out by the other party.

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16. Legal Construction

In case one or more of the provisions contained in this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or unenforceability shall not affect any other provisions and this Agreement shall be construed as if it did not contain the invalid, illegal, or unenforceable provision.

17. Responsibilities of the Parties

The parties to this Agreement agree that no party is an agent, servant, or employee of the other party and each party agrees it is responsible for its individual acts and deeds as well as the acts and deeds of its contractors, employees, representatives, and agents.

18. Ownership of Documents

Upon completion or termination of this Agreement, all documents prepared by the State shall remain the property of the State. All data prepared under this Agreement shall be made available to the State without restriction or limitation on their further use. All documents produced or approved or otherwise created by the Local Government shall be transmitted to the State in the form of photocopy reproduction on a monthly basis as required by the State. The originals shall remain the property of the Local Government. At the request of the State, the Local Government shall submit any information required by the State in the format directed by the State.

19. Compliance with Laws

The parties shall comply with all federal, state, and local laws, statutes, ordinances, rules and regulations, and the orders and decrees of any courts or administrative bodies or tribunals in any manner affecting the performance of this Agreement. When required, the Local Government shall furnish the State with satisfactory proof of this compliance.

20. Sole Agreement

This Agreement constitutes the sole and only agreement between the parties and supersedes any prior understandings or written or oral agreements respecting the subject matter of this Agreement.

21. Office of Management and Budget (OMB) Cost Principles

In order to be reimbursed with federal funds, the parties shall comply with the Cost Principles established in 2 CFR 200 that specify that all reimbursed costs are allowable, reasonable, and allocable to the Project.

22. Procurement and Property Management Standards

The parties shall adhere to the procurement standards established in Title 49 CFR §18.36 and with the property management standard established in Title 49 CFR §18.32.

23. Inspection of Books and Records

The parties to the Agreement shall maintain all books, documents, papers, accounting records, and other documentation relating to costs incurred under this Agreement and shall make such materials available to the State, the Local Government, and, if federally funded, the Federal Highway Administration (FHWA) and the U.S. Office of the Inspector General, or their duly authorized representatives, for review and inspection at its office during the contract period and for four (4) years from the date of completion of work defined under this contract or until any impending litigation or claims are resolved. Additionally, the State, the Local Government, the FHWA, and their duly authorized representatives shall have access to all the governmental records that are directly applicable to this Agreement for the purpose of making audits, examinations, excerpts, and transcriptions.

24. Civil Rights Compliance

- A. Compliance with Regulations:** The Local Government will comply with the Acts and the Regulations relative to Nondiscrimination in Federally-assisted programs of the U.S. Department of Transportation (USDOT), the Federal Highway Administration (FHWA), as they may be amended from time to time, which are herein incorporated by reference and made part of this agreement.
- B. Nondiscrimination:** The Local Government, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Local Government will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
- C. Solicitations for Subcontracts, Including Procurement of Materials and Equipment:** In all solicitations either by competitive bidding or negotiation made by the Local Government for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier will be notified by the Local Government of the Local Government's obligations under this contract and the Acts and Regulations relative to Nondiscrimination on the grounds of race, color, or national origin.
- D. Information and Reports:** The Local Government will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant

thereto, and will permit access to its books, records, accounts, other sources of information, and facilities as may be determined by the State or the FHWA to be pertinent to ascertain compliance with such Acts, Regulations or directives. Where any information required of the Local Government is in the exclusive possession of another who fails or refuses to furnish this information, the Local Government will so certify to the State or the Federal Highway Administration, as appropriate, and will set forth what efforts it has made to obtain the information.

- E. Sanctions for Noncompliance: In the event of the Local Government's noncompliance with the Nondiscrimination provisions of this contract, the State will impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
 - 1. withholding of payments to the Local Government under the contract until the Local Government complies and/or
 - 2. cancelling, terminating, or suspending of the contract, in whole or in part.
- F. Incorporation of Provisions: The Local Government will include the provisions of paragraphs (A) through (F) in every subcontract, including procurement of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The Local Government will take such action with respect to any subcontract or procurement as the State or the FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Local Government becomes involved in, or is threatened with, litigation with a subcontractor or supplier because of such direction, the Local Government may request the State to enter into such litigation to protect the interests of the State. In addition, the Local Government may request the United States to enter into such litigation to protect the interests of the United States.

25. Disadvantaged Business Enterprise (DBE) Program Requirements

- A. The parties shall comply with the Disadvantaged Business Enterprise Program requirements established in 49 CFR Part 26.
- B. The Local Government shall adopt, in its totality, the State's federally approved DBE program.
- C. The Local Government shall set an appropriate DBE goal consistent with the State's DBE guidelines and in consideration of the local market, project size, and nature of the goods or services to be acquired. The Local Government shall have final decision-making authority regarding the DBE goal and shall be responsible for documenting its actions.
- D. The Local Government shall follow all other parts of the State's DBE program referenced in TxDOT Form 2395, Memorandum of Understanding Regarding the Adoption of the Texas Department of Transportation's Federally-Approved

Disadvantaged Business Enterprise by Entity and attachments found at web address

http://ftp.dot.state.tx.us/pub/txdot-info/bop/dbe/mou/mou_attachments.pdf.

- E. The Local Government shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any U.S. Department of Transportation (DOT)-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Local Government shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non-discrimination in award and administration of DOT-assisted contracts. The State's DBE program, as required by 49 CFR Part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Local Government of its failure to carry out its approved program, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).
- F. Each contract the Local Government signs with a contractor (and each subcontract the prime contractor signs with a sub-contractor) must include the following assurance: *The contractor, sub-recipient, or sub-contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this agreement, which may result in the termination of this agreement or such other remedy as the recipient deems appropriate.*

26. Debarment Certifications

The parties are prohibited from making any award at any tier to any party that is debarred or suspended or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549, "Debarment and Suspension." By executing this agreement, the Local Government certifies that it is not currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549 and further certifies that it will not do business with any party that is currently debarred, suspended, or otherwise excluded from or ineligible for participation in Federal Assistance Programs under Executive Order 12549. The parties to this contract shall require any party to a subcontract or purchase order awarded under this contract to certify its eligibility to receive Federal funds and, when requested by the State, to furnish a copy of the certification.

27. Lobbying Certification

In executing this Agreement, each signatory certifies to the best of that signatory's knowledge and belief, that:

- A.** No federal appropriated funds have been paid or will be paid by or on behalf of the parties to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- B.** If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with federal contracts, grants, loans, or cooperative agreements, the signatory for the Local Government shall complete and submit the Federal Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- C.** The parties shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and all sub-recipients shall certify and disclose accordingly. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Title 31 U.S.C. §1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

28. Federal Funding Accountability and Transparency Act Requirements

- A.** Any recipient of funds under this agreement agrees to comply with the Federal Funding Accountability and Transparency Act (FFATA) and implementing regulations at 2 CFR Part 170, including Appendix A. This agreement is subject to the following award terms: <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22705.pdf> and <http://www.gpo.gov/fdsys/pkg/FR-2010-09-14/pdf/2010-22706.pdf>.
- B.** The Local Government agrees that it shall:
 - 1. Obtain and provide to the State, a Central Contracting Registry (CCR) number (Federal Acquisition Regulation, Part 4, Sub-part 4.1100) if this award provides for more than \$25,000 in Federal funding. The CCR number may be obtained by visiting the CCR web-site whose address is:
<https://www.sam.gov/portal/public/SAM/>;

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2. Obtain and provide to the State a Data Universal Numbering System (DUNS) number, a unique nine-character number that allows the federal government to track the distribution of federal money. The DUNS number may be requested free of charge for all businesses and entities required to do so by visiting the Dun & Bradstreet (D&B) on-line registration website <http://fedgov.dnb.com/webform>; and
3. Report the total compensation and names of its top five (5) executives to the State if:
 - i. More than 80% of annual gross revenues are from the Federal government, and those revenues are greater than \$25,000,000; and
 - ii. The compensation information is not already available through reporting to the U.S. Securities and Exchange Commission.

29. Successors and Assigns

The State and the Local Government each binds itself, its successors, executors, assigns, and administrators to the other party to this Agreement and to the successors, executors, assigns, and administrators of such other party in respect to all covenants of this Agreement.

30. Local Government Restrictions

In the case that the Local Government has an existing, future, or proposed local ordinance, commissioners court order, rule, policy, or other directive that is more restrictive than the state or federal regulations that results in an increase cost to the State for the project, the local government is responsible for all increased costs associated with the ordinance, order, policy, directive, or change.

31. Single Audit Report

- A. The parties shall comply with the requirements of the Single Audit Act of 1984, P.L. 98-502, ensuring that the single audit report includes the coverage stipulated in 2 CFR 200.
- B. If threshold expenditures of \$750,000 or more are met during the fiscal year, the Local Government must submit a Single Audit Report and Management Letter (if applicable) to TxDOT's Compliance Division, 125 East 11th Street, Austin, TX 78701 or contact TxDOT's Compliance Division at singleaudits@txdot.gov.
- C. If expenditures are less than the threshold during the Local Government's fiscal year, the Local Government must submit a statement to TxDOT's Compliance Division as follows: "We did not meet the \$_____ expenditure threshold and therefore, are not required to have a single audit performed for FY_____."
- D. For each year the project remains open for federal funding expenditures, the Local Government will be responsible for filing a report or statement as described

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above. The required annual filing shall extend throughout the life of the agreement, unless otherwise amended or the project has been formally closed out and no charges have been incurred within the current fiscal year.

32. Pertinent Non-Discrimination Authorities

During the performance of this contract, the Local Government, for itself, its assignees, and successors in interest agree to comply with the following nondiscrimination statutes and authorities; including but not limited to:

- A.** Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- B.** The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects).
- C.** Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 et seq.), as amended, (prohibits discrimination on the basis of sex).
- D.** Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 et seq.) as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27.
- E.** The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 et seq.), (prohibits discrimination on the basis of age).
- F.** Airport and Airway Improvement Act of 1982, (49 U.S.C. Chapter 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex).
- G.** The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, subrecipients and contractors, whether such programs or activities are Federally funded or not).
- H.** Titles II and III of the Americans with Disabilities Act, which prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131-12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38.
- I.** The Federal Aviation Administration's Nondiscrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex).
- J.** Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures

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nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations.

- K. Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, the parties must take reasonable steps to ensure that LEP persons have meaningful access to the programs (70 Fed. Reg. at 74087 to 74100).
- L. Title IX of the Education Amendments of 1972, as amended, which prohibits the parties from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq.).

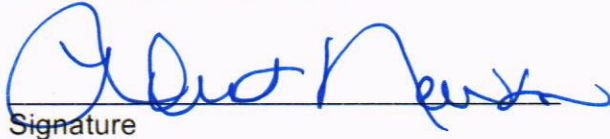
33. Signatory Warranty

Each signatory warrants that the signatory has necessary authority to execute this agreement on behalf of the entity represented.

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Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT


Signature

Robert Newsom
Typed or Printed Name

Hopkins County Judge
Title

9-21-2020
Date

THE STATE OF TEXAS

Graham Bettis, P.E.
Bridge Division Director
Texas Department of Transportation

Date

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ATTACHMENT A
RESOLUTION OR ORDINANCE OF LOCAL GOVERNMENT

HOPKINS COUNTY COMMISSIONERS COURT

RESOLUTION No. _____

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, hereinafter referred to as the Local Government, owns a bridge located at Big Creek on CR 1152, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-42-001; and

WHEREAS, the Local Government owns a bridge located at Crooks Creek on CR 4714, National Bridge Inventory (NBI) Structure Number 01-113-0-AA05-84-001; and

WHEREAS, the Local Government owns a bridge located at North Caney Creek Tributary on CR 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, the Local Government owns a bridge located at Mitchell Creek Tributary on CR 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, the Local Government owns a bridge located at Turkey Creek on CR 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, the Local Government owns a bridge located at Mitcham Branch on CR 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-098, 0901-28-093, 0901-28-092, 0901-28-095, 0901-28-097, and 0901-28-103, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

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WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge projects is:

CSJ	NBI	Local Participation
0901-28-098	01-113-0-AA05-42-001	CR 1152/ \$36,966
0901-28-093	01-113-0-AA05-84-001	CR 4714/ \$26,352
0901-28-092	01-113-0-AA04-93-001	CR 4767/ \$23,790
0901-28-095	01-113-0-AA03-07-001	CR 3545/ \$21,106
0901-28-097	01-113-0-AA03-58-001	CR 1138/ \$39,528
0901-28-103	01-113-0-AA03-56-001	CR 1137/ \$22,814
TOTAL		\$170,556

\$170,556 (dollars), hereinafter referred to as the "participation-waived projects (PWP)", such participation requirement the Local Government proposes be waived; and

WHEREAS, Rains County, by its Resolution dated May 14, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP project to Hopkins County to be used towards EMP work needed to complete their PWPs; and

WHEREAS, Hunt County, by its Resolution dated August 25, 2020 and in accordance with 43 TAC Section 15.55(d), has assigned the excess EMP work from their PWP projects to Hopkins County to be used towards EMP work needed to complete their PWPs;

THEREFORE, BE IT RESOLVED that the Local Government perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hopkins CR 4719 near FM 2653	Yes	Replace culvert	\$ 3,320.51
Hopkins CR 4707 near CR 4702	Yes	Replace culvert	\$ 3,058.10
Hopkins CR 4574 near CR 4581	Yes	Replace culvert	\$ 2,428.12
Hopkins CR 1100 near FM 3389	Yes	Install new culvert	\$ 3,228.06
Hopkins CR 1173 near CR 1174	Yes	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 01190AA3160001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 01117AA0514001)	Yes	Install sheet piling at east abutment & backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$61,425.00
TOTAL			\$315,464.54

CSJ # 0901-28-103
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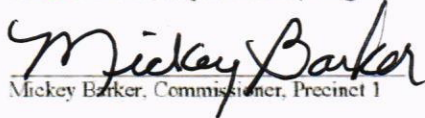
BE IT FURTHER RESOLVED:

1. That the Hopkins County Judge is hereby authorized and directed to execute Advance Funding Agreements with the State of Texas, acting by and through the Texas Department of Transportation, to replace off-system bridges on CR 1152 at Big Creek, CR 4714 at Crooks Creek, CR 4767 at North Caney Creek Tributary, CR 3545 at Mitchell Creek Tributary, CR 1138 at Turkey Creek, and CR 1137 at Mitcham Branch in Hopkins County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

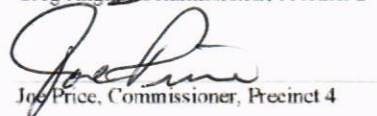
THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 21 day of September, 2020.


Robert Newsom, Hopkins County Judge


Mickey Barker, Commissioner, Precinct 1


Greg Anglin, Commissioner, Precinct 2

ABSENT
Wade Bartley, Commissioner, Precinct 3


Joe Price, Commissioner, Precinct 4

CSJ # 0901-28-103
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RAINS COUNTY COMMISSIONERS COURT

RESOLUTION No. 4-2020

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Rains County, hereinafter referred to as the Local Government, owns a bridge located on CR 1495 at Woodbury Creek, National Bridge Inventory (NBI) Structure Number 01-190-0-AA02-58-001; and

WHEREAS, a project to remedy the bridge is included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Number 0901-30-018; and

WHEREAS, the usual fund participation ratio for projects on said program is 80 percent federal, 10 percent state and 10 percent Local Government; and

WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainline cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an "equivalent-match project (EMP)"; and

WHEREAS, the estimated local match fund participation requirement on the approved federal off-system bridge project is \$44,408 (dollars), hereinafter referred to as the "participation-waived (PWP) project", such participation requirement the Local Government proposes be waived;

THEREFORE, BE IT RESOLVED that Rains County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWP not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Rains CR 1140 at Glade Creek	Yes	Replace culvert	\$85,000.00
Rains CR 3160 at Magee Branch (01-190-AA31-60-001)	Yes	Repair bridge abutment and approach	\$ 9,662.13
		TOTAL	\$94,662.13

CSJ # 0901-28-103
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BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is in excess, \$50,254.13, is being made available to Hopkins County for use as their local match fund participation for the PWP's authorized in the Counties noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	ESTIMATED COST
01-113-0-AA05-42-001	0901-28-098	\$14,394.00
01-113-0-AA05-84-001	0901-28-093	\$26,352.00
01-113-0-AA04-93-001	0901-28-092	\$ 9,508.13
	TOTAL	\$50,254.13

BE IT FURTHER RESOLVED:

1. That the Rains County Judge is hereby authorized and directed to execute an Advance Funding Agreement with the State of Texas, acting by and through the Texas Department of Transportation, to replace an off-system bridge on CR 1495 at Woodbury Creek in Rains County, in accordance with all contract documents to be prepared by the State.

2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF, we the undersigned do hereby affix our signatures. Signed this the 14 day of May, 2020.

Wayne Wolfe
 Wayne Wolfe, Rains County Judge

Patsy Marshall
 Patsy Marshall, Commissioner, Precinct 1

Mike Willis
 Mike Willis, Commissioner Precinct 2

Michael Godwin
 Michael Godwin, Commissioner, Precinct 3

Joe Humphrey
 Joe Humphrey, Commissioner, Precinct 4



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HUNT COUNTY COMMISSIONERS COURT

RESOLUTION No. #11e, 342

FILED FOR RECORD
at 10:15 o'clock
SEP 08 2020
By JENNIFER LINDENWEIG
County Clerk, Hunt County, TX

WHEREAS, the federal off-system bridge program is administered by the Texas Department of Transportation (the State) to replace or rehabilitate structurally deficient and functionally obsolete (collectively referred to as deficient) bridges located on public roads and streets off the designated state highway system; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at North Caney Creek Tributary on County Road 4767, National Bridge Inventory (NBI) Structure Number 01-113-0-AA04-93-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitchell Creek Tributary on County Road 3545, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-07-003; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Turkey Creek on County Road 1138, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-58-001; and

WHEREAS, Hopkins County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Mitcham Branch on County Road 1137, National Bridge Inventory (NBI) Structure Number 01-113-0-AA03-56-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1320, National Bridge Inventory (NBI) Structure Number 01-075-0-AA03-30-001; and

WHEREAS, Fannin County, a Local Government whose jurisdiction is adjacent to Hunt County, owns a bridge located at Caney Creek on County Road 1020, National Bridge Inventory (NBI) Structure Number 01-075-0-AA04-20-002; and

WHEREAS, projects to remedy the bridges are included in the currently approved program of projects as authorized by Texas Transportation Commission Minute Order Number 115550 dated August 29, 2019, Control-Section-Job (CSJ) Numbers 0901-28-092, 0901-28-095, 0901-28-097, 0901-28-103, 0901-32-104, and 0901-32-106, respectively; and

WHEREAS, the usual fund participation ratio for projects on such program is 80 percent federal, 10 percent state and 10 percent Local Government; and

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WHEREAS, Texas Administrative Code, Title 43, Section 15.55(d) (43 TAC Section 15.55(d)) provides that under specified conditions the Local Government fund participation requirement may be waived with agreement by the Local Government to perform, or cause to be performed, an equivalent dollar amount of structural improvement work on other deficient bridges or deficient mainlane cross-drainage structures within its jurisdiction or the jurisdiction of a geographically adjacent or geographically overlapping governmental unit, such a project of structural improvement work being referred to as an equivalent-match project (EMP); and

WHEREAS, the estimated local match fund participation requirements on the approved federal off-system bridge projects are:

CSJ	NBI	Local Participation
0901-28-092	01-113-0-AA04-93-001	CR 4767 / \$14,282.00 (\$9,508 prev. funded)
0901-28-095	01-113-0-AA03-07-003	CR 3545 / \$21,106.00
0901-28-097	01-113-0-AA03-58-001	CR 1138 / \$39,528.00
0901-28-103	01-113-0-AA03-56-001	CR 1137 / \$22,814.00
0901-32-104	01-075-0-AA03-30-001	CR 1320 / \$57,096.00
0901-32-106	01-075-0-AA04-20-002	CR 1020 / \$44,700.66 (\$25,083 remaining)
TOTAL		\$199,526.66

\$199,526.66 (dollars), hereinafter referred to as the participation-waived projects (PWPs), such participation requirement the Local Governments propose to be waived;

THEREFORE, BE IT RESOLVED that Hunt County perform, or cause to be performed, the following EMPs in return for waiver of the local match fund participation requirement on the approved federal off-system bridge program PWPs not yet awarded:

LOCATION (and NBI structure identification number, if applicable)	ON SCHOOL BUS ROUTE?	DESCRIPTION OF STRUCTURAL IMPROVEMENT WORK	ESTIMATED COST
Hunt CR 3110 at Timber Creek (01-117-AA05-14-001)	Yes	Install sheet piling at East abutment & backfill	\$ 13,770.57
Hunt CR 3512 at Little Creek	Yes	Construct new bridge	\$ 76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	Construct new bridge	\$ 48,305.00
Hunt CR 1091 at Holden Branch	Yes	Construct new bridge	\$ 61,425.00
TOTAL			\$199,526.66

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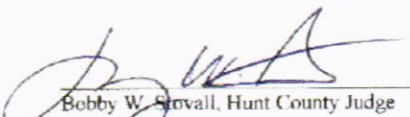
BE IT FURTHER RESOLVED in accordance with 43 TAC Section 15.55(d) the value of the EMPs which is \$199,526.66 is being made available to the Hopkins County and Fannin County for use as their local match fund participation for the PWP's authorized as noted below:

LOCATION (NBI structure ID number)	CONTROL-SECTION-JOB NUMBER	LOCAL PARTICIPATION
01-113-0-AA04-93-001	0901-28-092	\$14,282.00
01-113-0-AA03-07-003	0901-28-095	\$21,106.00
01-113-0-AA03-58-001	0901-28-097	\$39,528.00
01-113-0-AA03-56-001	0901-28-103	\$22,814.00
01-075-0-AA03-30-001	0901-32-104	\$57,096.00
01-075-0-AA04-20-002	0901-32-106	\$44,700.66
TOTAL		\$199,526.66

BE IT FURTHER RESOLVED:

1. That the Hunt County Commissioners Court pledges the aforementioned equivalent-match projects against the aforementioned participation-waived projects.
2. That the meeting at which this resolution is passed is hereby officially found and determined to be open to the public and that public notice of the time, place, and purpose of said meeting was given as required by law.

THEREFORE, IN OFFICIAL RECOGNITION WHEREOF; we the undersigned do hereby affix our signatures. Signed this the 8 day of September, 2020.


 Bobby W. Stovall, Hunt County Judge


 Eric Evans, Commissioner, Precinct 1

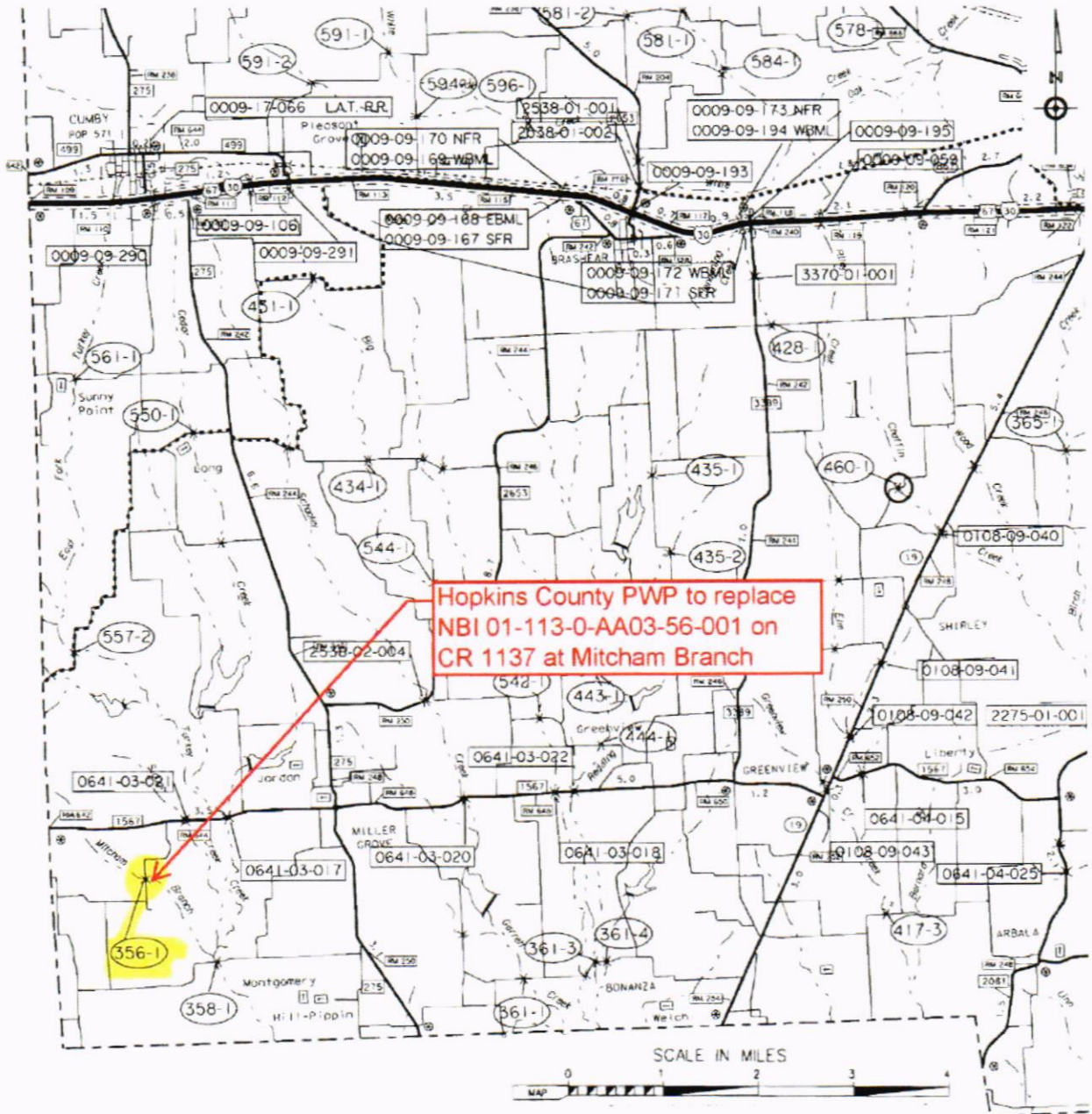

 Randy Strait, Commissioner, Precinct 2


 Phillip Martin, Commissioner, Precinct 3

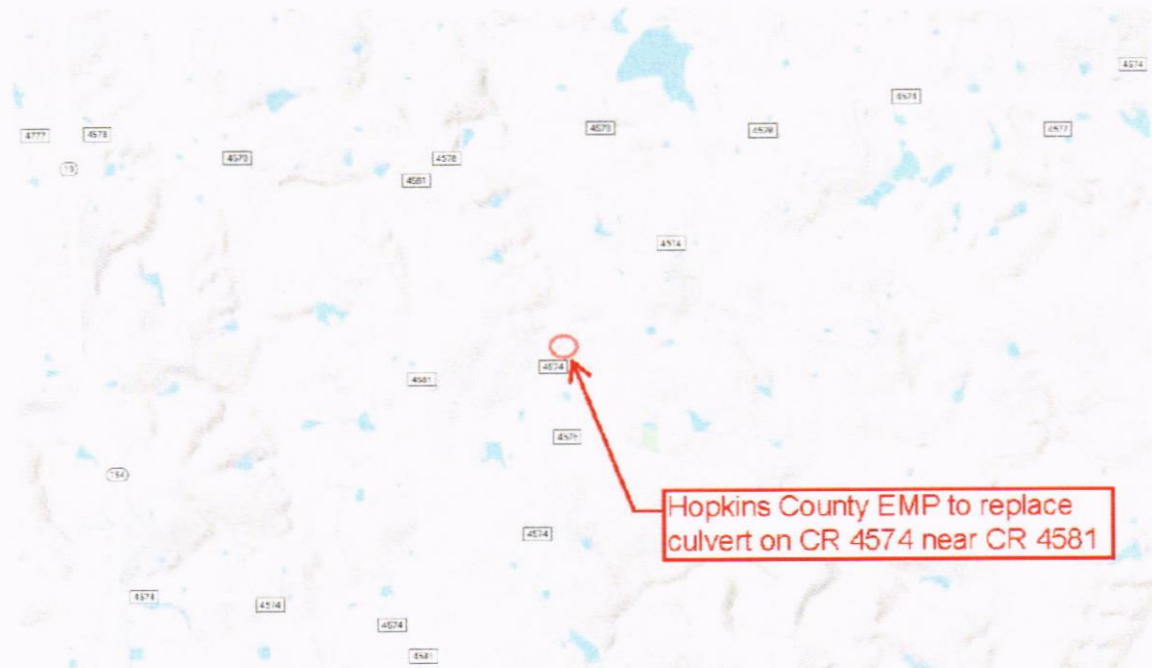
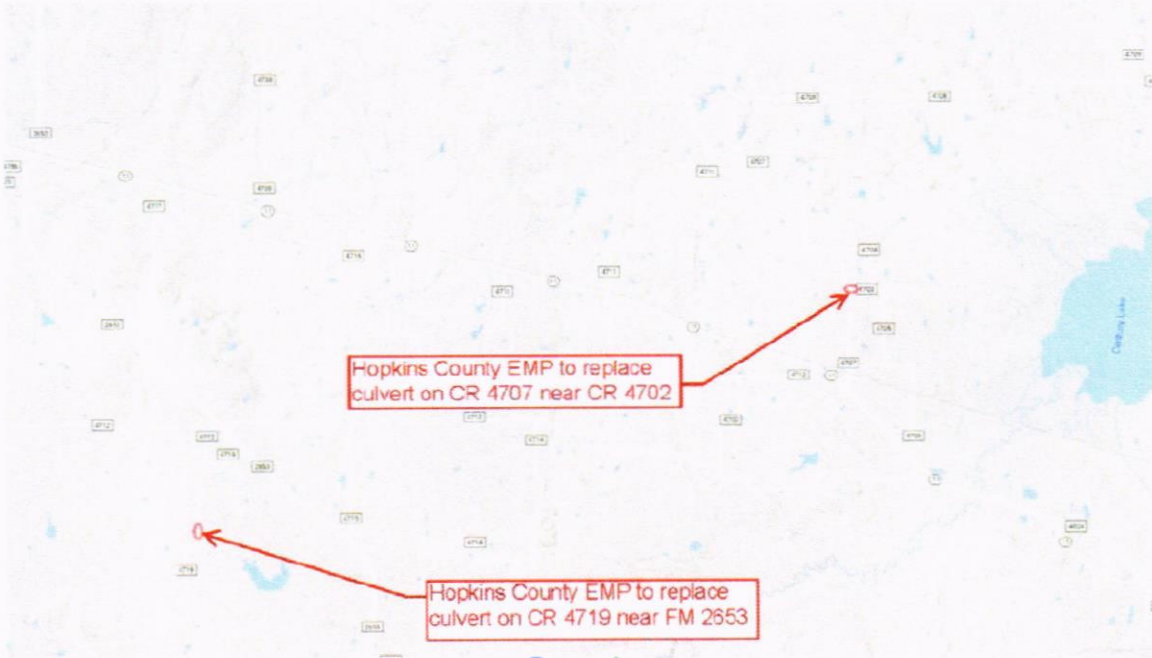

 Steven M. Harrison, Commissioner, Precinct 4

CSJ # 0901-28-103
District # 01-PAR
Code Chart 64 # 50113
Project: CR 1137 at Mitcham Branch
NBI Structure #01-113-0-AA03-56-001
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
CFDA No.: 20.205
Not Research and Development

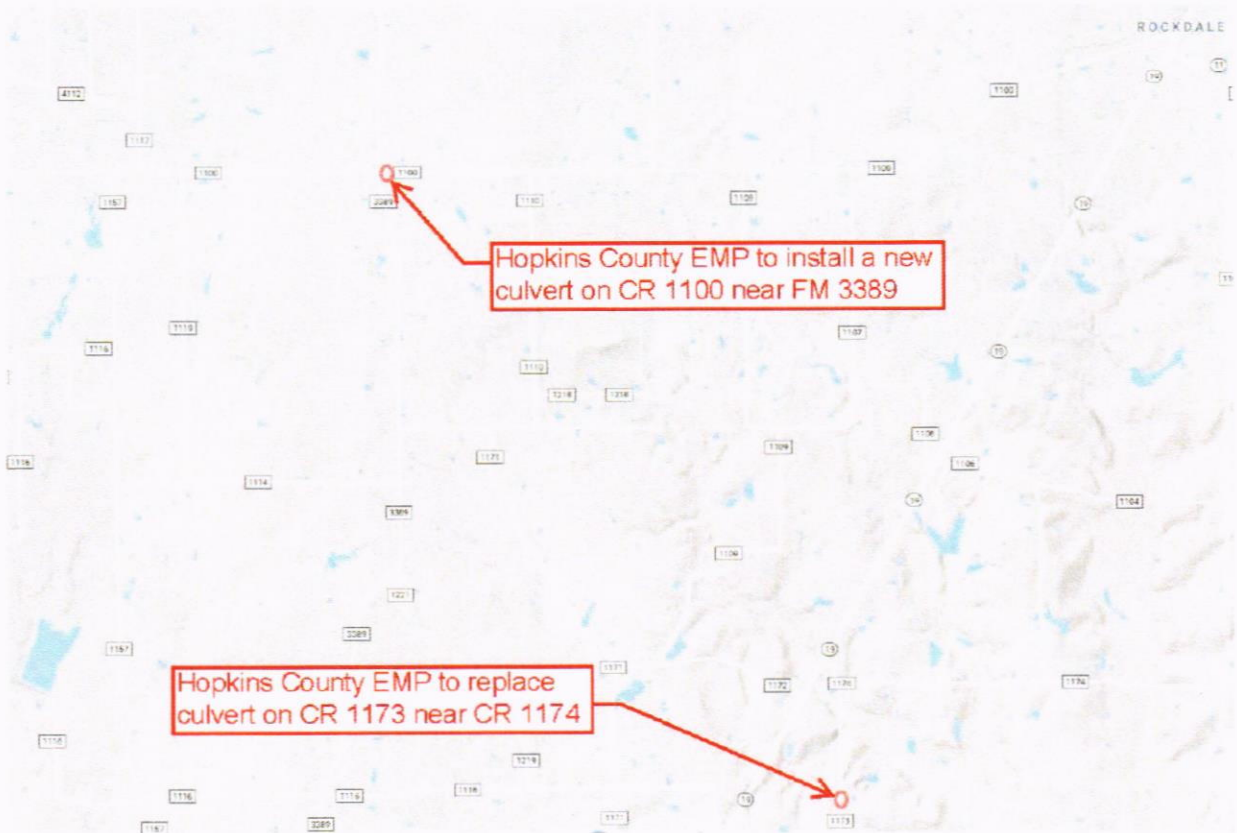
ATTACHMENT B PROJECT LOCATION MAP



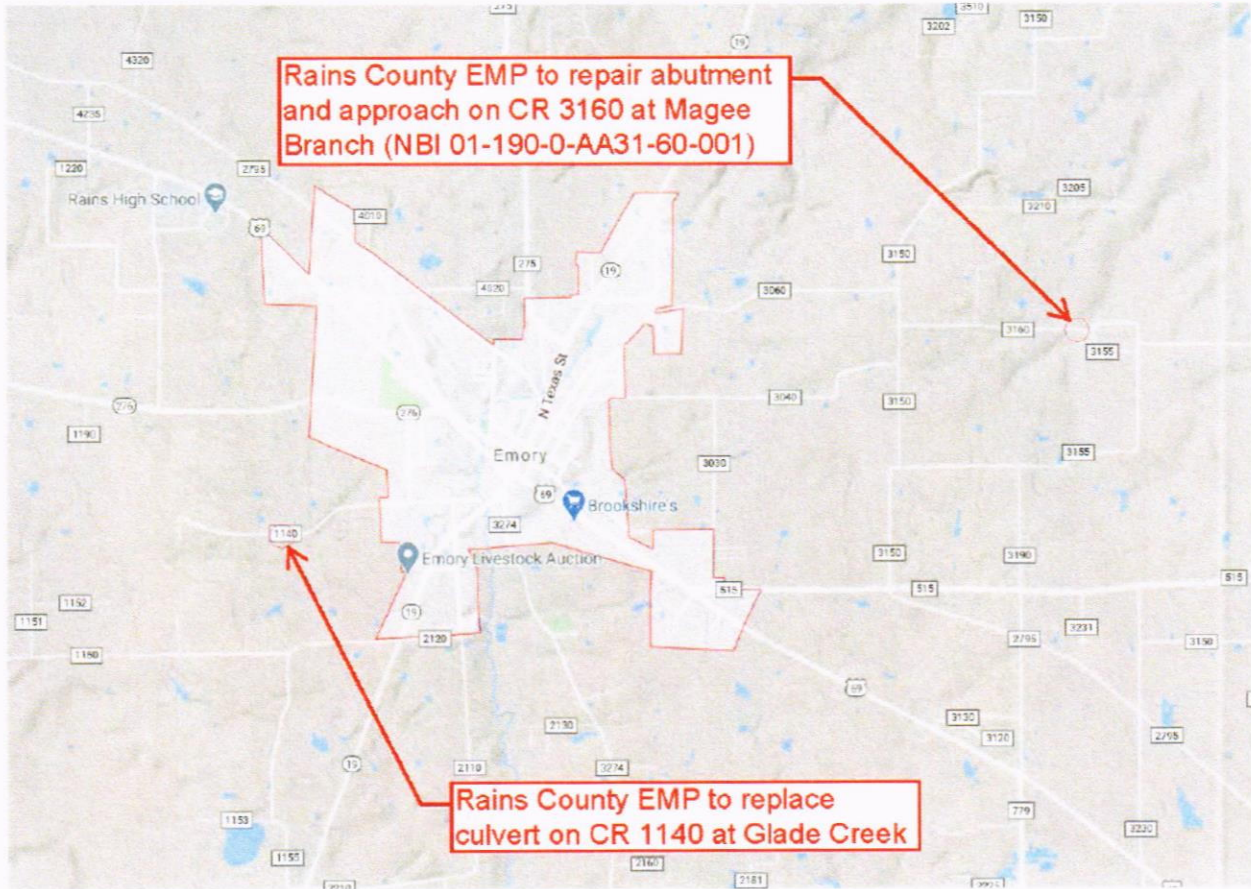
CSJ # 0901-28-103
District # 01-PAR
Code Chart 64 # 50113
Project: CR 1137 at Mitcham Branch
NBI Structure #01-113-0-AA03-56-001
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
CFDA No.: 20.205
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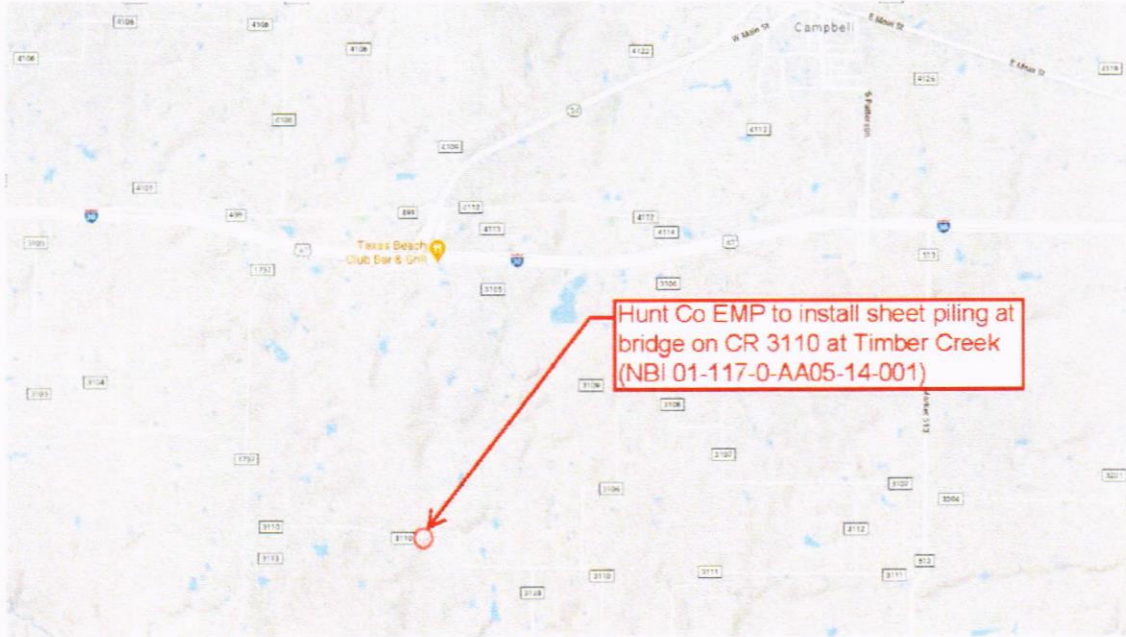
CSJ # 0901-28-103
District # 01-PAR
Code Chart 64 # 50113
Project: CR 1137 at Mitcham Branch
NBI Structure #01-113-0-AA03-56-001
Federal Highway Administration
CFDA Title: Hwy Planning & Construction
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ATTACHMENT C **
LIST OF DISTRICT ENGINEER APPROVED
EQUIVALENT-MATCH PROJECTS

Location (and structure identification number, if applicable)	On School Bus Route?	Historic Bridge?	Description of Structural or Safety Improvement Work	Estimated Cost
CR 4719 near FM 2653	Yes	No	Replace culvert	\$ 3,320.51
CR 4707 near CR 4702	Yes	No	Replace culvert	\$ 3,058.10
CR 4574 near CR 4581	Yes	No	Replace culvert	\$ 2,428.12
CR 1100 near CR 3389	Yes	No	Install new culvert	\$ 3,228.06
CR 1173 near CR 1174	Yes	No	Replace culvert	\$ 9,240.96
Rains CR 3160 at Magee Branch (NBI 011900AA3160001)	Yes	No	Repair bridge abutment and approach	\$ 9,662.13
Rains CR 1140 at Glade Creek	Yes	No	Replace culvert	\$85,000.00
Hunt CR 3110 at Timber Creek (NBI 011170AA0514001)	Yes	No	Install sheet piling at east abutment and backfill	\$13,770.57
Hunt CR 3512 at Little Creek	Yes	No	Construct new bridge	\$76,026.09
Hunt CR 1072 at Cowleech Creek	Yes	No	Construct new bridge	\$48,305.00
Hunt CR 1091 at Holden Branch	Yes	No	Construct new bridge	\$61,425.00
Total				\$315,464.54
EMP work credited to this PWP*				\$22,814.00
Balance of EMP work available to associated PWPs				\$292,650.54
Associated PWPs CSJs			Amount to be Credited to Associated PWPs	
0901-30-018			\$44,408	
0901-28-093			\$26,352	
0901-28-092			\$23,790	
0901-28-095			\$21,106	
0901-28-097			\$39,528	
0901-28-098			\$36,966	
0901-32-104			\$57,096	
0901-32-106			\$43,404	
Total			\$292,650	

*This total should typically equal the "Balance of Local Government Participation" that is waived as shown in Attachment D.

**This attachment not applicable for non-PWPs.

CSJ # 0901-28-103
 District # 01-PAR
 Code Chart 64 # 50113
 Project: CR 1137 at Mitcham Branch
 NBI Structure #01-113-0-AA03-56-001
 Federal Highway Administration
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 CFDA No.: 20.205
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**ATTACHMENT D
 ESTIMATE OF DIRECT COSTS**

	<u>Estimated Cost</u>	<u>Local Government Participation</u>
Preliminary Engineering (PE)	(1) <u>\$31,790</u>	
Ten Percent (10%) or EDC Adjusted Percent of PE for Local Government Participation		(3) <u>\$3,179</u>
Construction	<u>\$187,000</u>	
Engineering and Contingency (E&C)	<u>9,350</u>	
The Sum of Construction and E&C	(2) <u>\$196,350</u>	
Ten Percent (10%) or EDC Adjusted Percent of the Sum of Construction and E&C for Local Government Participation		(4) <u>\$19,635</u>
Amount of Advance Funds Paid by Local Government *		(5) <u>\$0</u>
Amount of Advance Funds to be Paid by Local Government *		(6) <u>\$0</u>
Balance of Local Government Participation which is to be Waived where the Project is a PWP		(3+4-5-6) <u>\$22,814</u>
Total Project Direct Cost	(1+2) <u>\$228,140</u>	

*Credited Against Local Government Participation Amount

If this Project is to be a PWP, Amount of EMP Work Being Credited to this PWP as Shown
 on Attachment C. \$22,814

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Federal Highway Administration
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CFDA No.: 20.205
Not Research and Development

Each party is signing this agreement on the date stated under that party's signature.

THE LOCAL GOVERNMENT

DocuSigned by:

The Honorable Robert Newsom

Signature

Robert Newsom

Typed or Printed Name

Hopkins County Judge

Title

11/19/2020

Date

THE STATE OF TEXAS

DocuSigned by:

Graham Bettis

Graham Bettis, P.E.

Bridge Division Director

Texas Department of Transportation

12/4/2020

Date